



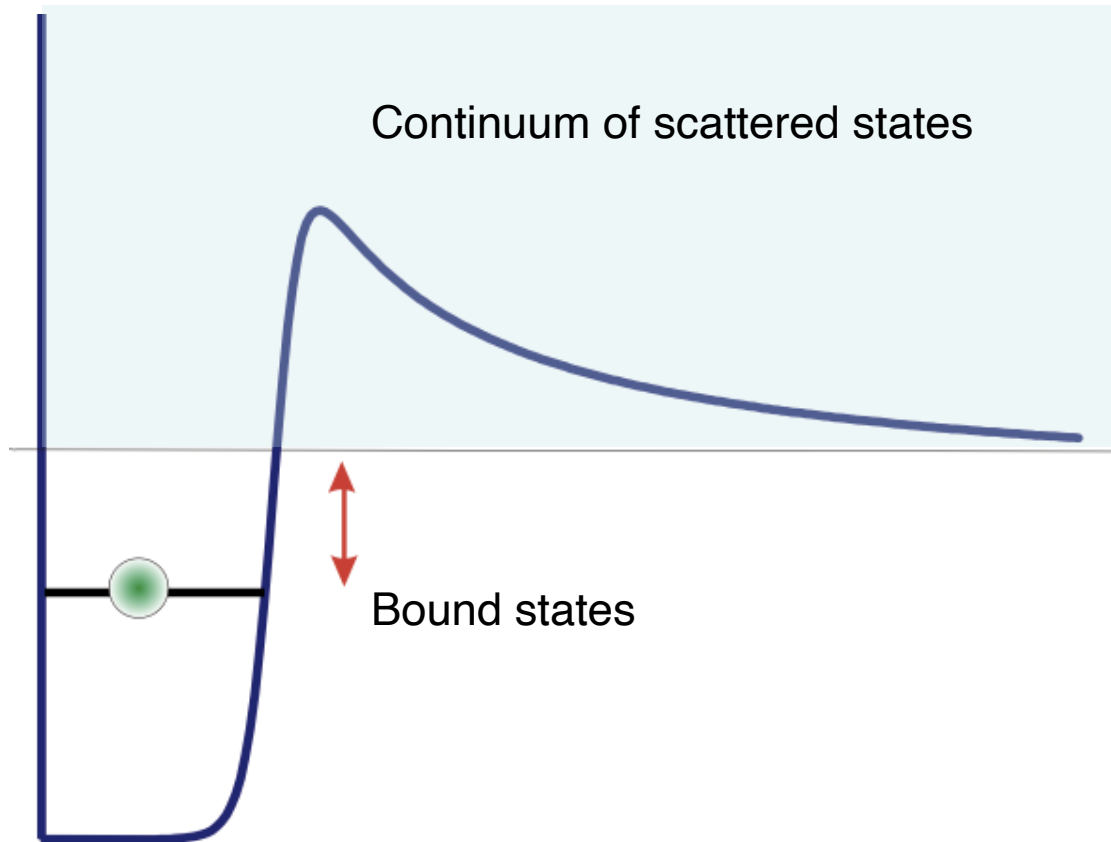
# *Threshold Phenomena In Nuclei: From Decay To Clustering*

**Alexander Volya**  
Florida State University

Supported by the US Department of  
Energy Award number: DE-SC0009883

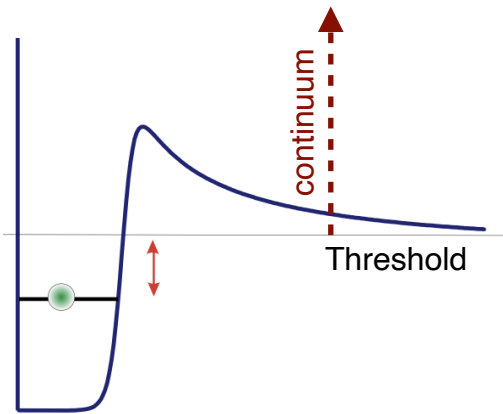


# Perturbation from continuum



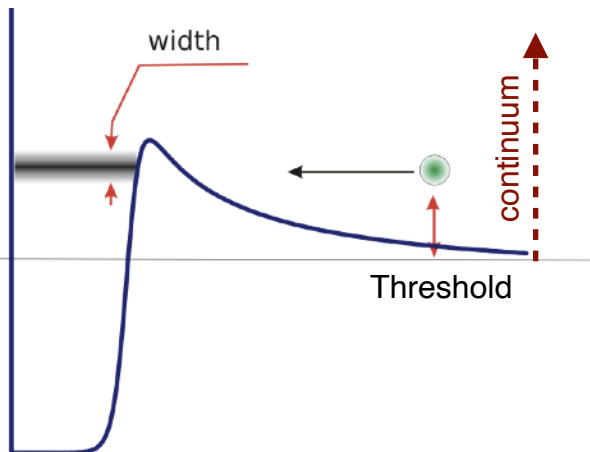
# Perturbation from continuum

$$H'(\epsilon) = \int_0^\infty d\epsilon' \frac{|A(\epsilon')|^2}{\epsilon - \epsilon' + i0}$$



**Integration region involves no poles**

$$H'(\epsilon) = \Delta(\epsilon) \quad \Delta(\epsilon) = \int d\epsilon' \frac{|A(\epsilon')|^2}{\epsilon - \epsilon' + i0}$$

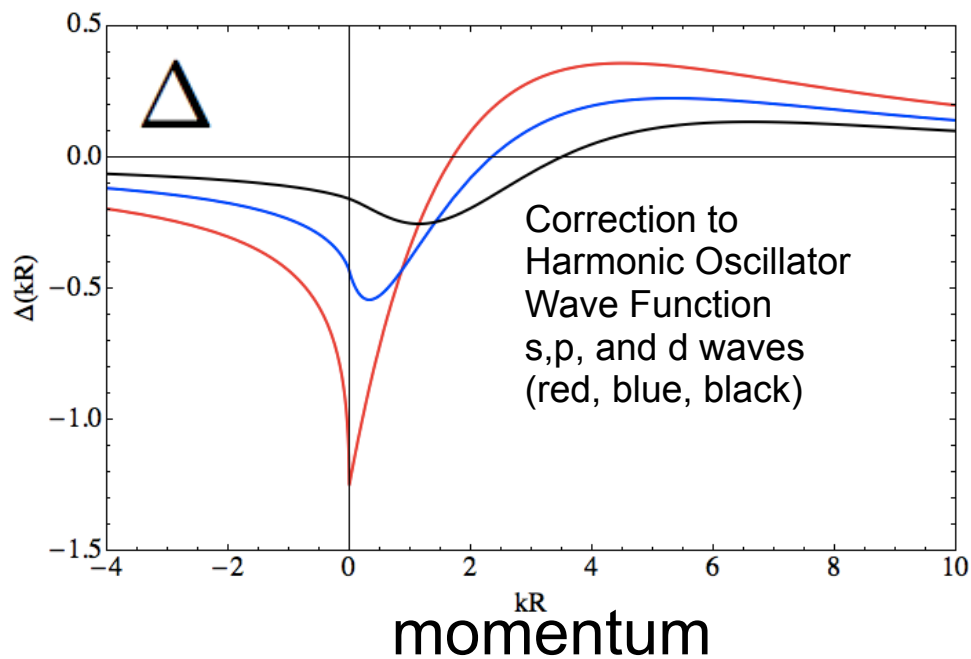
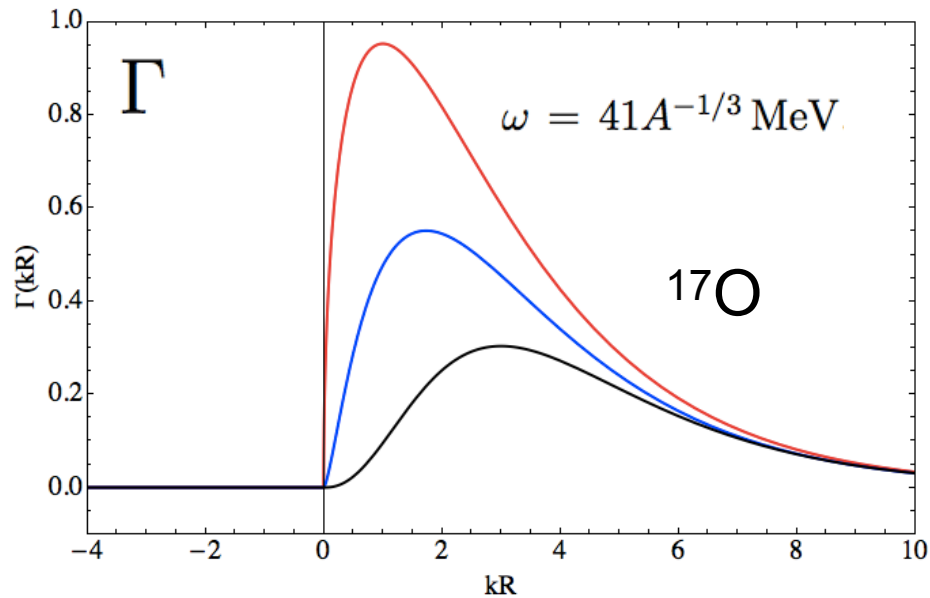


**State embedded in the continuum**

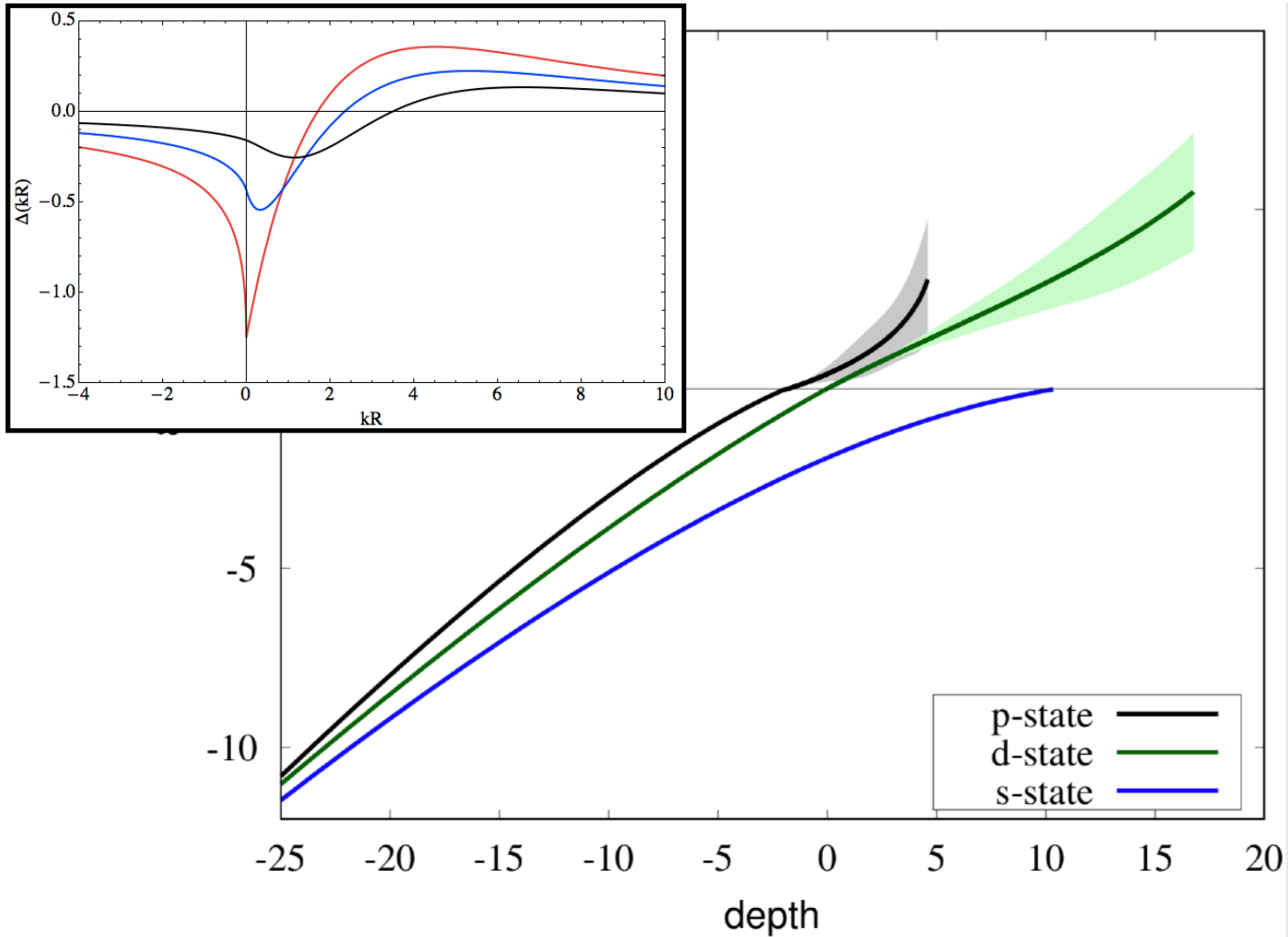
$$\frac{1}{x \pm i0} = \text{p.v.} \frac{1}{x} \mp i\pi\delta(x)$$

$$H'(\epsilon) = \Delta(\epsilon) - \frac{i}{2}\Gamma(\epsilon) \quad \Gamma(\epsilon) = 2\pi |A(\epsilon)|^2$$

