

Space Charge Physics: A Very Basic Introduction

Assume beam bunch long relative to machine aperture



Thin "slice"
 $x-z$ system moving with beam
 $\frac{d}{ds} \approx 0$ Axial changes in beam weak.

Then we can apply the equation of motion we derived earlier in ?? lecture.pdf

Assume:

- * No axial momentum spread: All particles move with axial velocity v_0
- * One species of charge q and mass m
- * No bends
- * No nonlinear applied focusing.

$$\frac{d^2}{ds^2} x + r_x(s) x = \frac{-q}{m \gamma^3 \beta^3 c^2} \frac{\partial \phi}{\partial x}$$

$$\frac{d^2}{ds^2} y + r_y(s) y = \frac{-q}{m \gamma^3 \beta^3 c^2} \frac{\partial \phi}{\partial y}$$

Poisson Eqn. $\left(\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} \right) \phi = -\frac{\rho}{\epsilon_0}$ $\rho = \text{beam charge density}$
 + Boundary Conditions on ϕ

PHY905
 Fundamentals of Accelerator Physics
 18. lecture.pdf

$r_x =$ lattice function x -plane
 $r_y =$ lattice function y -plane.
 In $\frac{1}{\gamma^3}$ factor;
 $\frac{1}{\beta^3}$ from Be gn
 $\frac{1}{c^2}$ from kinematics

what we take:

Quadrupole Focusing:

$$R_x = -R_y = \frac{B'}{(BP)}$$

Solenoid Focusing:

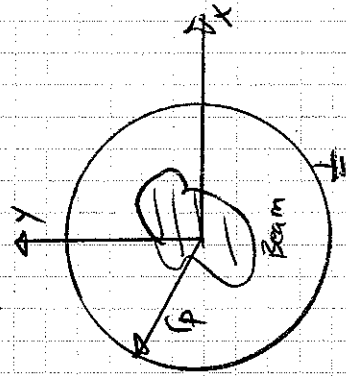
$$R_x = R_y = \left(\frac{B_{\theta 0}}{2(BP)} \right)^2$$

[In Larmor Frame]

Continuous Focusing

$$R_x = R_y = -b \rho_0^2 = \text{const.}$$

Assume the beam pipe is a circular cylinder to be simple:



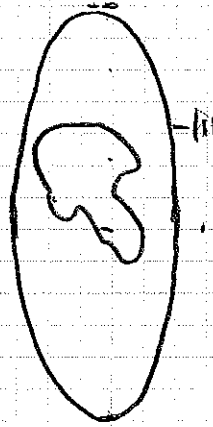
r_p = Pipe Radius.

Other shapes some times used:

Rectangular

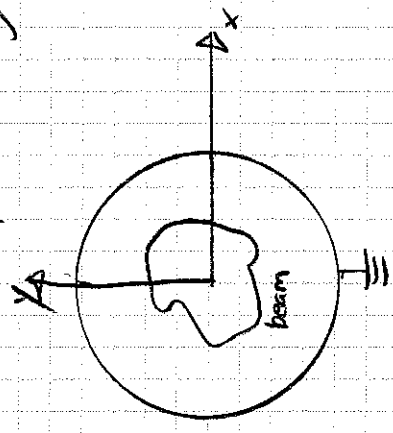


Ellipse



* To allow more beam clearance in specific situations like dispersive bends,

To find effect of space-charge fields, the Poisson Equation must be solved



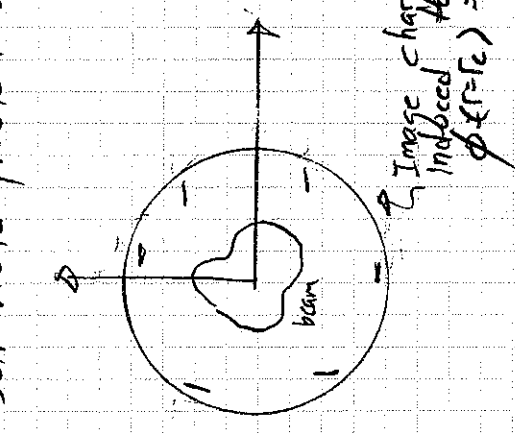
$$\left(\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2}\right)\phi = -\frac{\rho}{\epsilon_0}$$

$\phi = 0$ on boundary

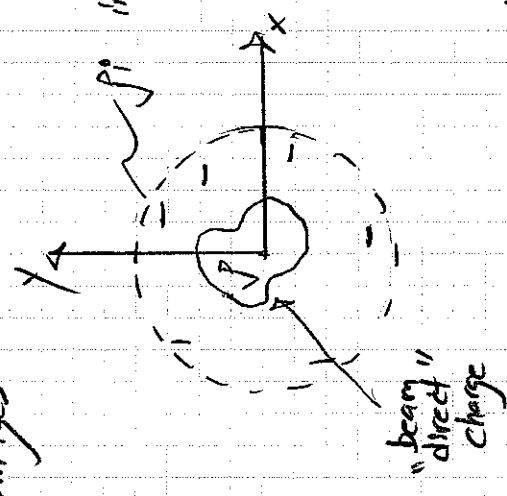
$\rho = qn = \text{charge density}$
 $n = \text{number density}$

Continuous density approx (many particles)

Resolve the self-field problem using "method of images"

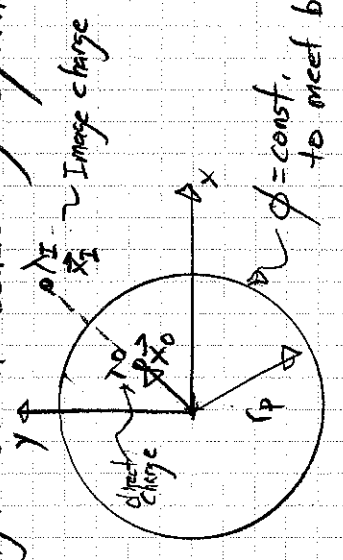


Replace



Direct + Image charges in free-space.

Image charges of a conducting cylinder



$$V_1 = -\gamma_0 \quad (\text{Image} = -\text{Direct})$$

$$\vec{x}_1 = \frac{\rho}{|\vec{x}_0|}$$

Replacement exact for $r < r_c$.

Images must be right to meet $\phi(r=r_c) = 0$ boundary condition.

$\phi = \text{const.}$ to meet boundary condition.

Field of a line charge λ at origin $r=0$

$$\nabla_{\perp} \cdot \vec{E} = \frac{\rho}{\epsilon_0} \quad \rho = \lambda \frac{\delta(r)}{2\pi r}$$

Apply Gauss' Law

$$E_r = \frac{\lambda}{2\pi\epsilon_0 r}$$

When line charge λ at $\vec{x}_1 = \vec{x}_1$, by symmetry

$$\vec{E}_{\perp}(\vec{x}_1) = \frac{\lambda}{2\pi\epsilon_0} \frac{\vec{x}_1 - \vec{x}_1'}{|\vec{x}_1 - \vec{x}_1'|^2}$$

2D Cylinder

$$\int \vec{E} \cdot \hat{r} dA = \frac{\lambda}{\epsilon_0} = \frac{Q_{in}}{\epsilon_0}$$

$$2\pi r E_r = \frac{\lambda}{\epsilon_0}$$

Now apply to our beam + Image problem using linear superposition of beam + image charges in \vec{x}_1

$$\frac{d}{dx_1} = \vec{E}_{\perp}(\vec{x}) = \frac{1}{2\pi\epsilon_0} \int_{\text{Pipe}} \rho(\vec{x}_1') \frac{(\vec{x}_1 - \vec{x}_1')}{|\vec{x}_1 - \vec{x}_1'|^2} dx_1' - \frac{1}{2\pi\epsilon_0} \int_{\text{Pipe}} \rho(\vec{x}_1') \frac{(\vec{x}_1 - \vec{x}_1' - \vec{x}_1'')}{|\vec{x}_1 - \vec{x}_1' - \vec{x}_1''|^2} dx_1'$$

* Difficult to solve even for simple beam charge distributions $\rho(\vec{x})$

* Non-simple Pipes even harder!

- Ellipse / Rectangle

- Electric Quadrupole electrodes

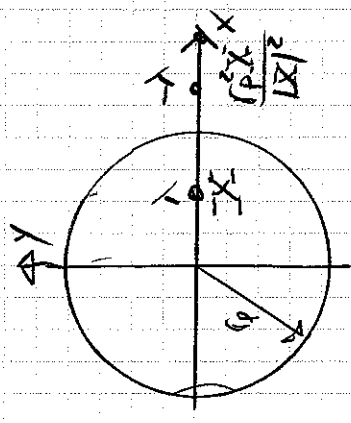
!