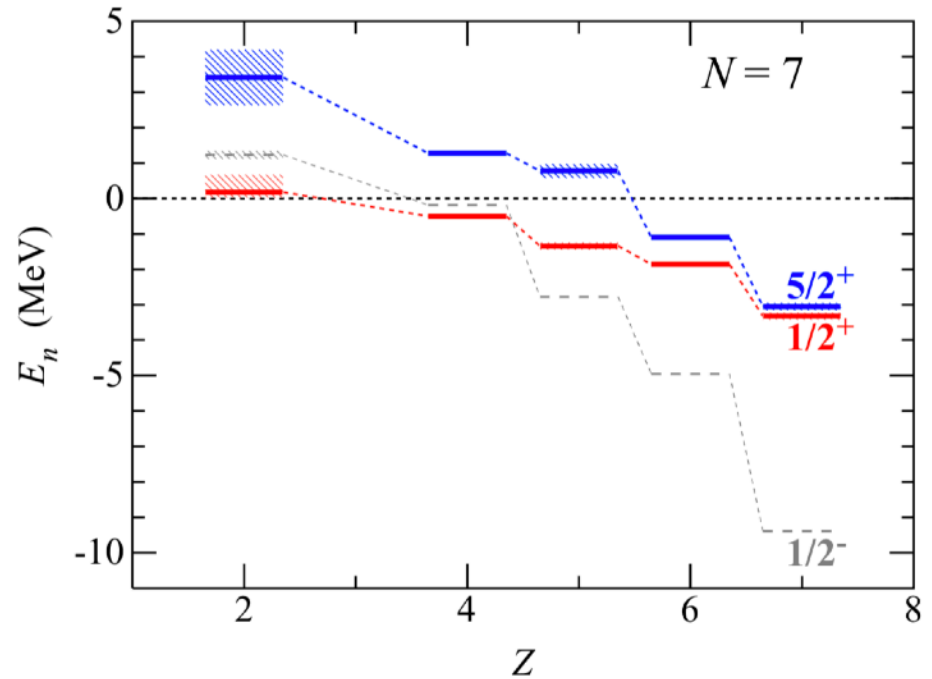
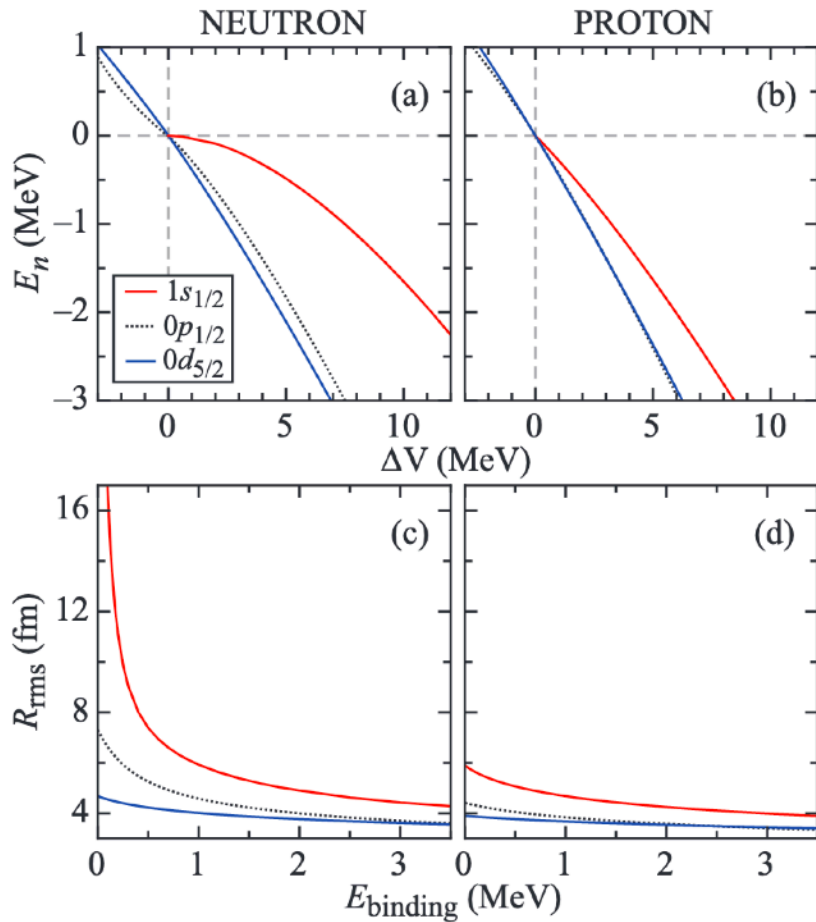
# Self energy, interaction with continuum



# Effect of weak binding



# Effect of weak binding



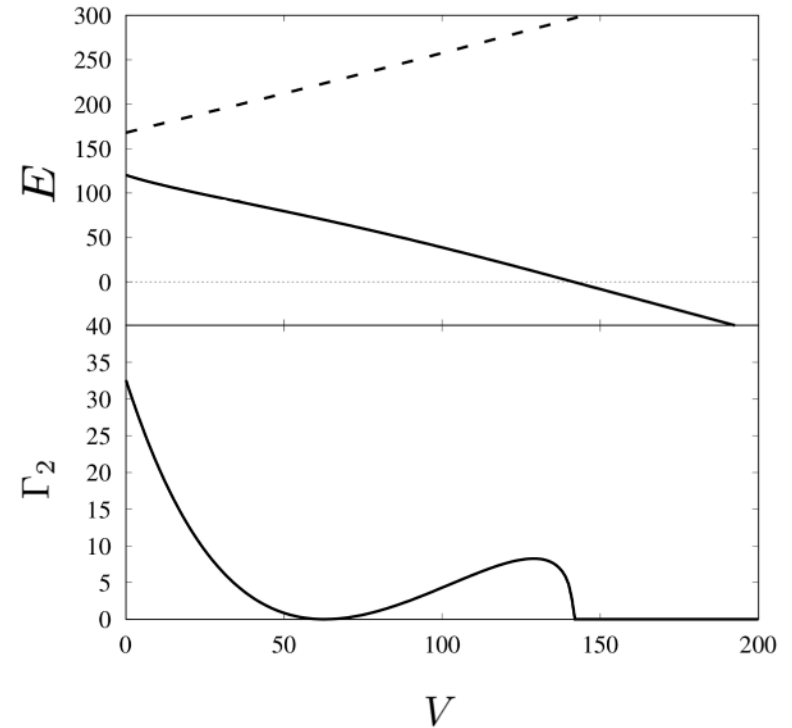
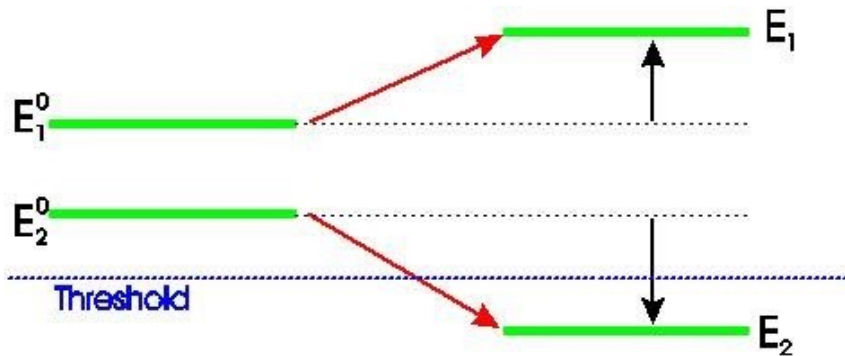
C. R. Hoffman, B. P. Kay, and J. P. Schiffer Phys. Rev. C 89, 061305(R)

B. P. Kay, C. R. Hoffman, and A. O. Macchiavelli Phys. Rev. Lett. 119, 182502

# Wave function realignment Superradiance

- Model  $\mathcal{H}$

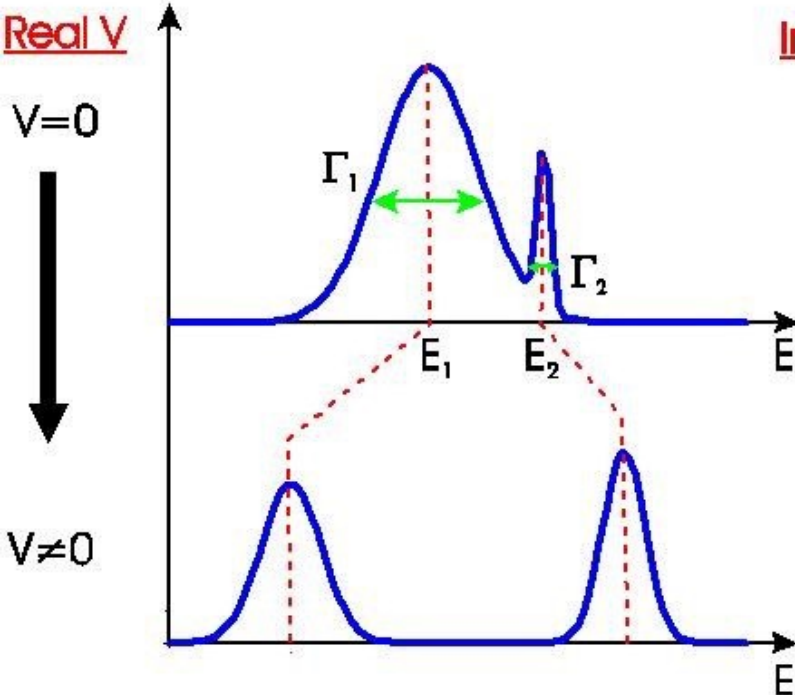
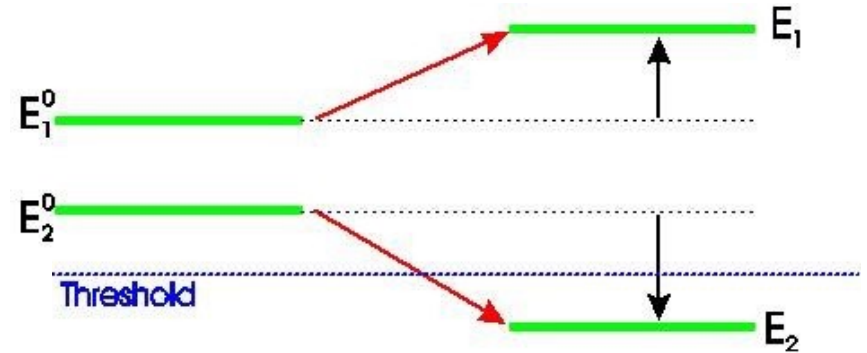
$$\mathcal{H}(E) = \begin{pmatrix} \epsilon_1 - \frac{i}{2}\gamma_1 & v - \frac{i}{2}A_1A_2 \\ v - \frac{i}{2}A_1A_2 & \epsilon_2 - \frac{i}{2}\gamma_2 \end{pmatrix}$$



# Example of interacting resonances

$^{13}\text{C}$ ,  $^{13}\text{N}$ ,  $^{11}\text{Li}$

$$\mathcal{H} = H^0 + V - iW/2$$



Imaginary W

$W \neq 0$

$W = 0$





# Wave function realignment

## Superradiance

$$H = \begin{pmatrix} \epsilon - \frac{i}{2}\Gamma & v \\ v & 0 \end{pmatrix} = H_0 - \frac{i\Gamma}{2} A^\dagger A \quad A = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$$

Stationary system  $\Gamma = 0$

Energies  $E_{1,2} = \frac{1}{2} \left( \epsilon \pm \sqrt{\epsilon^2 + 4v^2} \right)$

Spectroscopic Factors  $SF_{1,2} = \frac{1}{2} \left( 1 \pm \frac{\epsilon}{\sqrt{\epsilon^2 + 4v^2}} \right)$