Estimate the strength of the image term: replace beam by of line charge of the beam center of mass.

$$\lambda = \int_{\text{pipe}} \rho(\vec{x}) d^2x = \text{line charge of beam}$$

$$\vec{x} = \int_{\text{pipe}} \vec{x} \rho(\vec{x}) d^2x = \text{"center of mass" of beam}$$

Choose coordinates where \vec{x} is on axis: $\vec{x} = \text{sign}(\vec{x}) |\vec{x}|$



$$\vec{E}_{\text{image}} = \frac{-\lambda}{2\pi\epsilon_0} \int_{\text{pipe}} \frac{\delta(\vec{x}_1 - \vec{x})}{|\vec{x}_1 - \vec{x}|^2} \left[\vec{x}_1 - \rho^2 \frac{\vec{x}_1}{|\vec{x}_1|^2} \right] d^2x_1$$

Evaluate at charge

* Only location field needed to estimate strength.

$$\vec{E}_{\text{image}}(\vec{x}_1 = \vec{x}) = \frac{\lambda}{2\pi\epsilon_0} \frac{1}{|\vec{x}|^2}$$

If $|\vec{x}|/r \ll 1$ and r large

* Makes line charge replacement good also:

$$\Rightarrow \vec{E}_{\text{image}} \approx \frac{\lambda \vec{x}}{2\pi\epsilon_0 r^2}$$

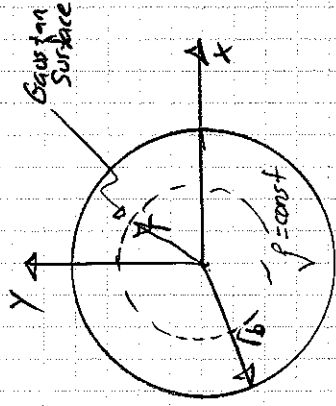
* Weak when $|\vec{x}|/r \ll 1$.

* Neglect for large pipe. Bigger aperture also limits losses but more. \Rightarrow Choose aperture big

Neglecting image charges we have:

$$\vec{E}_\perp = -\frac{\partial \phi}{\partial \vec{x}_\perp} = \frac{1}{2\pi\epsilon_0} \int_{\text{pipe}} \rho(\vec{x}) \frac{(\vec{x} - \vec{x}'_\perp)}{|\vec{x} - \vec{x}'_\perp|^2} d^2x'$$

Let's further approximate the beam as being uniform density within a radius $r = b$.



$$\rho = \begin{cases} \frac{\lambda}{\pi b^2} = \text{const} & r < b \\ 0 & r > b \end{cases}$$

$$\lambda = \int_{\text{cylinder}} \rho(\vec{x}) d^3x = \text{line charge.}$$

Apply Gauss' Law to calculate \vec{E} inside the beam ($r < b$)

$$\int_S \vec{E}_\perp \cdot \hat{n} d^2x = \left(\frac{\lambda}{\pi b^2} \right) \pi r^2 = \lambda \frac{r^2}{b^2}$$

S radius r
 $r < b$

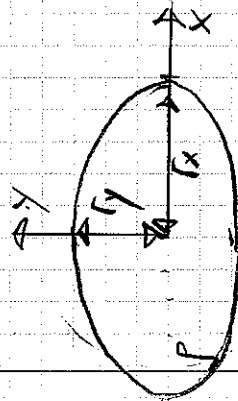
$$2\pi r E_r = \lambda \frac{r^2}{b^2}$$

$$E_r = \frac{\lambda r}{2\pi b^2} \quad r \leq b$$

$$\vec{E}_\perp = \frac{\lambda r}{2\pi b^2} \hat{r} \quad r \leq b$$

Linear field!

If we had a uniform density elliptical beam, some analysis (far from trivial) shows that the field within the beam is still linear with



$$\rho = \frac{\lambda}{\pi r_x r_y}$$

r_x, r_y half-widths

$$E_x = -\frac{\partial \phi}{\partial x} = \frac{\lambda}{\pi \epsilon_0} \frac{x}{(x+y)^2}$$

$$E_y = -\frac{\partial \phi}{\partial y} = \frac{\lambda}{\pi \epsilon_0} \frac{y}{(x+y)^2}$$

$$\frac{x^2 + y^2}{r_x^2 r_y^2} < 1$$

* Reduces to round beam case with $r_x = r_y = b$

Lets now apply the equations of motion for a particle oscillating within the 'a uniform density beam'

- * Take $r_x = r_y = k_{p0}^2 = \text{const}$ Simple + consistent with round beam (same focus in x, y)
- * $r = \sqrt{x^2 + y^2} < r_b \Rightarrow$ inside beam where $E_x = E_r \frac{x}{r}$, $E_y = E_r \frac{y}{r}$

Then our particle equations of motion are

$$\frac{d^2 x}{ds^2} + k_x^2(s) x = \frac{-g \lambda}{2\pi \epsilon_0 m \gamma^3 \beta^2 c^2} \frac{\partial \phi}{\partial x}$$

$$\frac{d^2 y}{ds^2} + k_y^2(s) y = \frac{-g \lambda}{2\pi \epsilon_0 m \gamma^3 \beta^2 c^2} \frac{\partial \phi}{\partial y}$$

$$\frac{d^2 x}{ds^2} + k_{p0}^2 x = \frac{-g \lambda}{2\pi \epsilon_0 m \gamma^3 \beta^2 c^2} x$$

$$\frac{d^2 y}{ds^2} + k_{p0}^2 y = \frac{-g \lambda}{2\pi \epsilon_0 m \gamma^3 \beta^2 c^2} y$$

in beam

Define:

$$Q = \frac{g \lambda}{2\pi \epsilon_0 m \gamma^3 \beta^2 c^2} = \text{Dimensionless} = \text{const}$$

Perveance

Typically small number:

$$Q \sim 10^{-3} - 10^{-4}$$

$$\sim 10^{-6}$$

near injector
downstream
if not intense
beam accelerator.

Can show in our simple situation in nonrelativistic limit

$$Q = \frac{g \Delta \phi}{E}$$

$$E = \frac{1}{2} m \beta^2 c^2 = \text{kinetic energy}$$

$$\Delta \phi = \phi(r=0) - \phi(r=r_b)$$

$$g \Delta \phi = \text{potential energy across beam}$$

Then equations are

$$\frac{d^2 x}{ds^2} + k_{p0}^2 x - \frac{Q}{r_b^2} x = 0$$

$$\frac{d^2 y}{ds^2} + k_{p0}^2 y - \frac{Q}{r_b^2} y = 0$$

$r < r_b$

Equations of motion in beam ($r \ll r_0$)

$$\frac{d^2 x}{ds^2} + k_{p0}^2 x - \frac{Q}{r_0^2} x = 0$$

$$\frac{d^2 y}{ds^2} + k_{p0}^2 y - \frac{Q}{r_0^2} y = 0$$

⇒ both same form

$$\frac{d^2 x}{ds^2} + k_{\beta}^2 x = 0$$

$$k_{\beta}^2 = k_{p0}^2 - \frac{Q}{r_0^2}$$

Recall we can write:

$$k_{p0} = \frac{\sigma_0}{L_p}$$

"undepressed"
phase advance per lattice period.
 $\sigma_0 =$ Lattice period.

By analogy we take:

$$k_{\beta} = \frac{\sigma}{L_p}$$

$$= \sqrt{k_{p0}^2 - \frac{Q}{r_0^2}}$$

$$= \sqrt{\frac{\sigma^2}{L_p^2} - \frac{Q}{r_0^2}}$$

"depressed"
phase advance

$$0 \leq \frac{k_{\beta}}{k_{p0}} = \frac{\sigma}{\sigma_0} \leq 1$$

⇒ $Q = k_{p0}^2 r_0^2$
Space-charge cancels applied focus. (cold beam)

⇒ $Q = 0$
Single particle dynamics. (warm beam)

$$0 \leq \frac{\sigma}{\sigma_0} \leq 1$$

provides a normalized measure of space-charge strength measured by fraction of applied focusing canceled.