# Observing superradiance

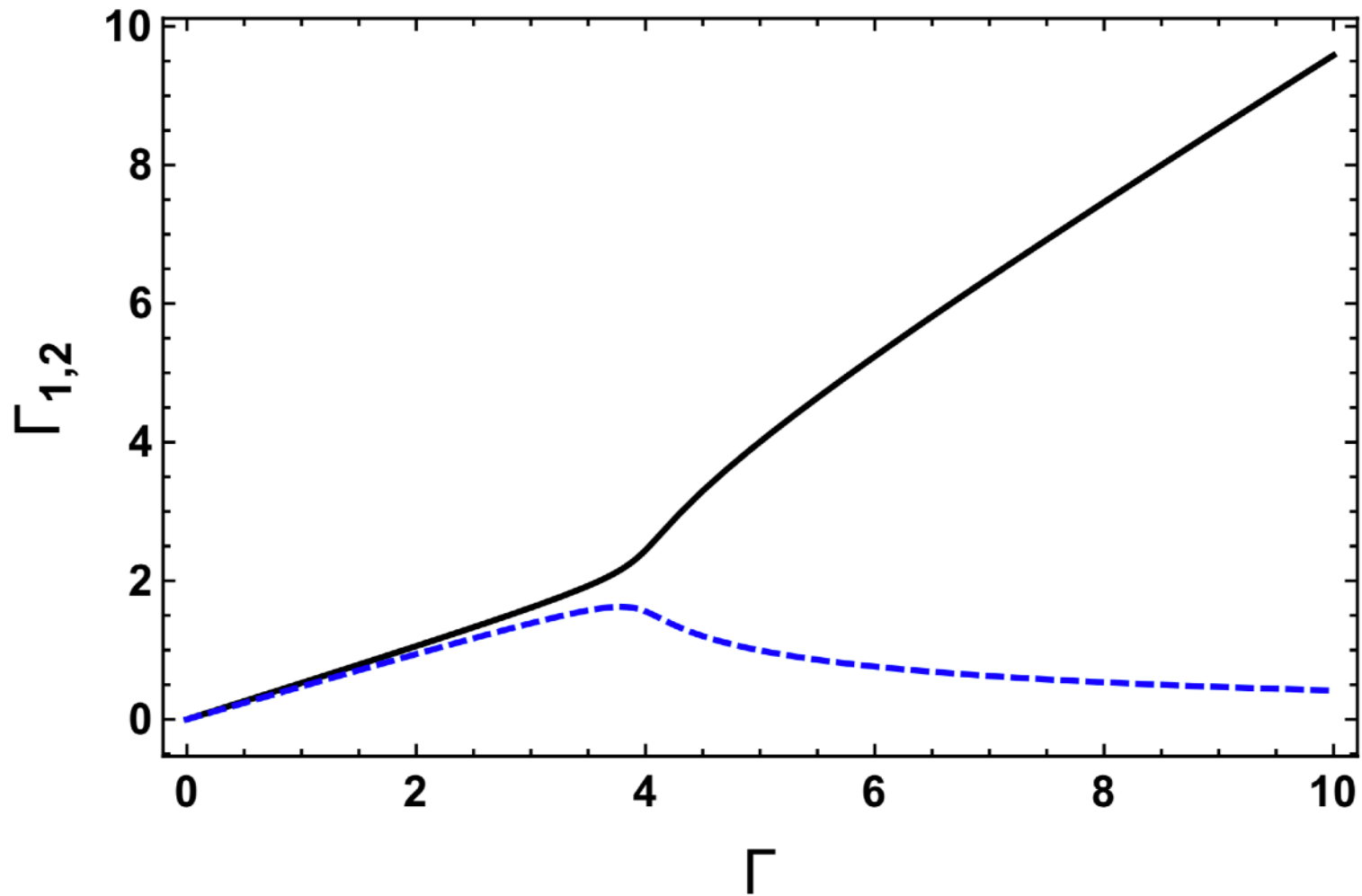
$$H = \begin{pmatrix} \epsilon - \frac{i}{2}\Gamma & v \\ v & 0 \end{pmatrix} = H_0 - \frac{i\Gamma}{2} A^\dagger A \quad A = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$$

Energies  $\mathcal{E}_{1,2} = \frac{1}{2} \left( \epsilon - \frac{i}{2}\Gamma \pm \sqrt{\left( \epsilon - \frac{i}{2}\Gamma \right)^2 + 4v^2} \right)$

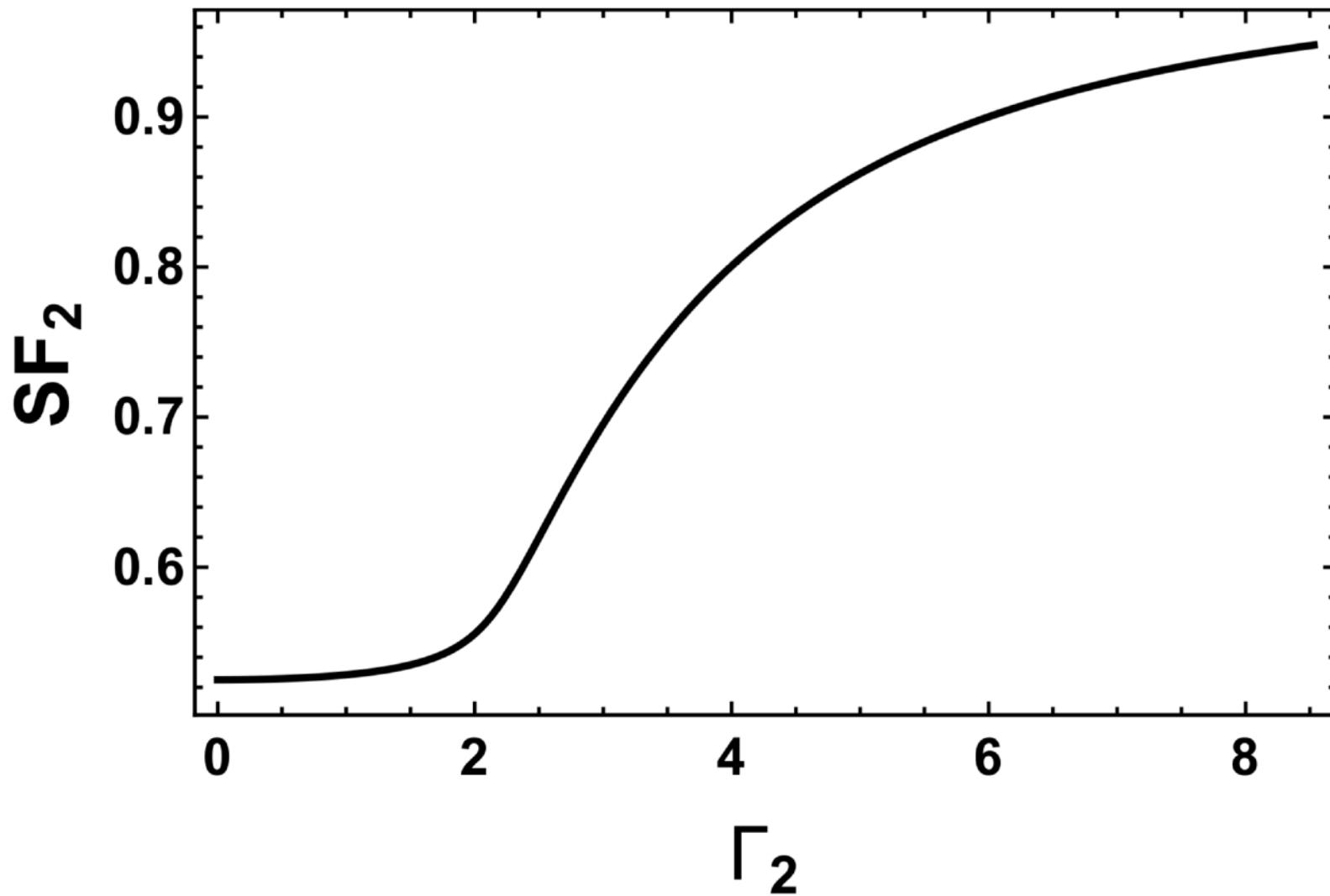
Width  $\Gamma_{1,2} = -2 \operatorname{Im}(\mathcal{E}_{1,2})$