Equation of motion

$$\frac{d}{ds^2} X(s) + k_B^2 X(s) = 0$$

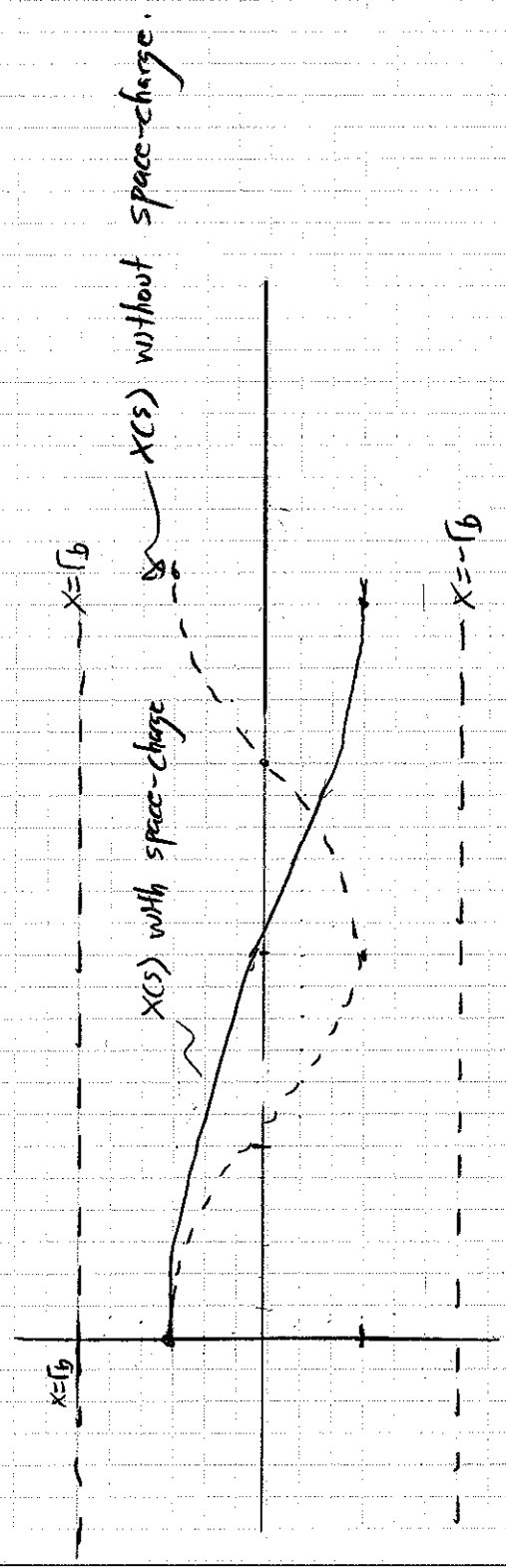
has solution

$$X(s) = X_0 \cos[k_B(s-s_1)] + \frac{X_0'}{k_B} \sin[k_B(s-s_1)]$$

$$X'(s) = -X_0 \frac{\sin[k_B(s-s_1)]}{k_B} + X_0' \cos[k_B(s-s_1)]$$

Graphically

$$X_0' = 0 \quad X_P = b/2 \quad \sigma/\sigma_0 = 0.5$$



Space-charge slows down particle oscillations.

$k_B \rightarrow 0$ at space-charge limit: No oscillation

A self-consistent continuous distribution called the Kapchinsky-Vladimisky distribution exists which generates a bundle of particles consistent with the envelope

- Works for an elliptical beam too! See Lund USPAS notes,

$$k_B^2 = k_{p0}^2 - \frac{1}{b^2} = \left(\frac{\sigma}{\sigma_0}\right)^2 k_{p0}^2$$

$s = s_1$ = initial axial coord.
 X_0 = initial coord.
 X_0' = initial angle

Comments continued:

- * Space charge can shift tune in rings driving into nearby resonance lines.
- * For nonuniform beam situation is much more complicated. - Density profile evolves.
- See Lund and Barnard, "Beam Physics with Intense Space-Charge" USPAS

$$\lambda = \frac{q\lambda}{2\pi\epsilon_0 m \gamma^3 \beta^2 c^2} \quad \text{if } \lambda = \text{const.}$$