Spectroscopic Factors  $\text{SF}_{1,2} = \Gamma_{1,2}/\Gamma$

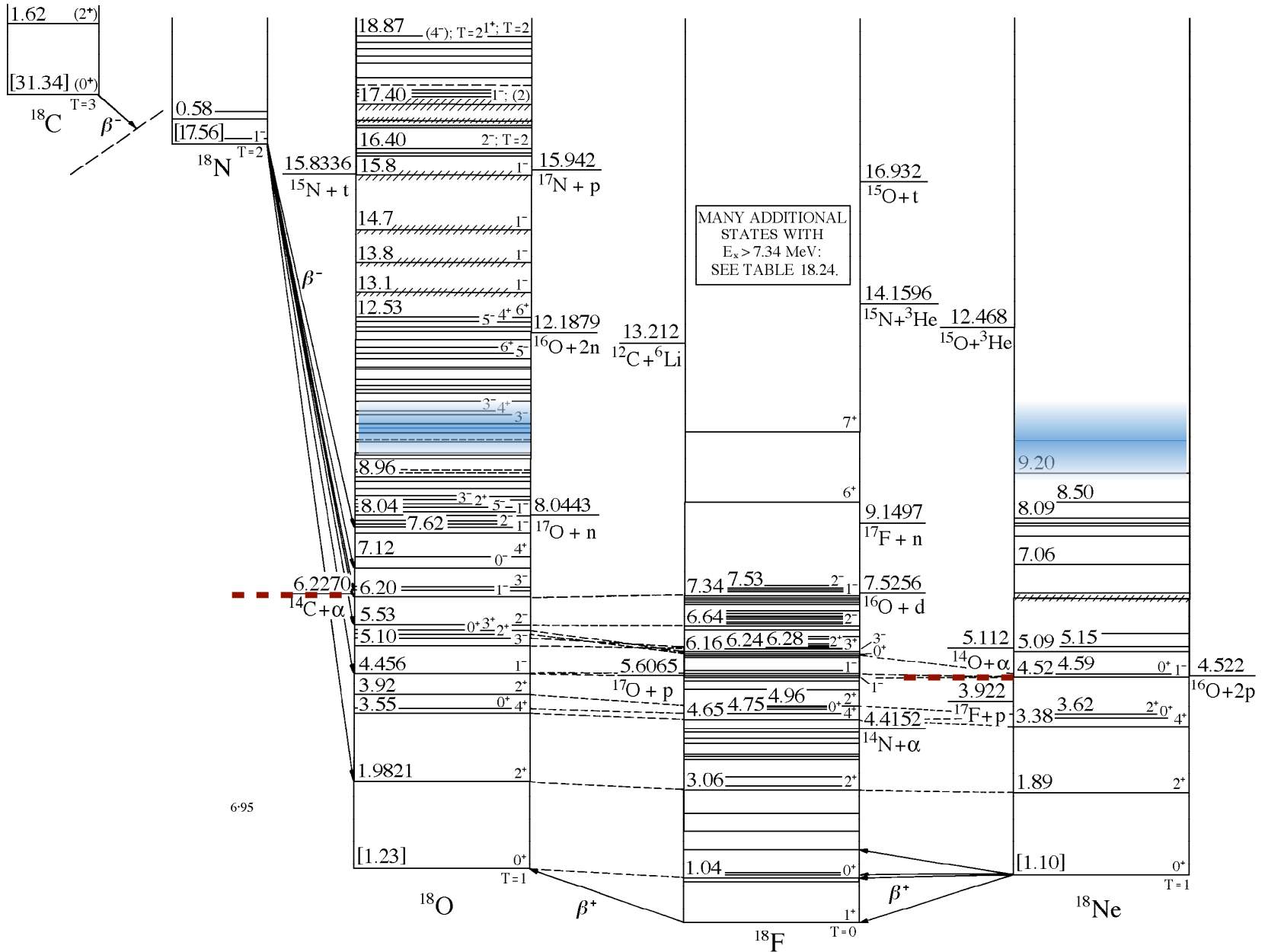
# Observing superradiance



# Spectroscopic factor for superradiant state

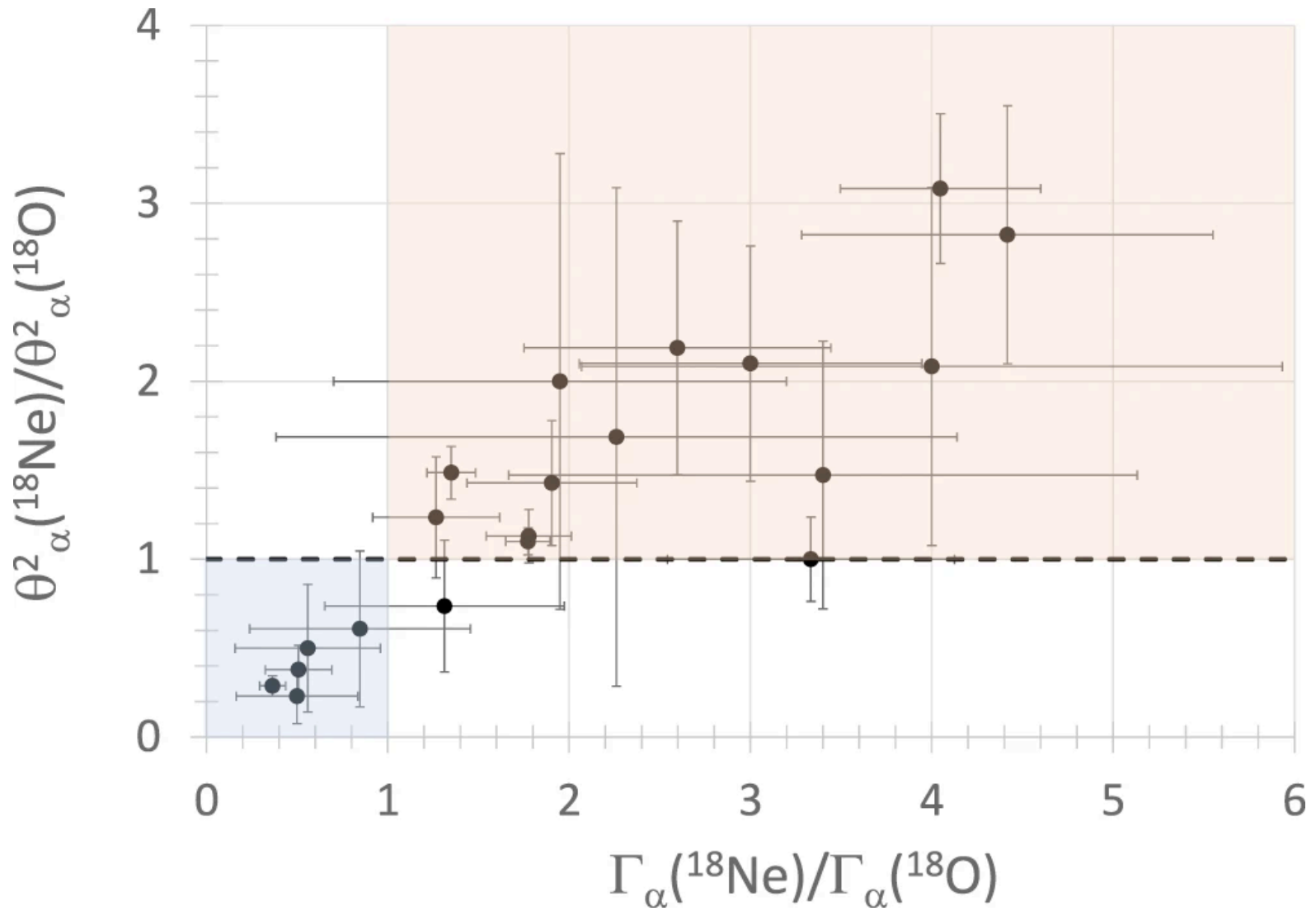


# Isospin symmetry



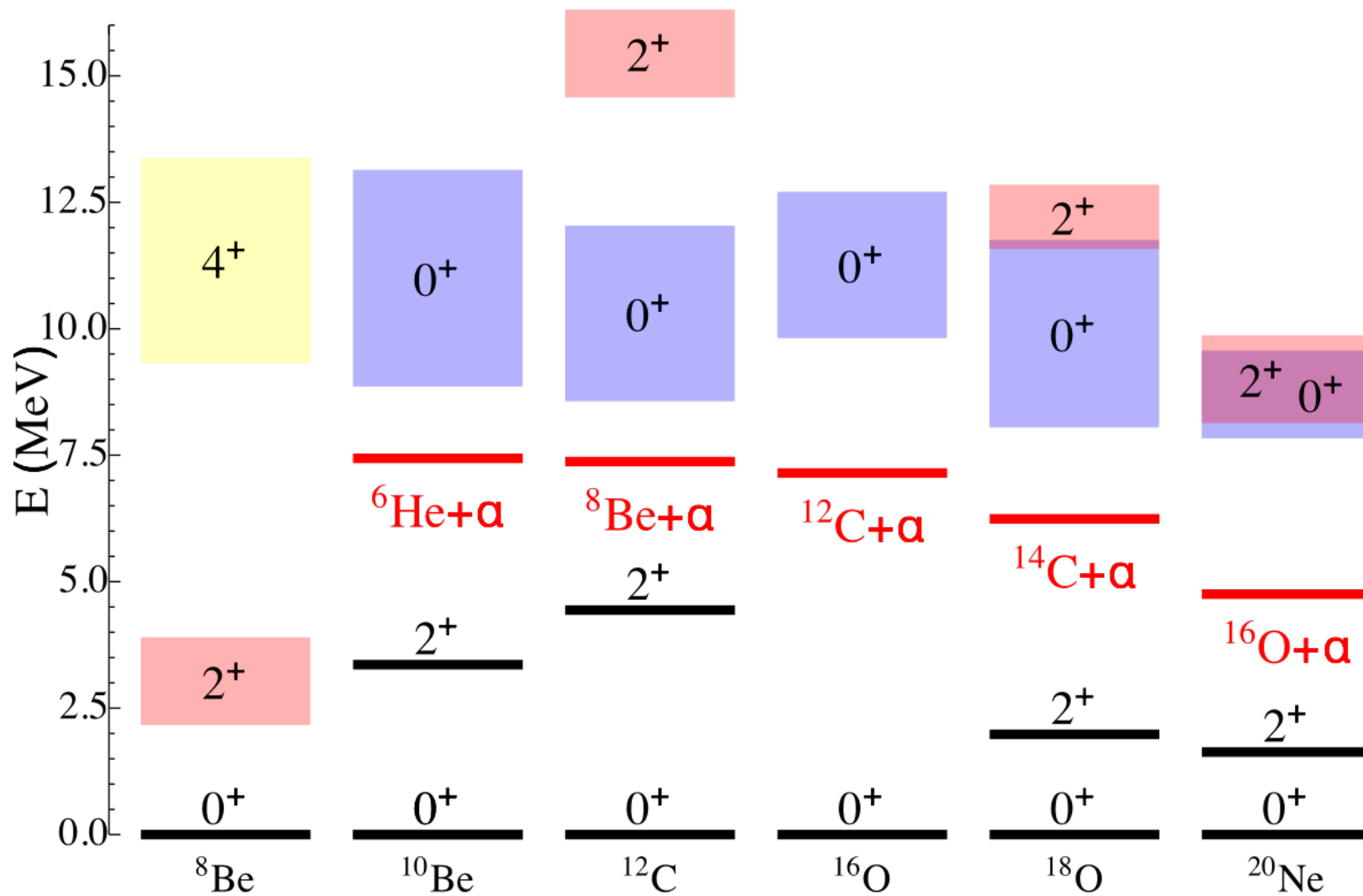
J	$^{18}\text{Ne}$			$^{18}\text{O}$		
	E (MeV)	$\Gamma$ (keV)	SF	E (MeV)	$\Gamma$ (keV)	SF
1-	9.08(1)	357	<b>0.21(1)</b>	9.19(2)	200	<b>0.20(1)</b>
1-	9.57(1)	1062	<b>0.51(5)</b>	9.76(2)	630	<b>0.46(4)</b>
1-	10.58(4)	416	<b>0.15(5)</b>	10.8(3)	630	<b>0.29(4)</b>
1-	13.730(2)	780	<b>0.2(1)</b>	14.3(3)	400	<b>0.10(4)</b>
2+	9.19(3)	265	<b>0.21(2)</b>	9.79(6)	90	<b>0.10(3)</b>
2+	10.94(6)	1302	<b>0.52(3)</b>	12.21(8)	1000	<b>0.37(9)</b>
2+	13.4 (2)	1755	<b>0.45(8)</b>	12.8(3)	4800	<b>1.56(13)</b>
2+	16.9(2)	1515	<b>0.3(2)</b>			
3-				8.29(6)	2.9	<b>0.18(1)</b>
3-	8.77(8)	419	<b>1.0(4)</b>	9.35(2)	110	<b>0.48(13)</b>
3-	11.0(1)	497	<b>0.28(7)</b>	11.95(1)	300	<b>0.17(2)</b>
3-	12.7(2)	2025	<b>0.7(2)</b>	12.98(4)	770	<b>0.32(5)</b>
3-	14.8(2)	3967	<b>1.0(2)</b>	14.0(2)	2100	<b>0.7(1)</b>
4+	8.16	31	<b>0.8(3)</b>	7.11*		
4+	13.3(3)	845	<b>0.37(4)</b>	13.46(2)	210	<b>0.12(1)</b>
4+	14.15(21)	375	<b>0.14(10)</b>	14.77(5)	680	<b>0.28(2)</b>
5-	11.31(4)	15	0.03(2)	11.63(1)	30	<b>0.13(1)</b>
5-	12.9(2)	532	<b>0.48(12)</b>	13.08(1)	120	<b>0.17(1)</b>
5-	13.79(8)	219	<b>0.14(10)</b>	14.1(1)	260	<b>0.23(2)</b>
5-	14.6(7)	521	<b>0.27(20)</b>	14.7(1)	230	<b>0.16(6)</b>
6+	11.8(2)	54	<b>0.30(7)</b>	11.69(5)	12	<b>0.23(1)</b>
6+	12.4(2)	167	<b>0.56(26)</b>	12.57(1)	50	<b>0.38(8)</b>

M. Barbui *et al.*, “ $\alpha$ -cluster structure of  $^{18}\text{Ne}$ ,” *Phys. Rev. C*, vol. 106, no. 5, p. 054310, Nov. 2022, doi: [10.1103/PhysRevC.106.054310](https://doi.org/10.1103/PhysRevC.106.054310).



A. Volya, M. Barbui, V. Z. Goldberg, and G. V. Rogachev, “Superradiance in alpha clustered mirror nuclei,” *Commun Phys*, vol. 5, no. 1, Art no. 1, Dec. 2022, doi: [10.1038/s42005-022-01105-9](https://doi.org/10.1038/s42005-022-01105-9).

# Clustering and continuum

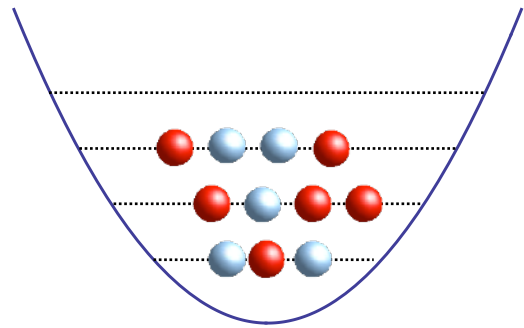




# Configuration interaction approach and clustering

Traditional shell model configuration  
m-scheme

$$|\Psi\rangle = \Psi^\dagger |0\rangle \sim a_1^\dagger a_2^\dagger \dots a_A^\dagger |0\rangle$$

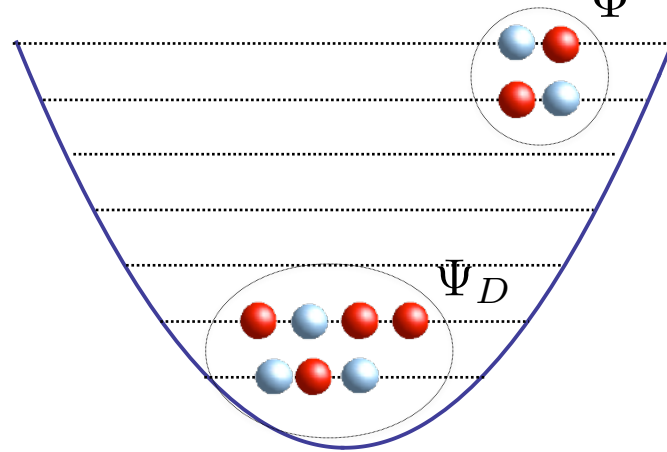


$|\Psi\rangle$

+

Cluster configuration

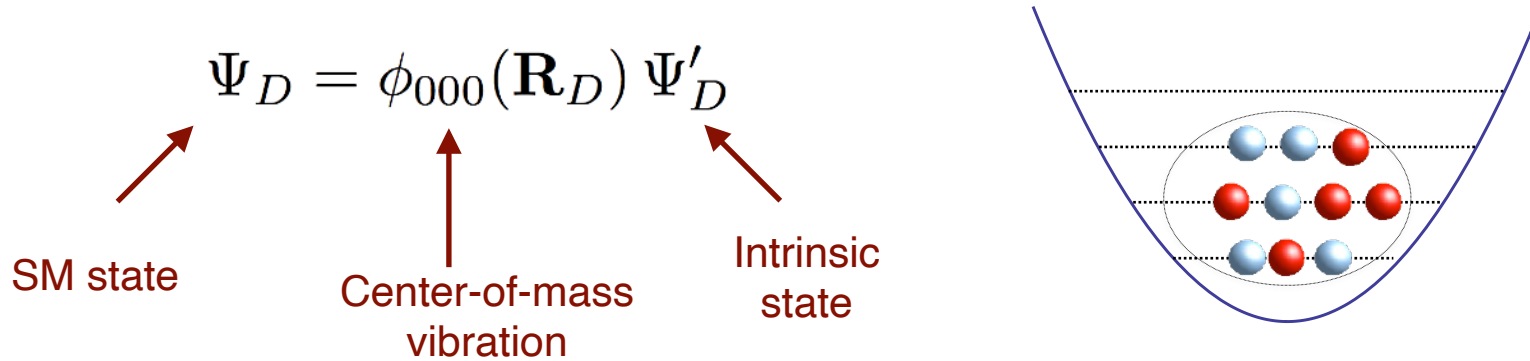
$$|\text{channel}\rangle \sim |\Phi\Psi_D\rangle \equiv \Phi^\dagger \Psi_D^\dagger |0\rangle$$



$\Phi^\dagger |\Psi_D\rangle$

# Translational invariance and Center of Mass (CM)

Shell model, Glockner-Lawson procedure



Controlling CM with operator

$\mathbf{R}$

Control only  
CM quanta

$$D_\mu = \sqrt{\frac{4\pi}{3}} R_\mu \quad R_\mu = \sqrt{\frac{\hbar}{2Am\omega}} (\mathcal{B}_\mu^\dagger + \mathcal{B}_\mu)$$

K. Kravvaris and A. Volya, “Study of nuclear clustering from an ab initio perspective,” *Phys. Rev. Lett.*, vol. 119, no. 6, p. 062501, 2017.

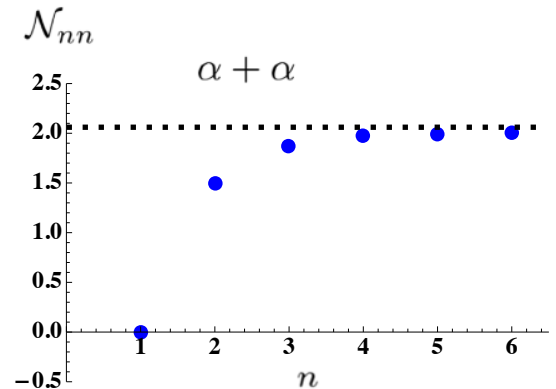
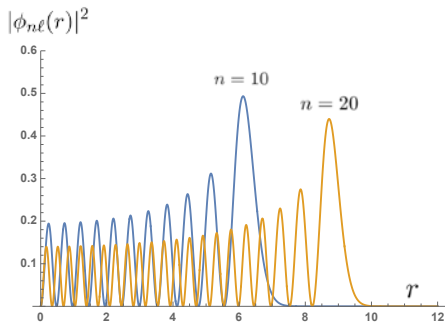
K. Kravvaris and A. Volya, “Clustering in structure and reactions using configuration interaction techniques,” *Phys. Rev. C*, vol. 100, no. 3, p. 034321, Sep. 2019, doi: [10.1103/PhysRevC.100.034321](https://doi.org/10.1103/PhysRevC.100.034321).

# Resonating group method and reactions

$$\sum_n \mathcal{H}_{nn'}^{(\ell)} \chi_{n'} = E \sum_n \mathcal{N}_{nn'}^{(\ell)} \chi_{n'}$$

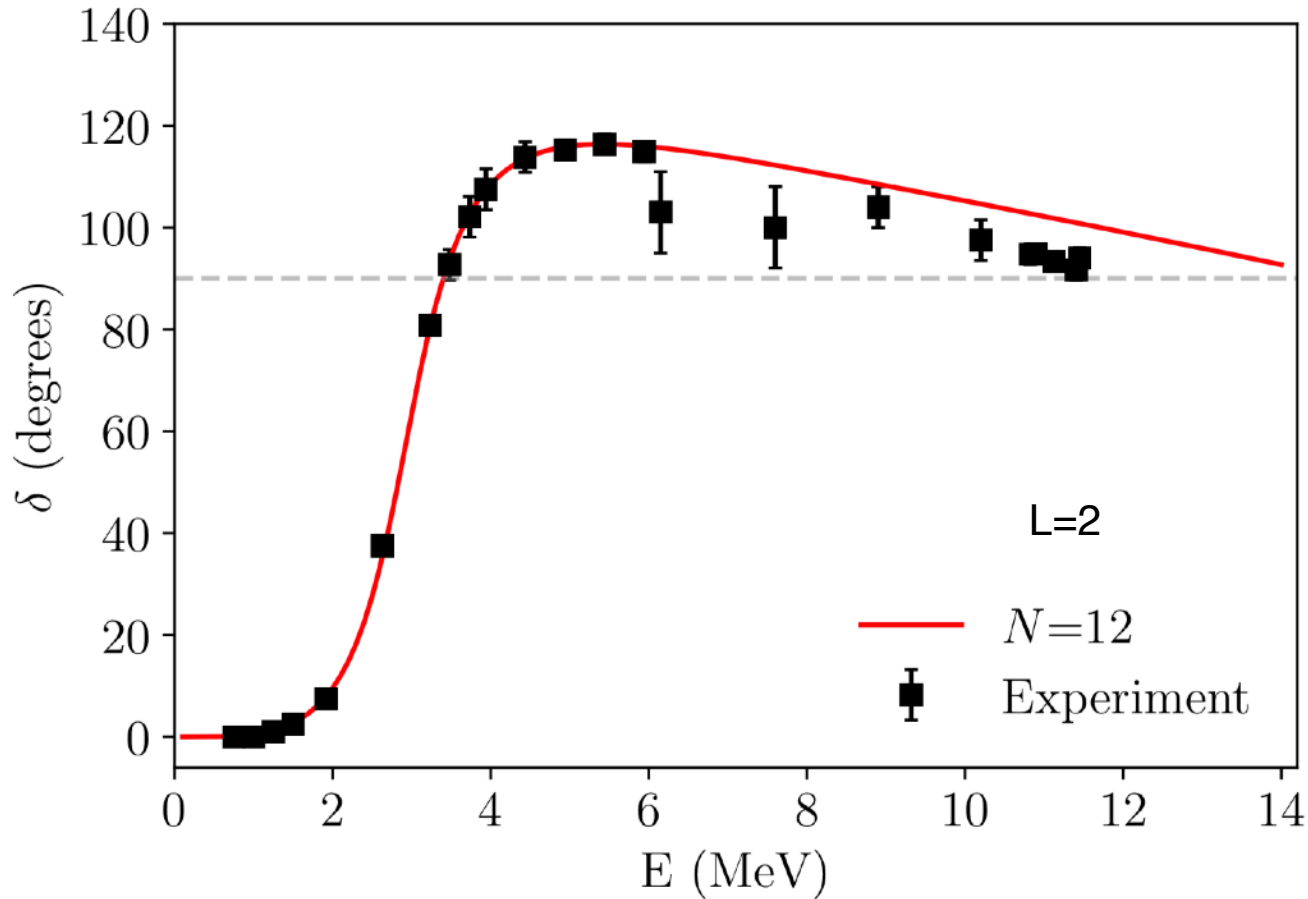
$$\begin{pmatrix} \mathcal{H}_{00} & \dots & \mathcal{H}_{0n} & 0 & 0 & 0 \\ \vdots & \ddots & \vdots & 0 & \vdots & \vdots \\ \mathcal{H}_{n0} & \dots & \mathcal{H}_{nn} & T_{nn+1} & 0 & \vdots \\ 0 & 0 & T_{n+1n} & T_{n+1n+1} & T_{n+1n+2} & 0 \\ 0 & \dots & 0 & T_{n+2n+1} & T_{n+2n+2} & \ddots \\ 0 & \dots & \dots & 0 & \ddots & \ddots \end{pmatrix} \begin{pmatrix} \chi_0 \\ \vdots \\ \chi_n \\ x_{n+1} \\ x_{n+2} \\ \vdots \end{pmatrix} = E \begin{pmatrix} \mathcal{N}_{00} & \dots & \mathcal{N}_{0n} & 0 & 0 & 0 \\ \vdots & \ddots & \vdots & 0 & \vdots & \vdots \\ \mathcal{N}_{n0} & \dots & \mathcal{N}_{nn} & 0 & 0 & \vdots \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & \dots & 0 & 0 & 1 & 0 \\ 0 & \dots & \dots & 0 & 0 & \ddots \end{pmatrix} \begin{pmatrix} \chi_0 \\ \vdots \\ \chi_n \\ x_{n+1} \\ x_{n+2} \\ \vdots \end{pmatrix}$$

Asymptotic solution with phase shift



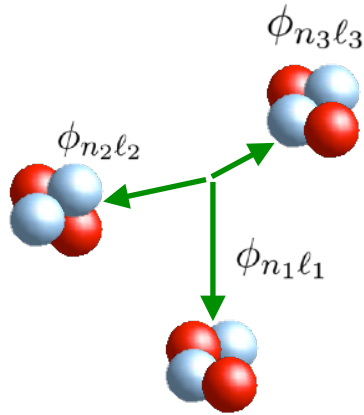
J-matrix (or HORSE) method: J. M. Bang, *Annals of Physics* **280**, 299 (2000)  
 Experimental data: *Phys. Rev.* **168**, 1114 (1968); *Nucl. Phys.* **A287**, 317 (1977)

# alpha+alpha scattering phase shifts

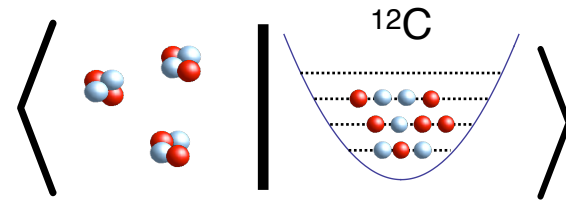


Experimental data from S. A. Afzal, A. A. Z. Ahmad, and S. Ali, Rev. Mod. Phys. 41, 247 (1969).

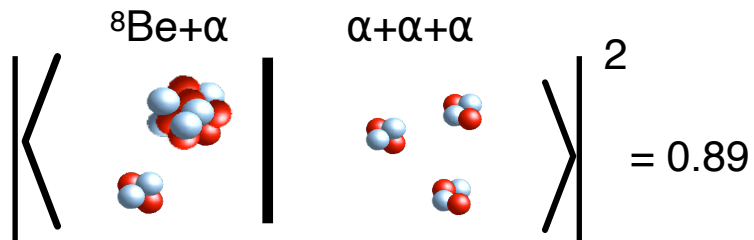
# Ttriple-alpha RGM



$N_{\max}(\text{rel})=12$

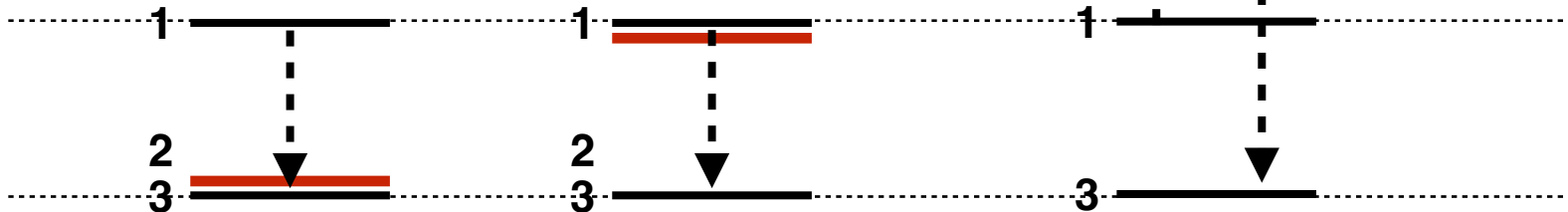


parent	channel	overlap
$^{12}\text{C}[4](0_1^+)$	$\alpha[0] + \alpha[0] + \alpha[0]$	0.841
$^{12}\text{C}[4](0_2^+)$	$\alpha[0] + \alpha[0] + \alpha[0]$	0.229