$$\sim \frac{1}{\gamma^3 \beta^2}$$

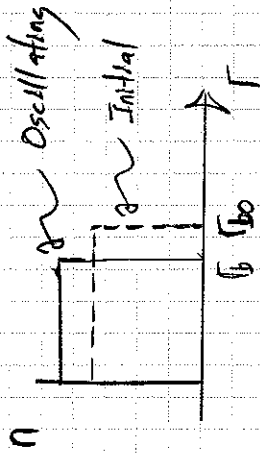
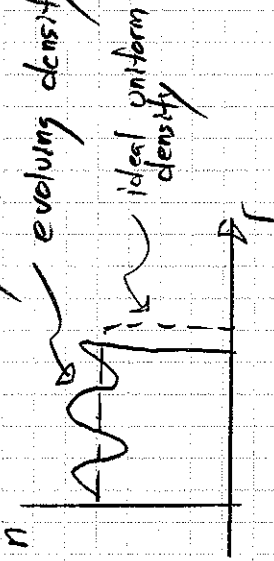
\Rightarrow Space charge matters most for low energy beam near injector, and will weaken with acceleration unless λ is increased by longitudinal beam compression.

- * Situation outlined with space charge depressing oscillation frequencies remains true for a uniform density elliptical beam allowing us to apply basic concepts outlined to quadrupole focusing of beams with intense space-charge.

Envelope Modes: Introduction to collective beam behavior

Space charge also results in many collective waves and instabilities which must be understood to reliably operate an accelerator with intense space charge.

evolving density \Rightarrow ripples produce nonlinear force.



lowest order collective mode:
self-similarly oscillate uniform density
 \Rightarrow "envelope mode"

Let's examine this situation using statistical averages over the beam

$$\langle \dots \rangle = \frac{\sum_i \dots_i}{N}$$

Average over n particles

Some quantity

$$= \frac{\iiint \dots f(\vec{x}_i, \vec{x}'_i, s) d^3x_i d^3x'_i}{\iiint_{\text{Phase-space}} f(\vec{x}_i, \vec{x}'_i, s) d^3x_i d^3x'_i}$$

continuum approx.

$f(\vec{x}_i, \vec{x}'_i, s)$ = beam distribution

$\int f(\vec{x}_i, \vec{x}'_i, s) d^3x'_i$ = charge density

Relate the beam edge to a statistical average over the uniform density beam:

$$\int_b = 2 \langle x^2 \rangle^2$$

Proof!

$$\langle x^2 \rangle = \frac{\iint_{\text{phase space}} x^2 + dx^2 dx'}{\iint_{\text{phase space}} dx^2 dx'}$$

Take norm $\int dx^2 dx' = n(x,y,s) = \text{density}$
 angles

$$\langle x^2 \rangle = \frac{\int_{\text{round beam}} x^2 n dx^2}{\int_{\text{round beam}} n dx^2} = \frac{\int_0^{r_b} \cos^2 \theta \cdot r^2 \cdot A \cdot r dr}{A \pi r_b^2} = \frac{r_b^2}{4} = \frac{r_b^2}{4}$$

$$\sqrt{\langle x^2 \rangle} = r_b \implies \sqrt{\frac{r_b^2}{4}} = \frac{r_b}{2}$$

Next differentiate this equation for r_b twice to obtain an evolution equation for r_b

$$\frac{d}{dt} \left(\frac{r_b}{2} \right) = \frac{d}{dt} \left(\sqrt{\langle x^2 \rangle} \right) = \frac{1}{2} \frac{d \langle x^2 \rangle}{dt} = \frac{1}{2} \left(\frac{d \langle x^2 \rangle}{dt} \right)$$

$$0 = \left[\frac{d \langle x^2 \rangle}{dt} - \frac{d \langle x^2 \rangle}{dt} \right] = \frac{d \langle x^2 \rangle}{dt} - \frac{d \langle x^2 \rangle}{dt}$$

Next, apply the uniform density beam equation of motion.

$$x'' + k_{po}^2 x - \frac{Q}{\Gamma_b^2} x = 0$$

to simplify

$$\langle xx \rangle = -k_{po}^2 \langle x^2 \rangle + \frac{Q}{\Gamma_b^2} \langle x^2 \rangle$$

$$\Rightarrow \frac{d^2}{ds^2} \Gamma_b + 2k_{po}^2 \langle x^2 \rangle - \frac{2Q}{\Gamma_b^2} \langle x^2 \rangle - \frac{3}{2} \frac{\langle x^2 \rangle}{\Gamma_b^2} = 0$$

Use:

$$\Gamma_b = 2 \langle x^2 \rangle^{1/2}$$

$$E_{rms} = \left[\langle x^2 \rangle \langle x'^2 \rangle - \langle xx' \rangle^2 \right]^{1/2} = \text{rms emittance.}$$

Statistical measure beam phase-space area.

rms Envelope equation

$$\Rightarrow \frac{d^2}{ds^2} \Gamma_b + k_{po}^2 \Gamma_b - \frac{Q}{\Gamma_b} - \frac{16 E_{rms}^2}{\Gamma_b^3} = 0$$

More advanced studies show that

$E_{rms} = \text{const}$ for linear space-charge forces

E_{rms} varies little for most "reasonable" distributions in linear transport channels.