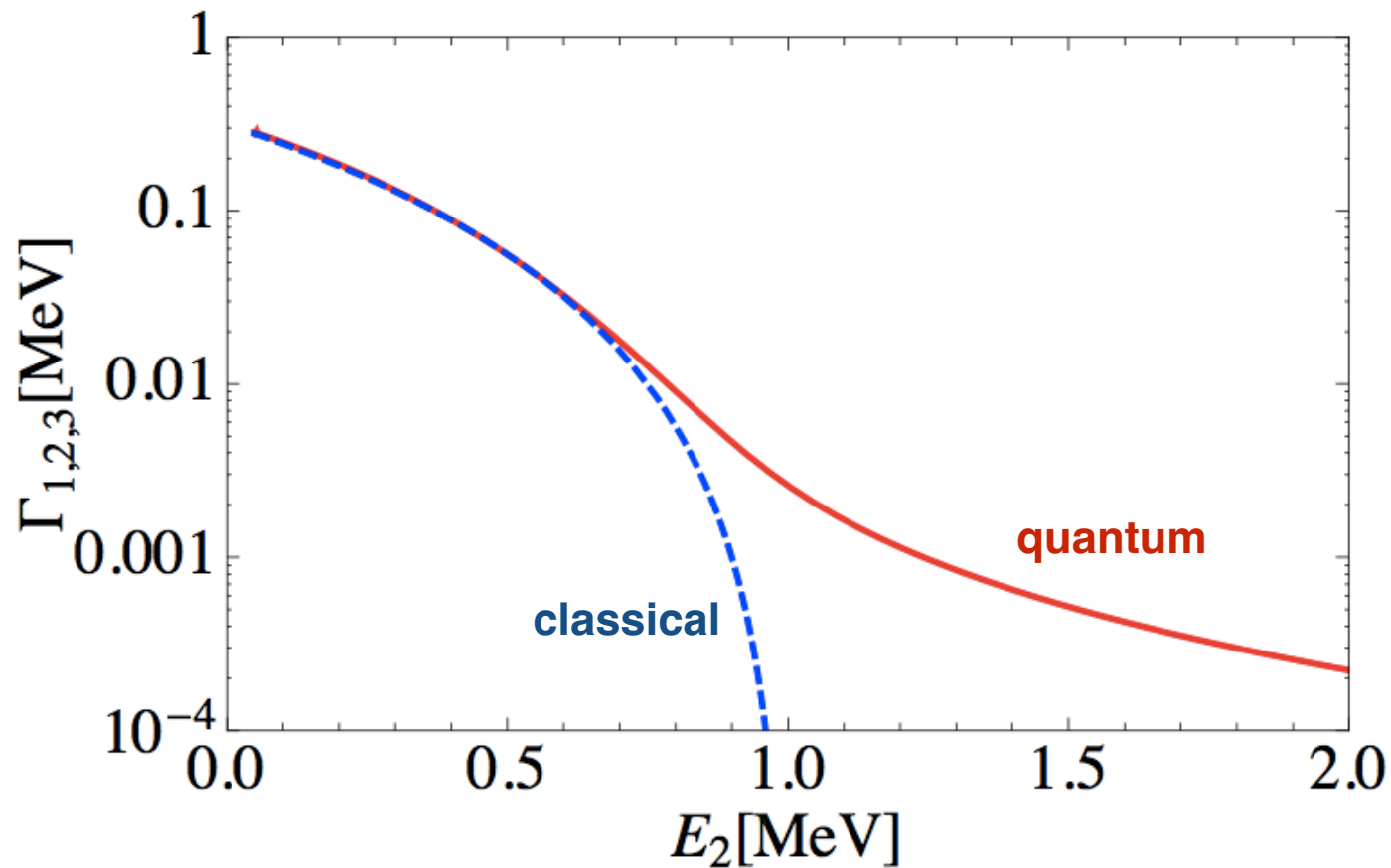
# Sequential mechanism

$$\Gamma_{1,2,3} = \Gamma_{1,2}$$

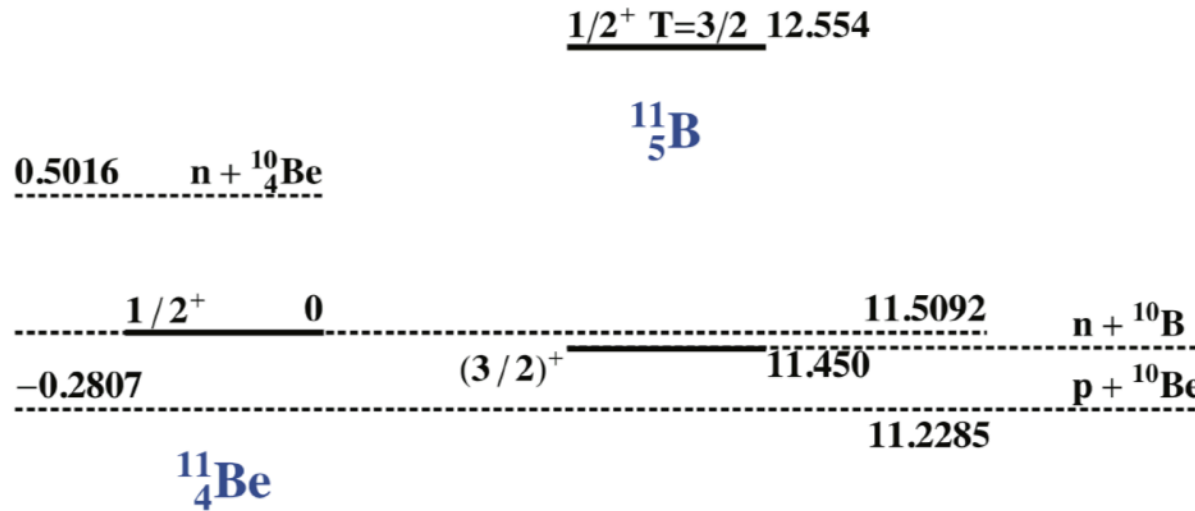


Classical limit

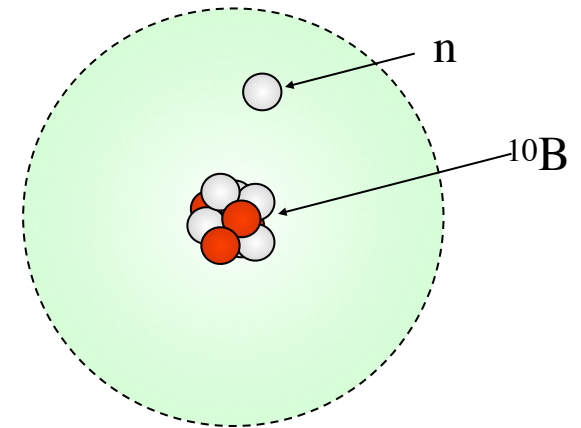
Virtual process



# 11Be beta-delayed proton decay



$1/2^+$  9.820

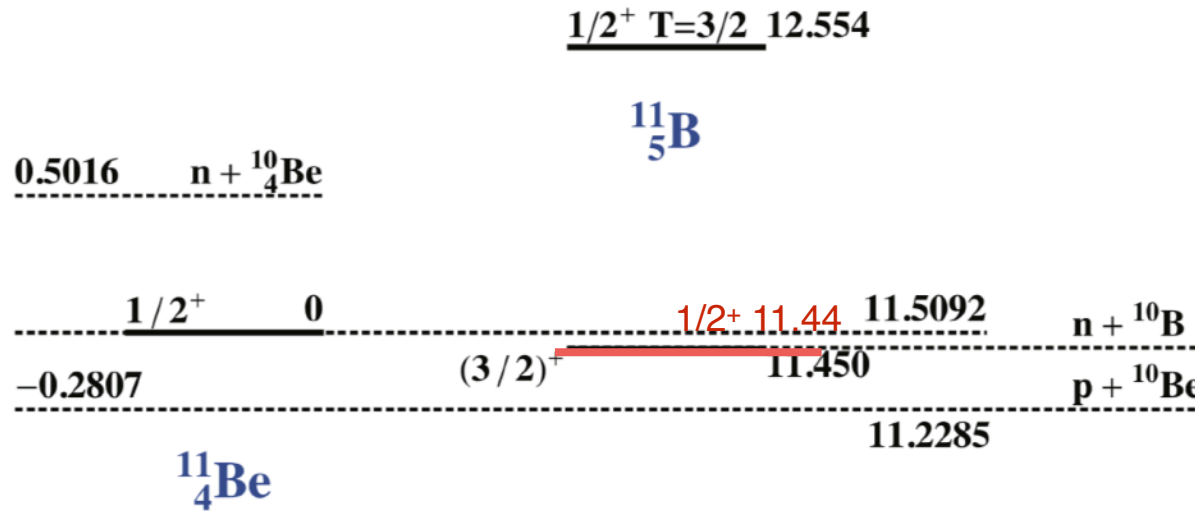


Observed half-life (???)

$$t_{\text{Be} \rightarrow \beta p} \approx 1 \times 10^6 \text{ s.}$$

$\alpha + ^7_3\text{Li}$

# 11Be beta-delayed proton decay



E. Lopez-Saavedra *et al.*, *Phys. Rev. Lett.*, vol. 129, no. 1, p. 012502, Jun. 2022, doi: [10.1103/PhysRevLett.129.012502](https://doi.org/10.1103/PhysRevLett.129.012502).

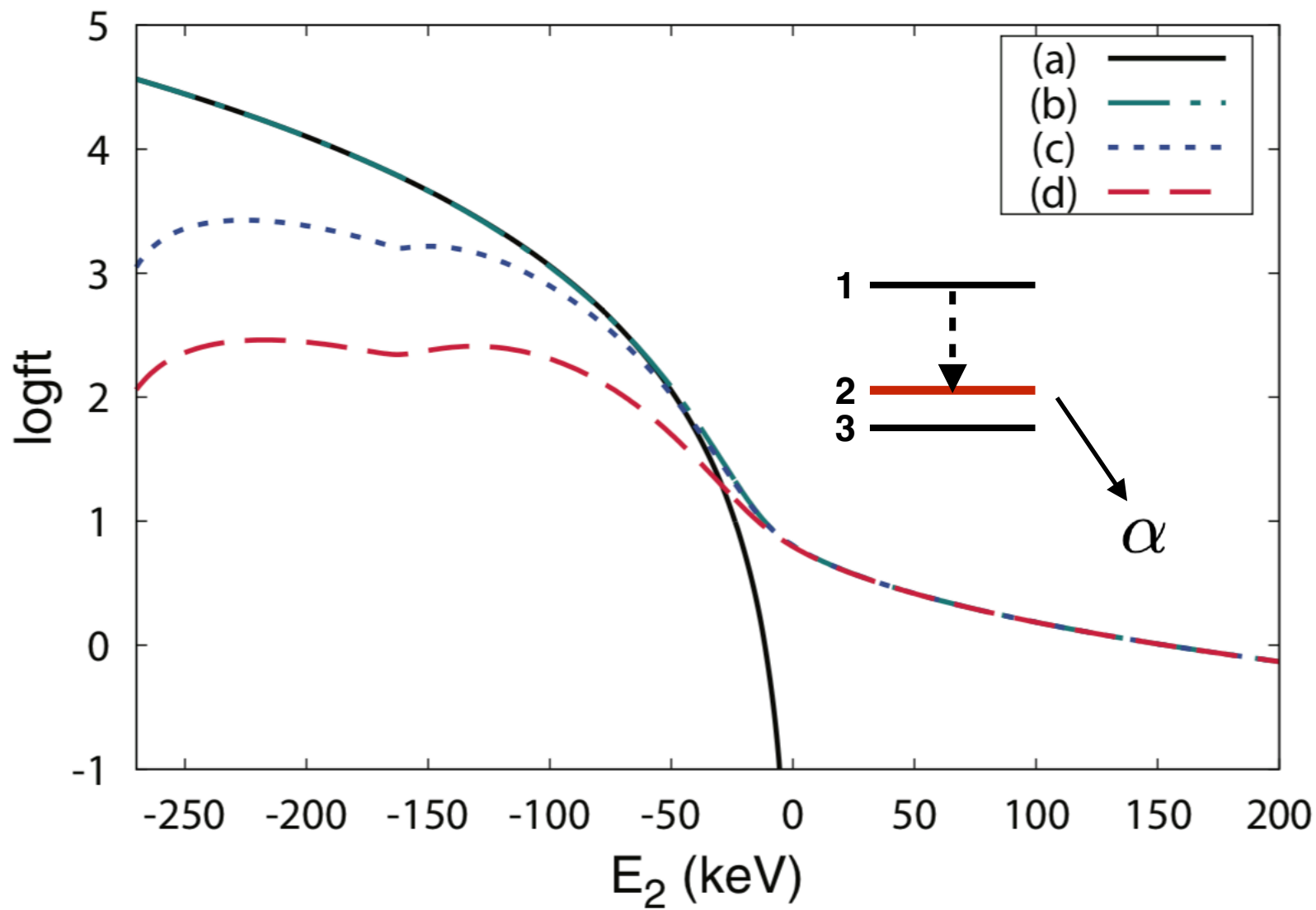
Y. Ayyad *et al.* *Phys. Rev. Lett.*, vol. 129, no. 1, p. 012501, Jun. 2022, doi: [10.1103/PhysRevLett.129.012501](https://doi.org/10.1103/PhysRevLett.129.012501).

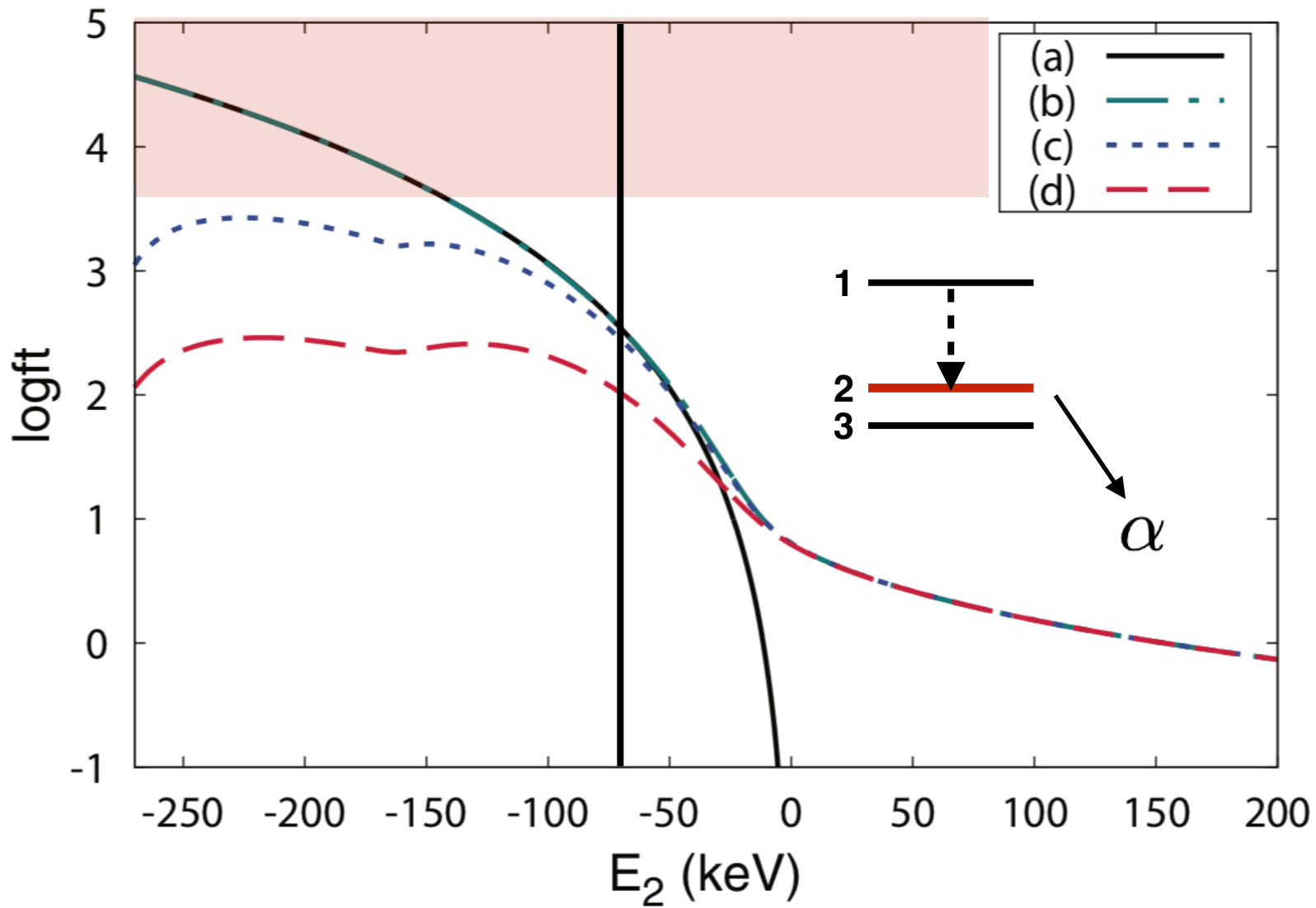
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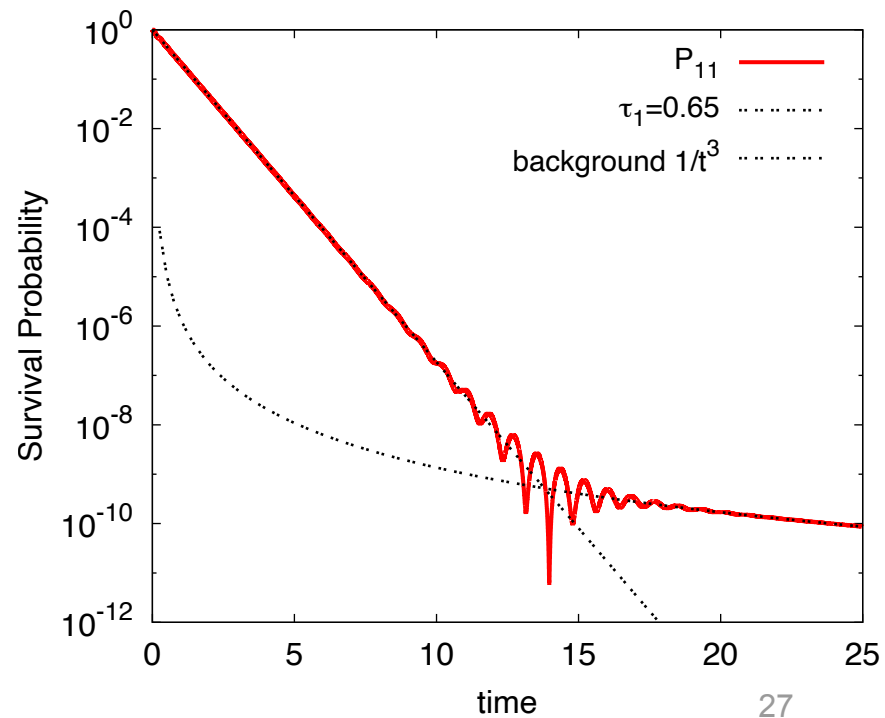
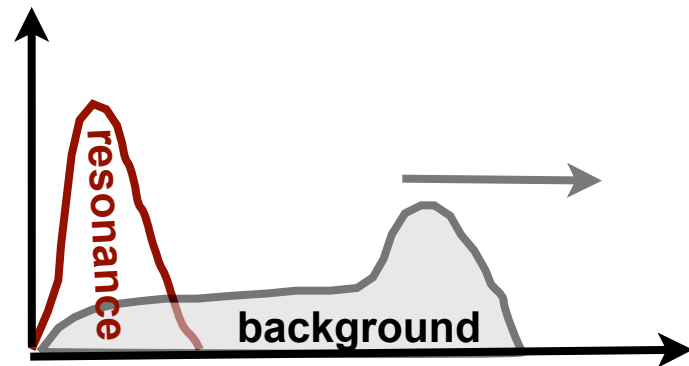
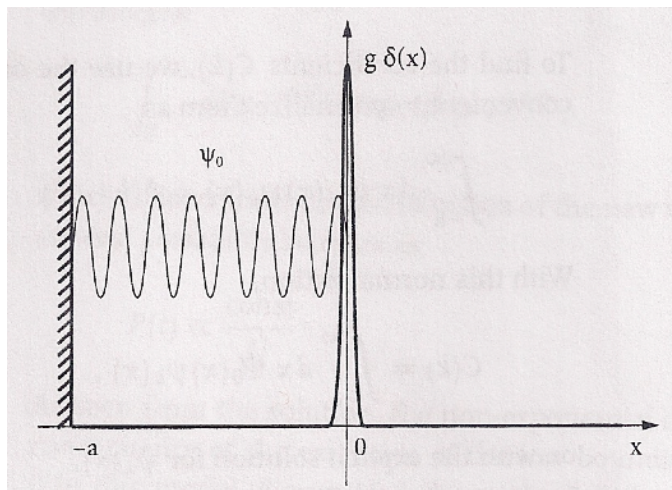




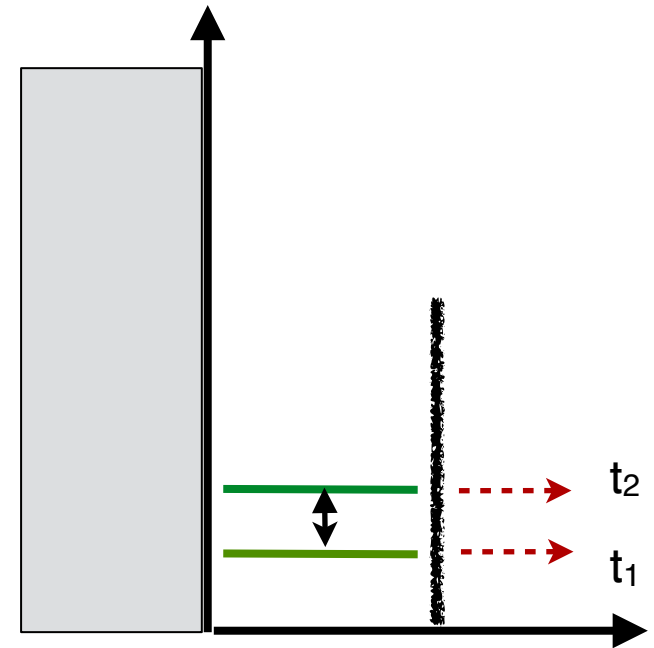
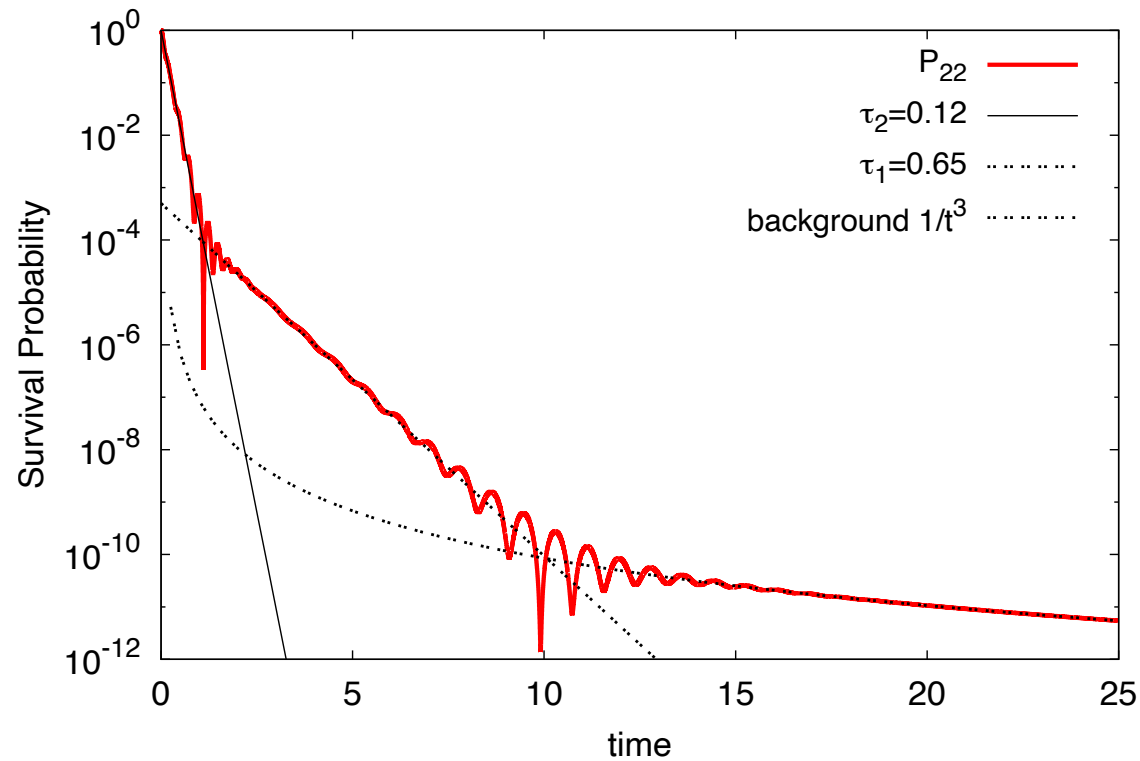


# Time dependence of decay

Winter, *Phys. Rev.*, **123**,1503 1961.



# Internal dynamics in decaying system Winter's model



M. Peshkin, A. Volya, and V. Zelevinsky, “Non-exponential and oscillatory decays in quantum mechanics,” *EPL*, vol. 107, no. 4, p. 40001, 2014.

# Time-dependent Continuum Shell Model Approach

- Expand Using evolution operator in Chebyshev polynomials

$$\exp(-iHt) = \sum_{n=0}^{\infty} (-i)^n (2 - \delta_{n0}) J_n(t) T_n(H)$$

- Chebyshev polynomial  $T_n[\cos(\theta)] = \cos(n\theta)$
- Use iterative relation and matrix-vector multiplication to generate

$$|\lambda_n\rangle = T_n(H)|\lambda\rangle$$

$$|\lambda_0\rangle = |\lambda\rangle, \quad |\lambda_1\rangle = H|\lambda\rangle \quad |\lambda_{n+1}\rangle = 2H|\lambda_n\rangle - |\lambda_{n-1}\rangle$$

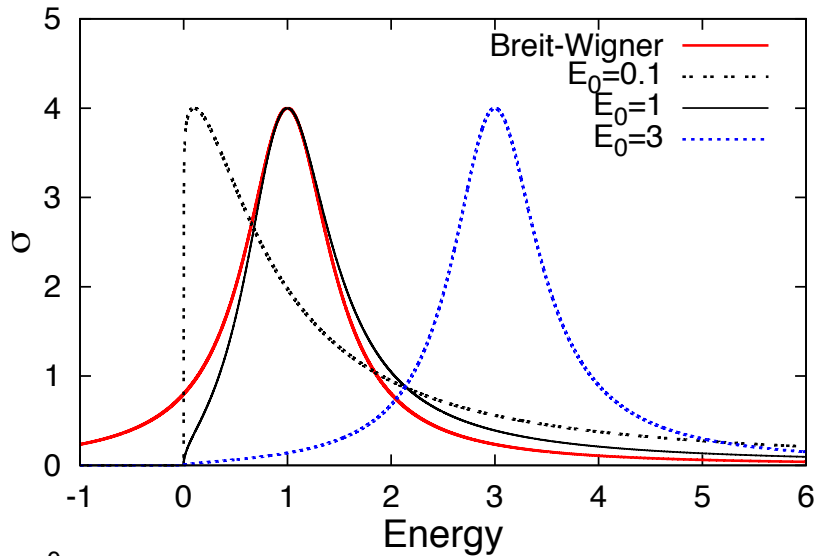
$$\langle\lambda'|T_{n+m}(H)|\lambda\rangle = 2\langle\lambda'_m|\lambda_n\rangle - \langle\lambda'|\lambda_{n-m}\rangle, \quad n \geq m$$

- Use FFT to find return to energy representation

# Probing the Non-exponential Decay Regime in Open Quantum Systems

- Broad threshold resonance ( $^9\text{N}$ ,  $^9\text{He}$ )
  - Pronounced non-exponentiality
  - Very short half-life
- Three-body decay ( $^6\text{Be}$ ,  $^{13}\text{Li}$ ,  $^{16}\text{Be}$ )
  - Nucleon-nucleon correlations
  - Energy dependence
- Overlapping resonances ( $^{13}\text{C}$ ,  $^{13}\text{N}$ )
  - Interference, pronounced non-exponentiality
  - Superradiance

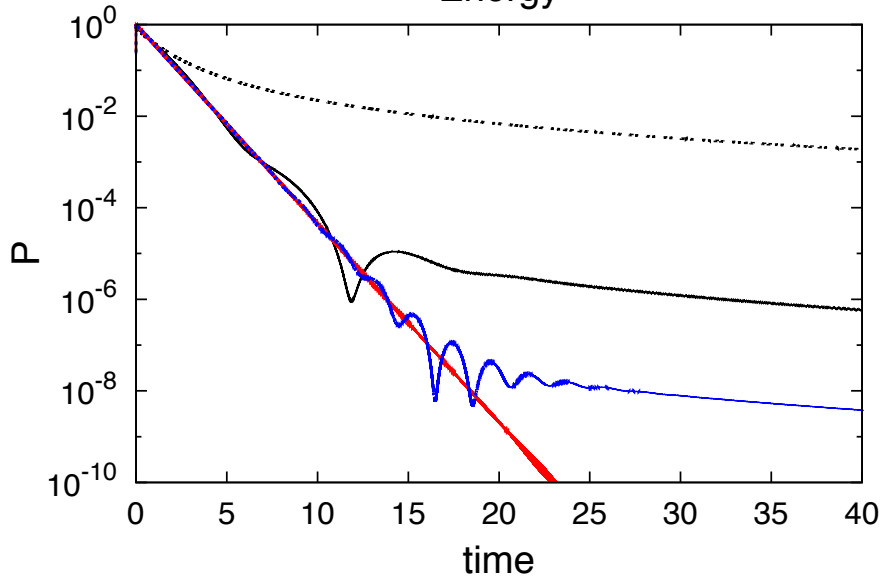
# Time-dependent picture



$$\mathcal{G} = \frac{1}{E - E_o + i/2\Gamma(E)}$$

$$\Gamma(E) \propto \sqrt{E}$$

Power-law remote decay rate!



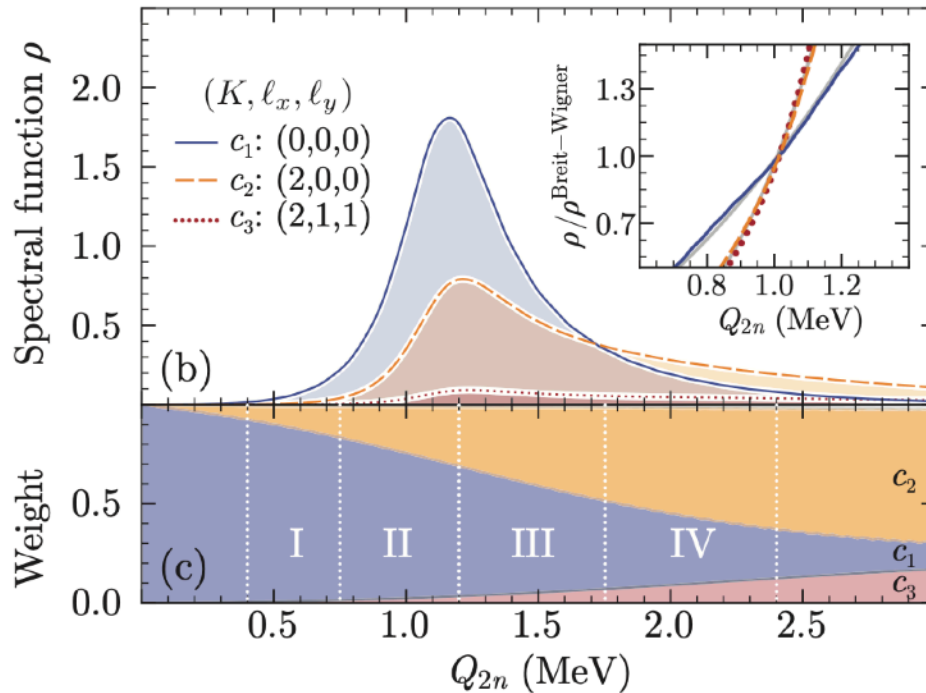
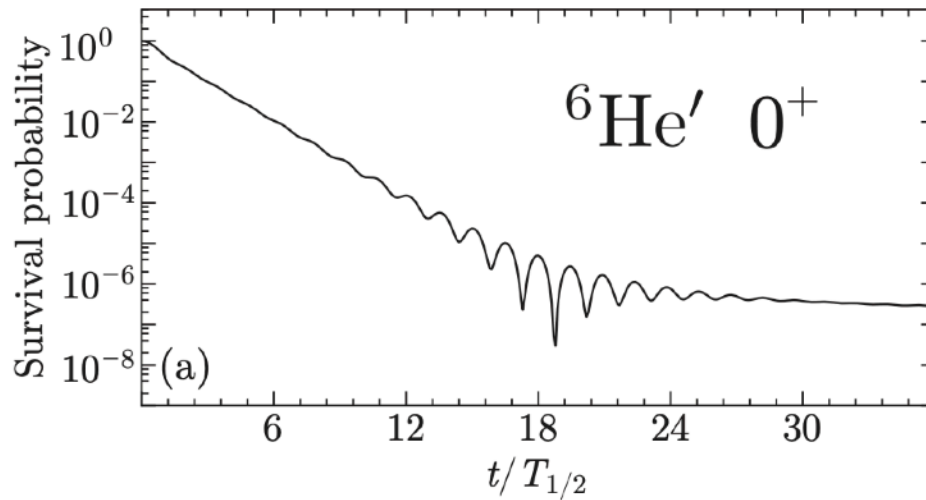
# Probing the Non-exponential Decay Regime in Open Quantum Systems

- Broad threshold resonance ( $^9\text{N}$ ,  $^9\text{He}$ )
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  - Superradiance



# Time-dependent picture

## Two-neutron decay



S. M. Wang, W. Nazarewicz, A. Volya, and Y. G. Ma,  
“Probing the Non-exponential Decay Regime in Open  
Quantum Systems.” arXiv, Nov. 21, 2022. doi: [10.48550/  
arXiv.2211.11619](https://doi.org/10.48550/arXiv.2211.11619).

# Probing the Non-exponential Decay Regime in Open Quantum Systems

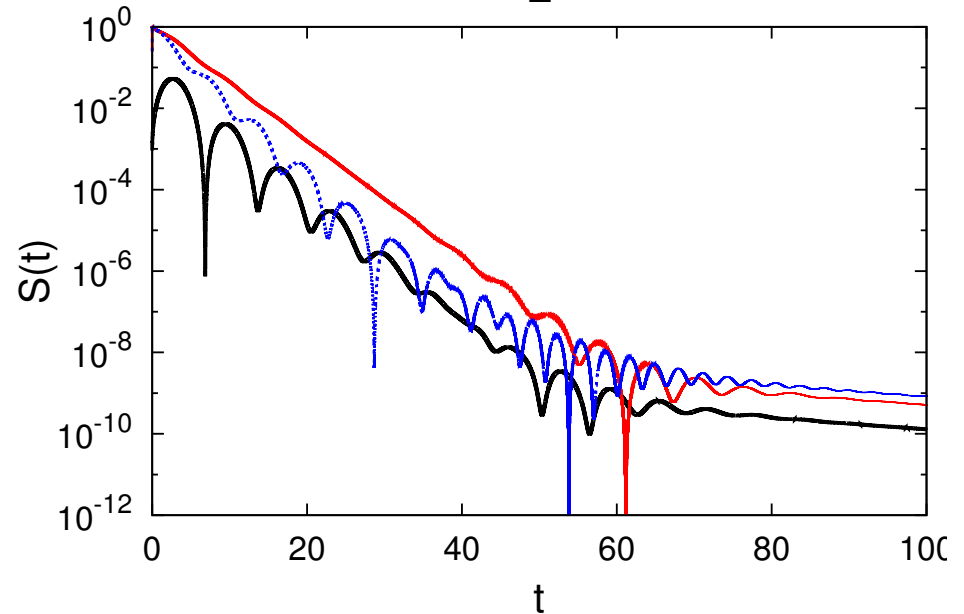
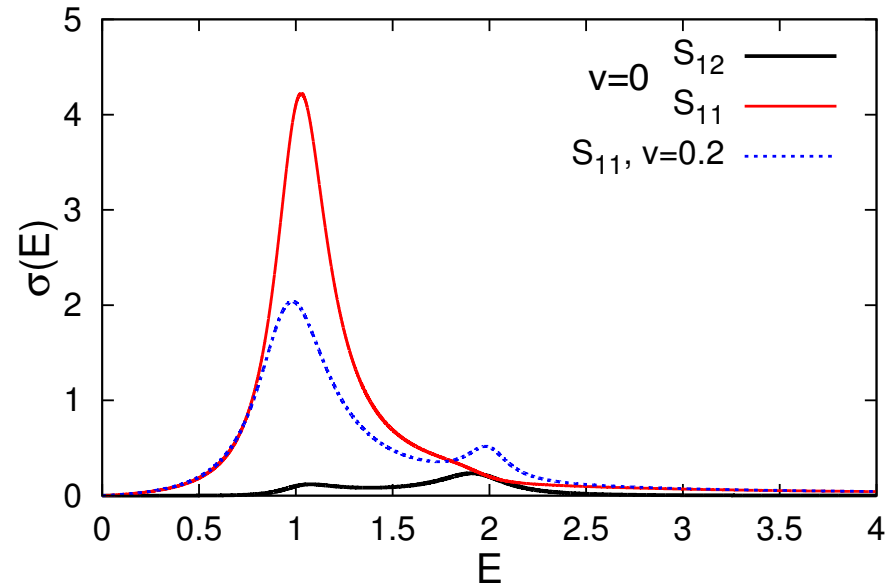
- Broad threshold resonance ( $^9\text{N}$ ,  $^9\text{He}$ )
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  - Nucleon-nucleon correlations
  - Energy dependence
- Overlapping resonances ( $^{13}\text{C}$ ,  $^{13}\text{N}$ )
  - Interference, pronounced non-exponentiality
  - Superradiance

# Two-level system

$$\mathcal{H} = \begin{pmatrix} \epsilon_1 - (i/2)\Gamma_1 & v - (i/2)A_1A_2 \\ v - (i/2)A_1A_2 & \epsilon_2 - (i/2)\Gamma_2 \end{pmatrix}$$

$$\Gamma_1 = A_1^2, \quad \Gamma_2 = A_2^2,$$

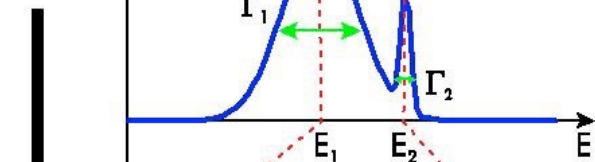
$$S(t) = |\langle \Psi(0) | \Psi(t) \rangle|^2$$



$$\mathcal{H} = H^0 + V - iW/2$$

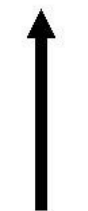
Real V

V=0



Imaginary W

W ≠ 0



W=0

V ≠ 0



# Other topics

- Collectivization by decay and many-body complexity
- Competition between decay and other collective modes (pairing, etc)
- Eigenstate thermalization hypothesis and universal relaxation of observables
- From open nuclear systems to quantum information and fundamental physics.

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