For $\Gamma_b = \text{const}$, $E_{rms} = \text{const}$

$$k_{po}^2 \Gamma_b - \frac{Q}{\Gamma_b} = 16 \frac{E_{rms}^2}{\Gamma_b^3} \Rightarrow$$

$$k_{po}^2 = k_{po}^2 - \frac{Q}{\Gamma_b^2} = 16 \frac{E_{rms}^2}{\Gamma_b^2}$$

This implies when

$$\frac{Q}{Q_0} = \frac{k_{ps}}{k_{ps0}} \rightarrow 0$$

that $E_{x,rms} \rightarrow 0$

beam phase-space area is zero in "cold" limit with full space-charge depression.

In the envelope equation

$$\frac{d^2 r_b}{ds^2} + k_{p0}^2 r_b - \frac{Q}{r_b} - \frac{16 E_{x,rms}^2}{r_b^3} = 0$$

\uparrow "Inertial" term \uparrow Applied Linear Focus \uparrow Space Charge Defocusing \uparrow Thermal Defocusing from beam phase-space area.

* Envelope response is highly non-linear: solve numerically from initial condition.

* As beam expands r_b increases

$$\frac{Q}{r_b} \xrightarrow{\text{eventually}} > \frac{16 E_{x,rms}^2}{r_b^3} \text{ emittance term}$$

Space-charge matters more as beam expands radially with fixed phase-space area.

* As beam is focused more strongly and r_b decreases

$$\frac{16 E_{x,rms}^2}{r_b^3} \xrightarrow{\text{eventually}} > \frac{Q}{r_b} \text{ space-charge term}$$

* Can show space-charge term Q/r_b has same form regardless of distribution of charge if $P = \sum_{i=1}^N P_i$. Will prove on final exam. Implies envelope equation more general than for uniform beam only.

Try to better understand small-amplitude oscillations about equilibrium "matched" beam

$$\begin{aligned} r_b = r_{b0} = \text{const} &\Rightarrow \text{"Matched" Equilibrium} \\ k_{p0}^2 r_{b0} - \frac{Q}{r_{b0}} - 16 \frac{E_{x,rms}^2}{r_{b0}} &= 0 \quad (*) \end{aligned}$$

(*) constrains parameters of "matched core" in force balance

Set:

$$r_b = r_{b0} + \delta r_b \quad ; \quad | \delta r_b | / r_{b0} \ll 1$$

"Breathing" Oscillation.

$$\rightarrow \frac{d^2}{ds^2} \delta r_b + k_{p0}^2 (r_{b0} + \delta r_b) - \frac{Q}{r_{b0} + \delta r_b} - 16 \frac{E_{x,rms}^2}{(r_{b0} + \delta r_b)^3} = 0$$

Linearize!

$$\frac{d^2}{ds^2} \delta r_b + k_{p0}^2 r_{b0} + k_{p0}^2 \delta r_b - \frac{Q}{r_{b0}} \left[1 - \frac{\delta r_b}{r_{b0}} \right] - 16 \frac{E_{x,rms}^2}{r_{b0}^3} \left[1 - 3 \frac{\delta r_b}{r_{b0}} \right] = 0$$

$$\frac{d^2}{ds^2} \delta r_b + \left[k_{p0}^2 r_{b0} - \frac{Q}{r_{b0}} - 16 \frac{E_{x,rms}^2}{r_{b0}^3} \right] + k_{p0}^2 \delta r_b + \left[\frac{Q}{r_{b0}^2} + \frac{48 E_{x,rms}^2}{r_{b0}^4} \right] \delta r_b = 0$$

" 0 from *

$$\frac{Q}{r_{b0}^2} = k_{p0}^2 - k_p^2$$

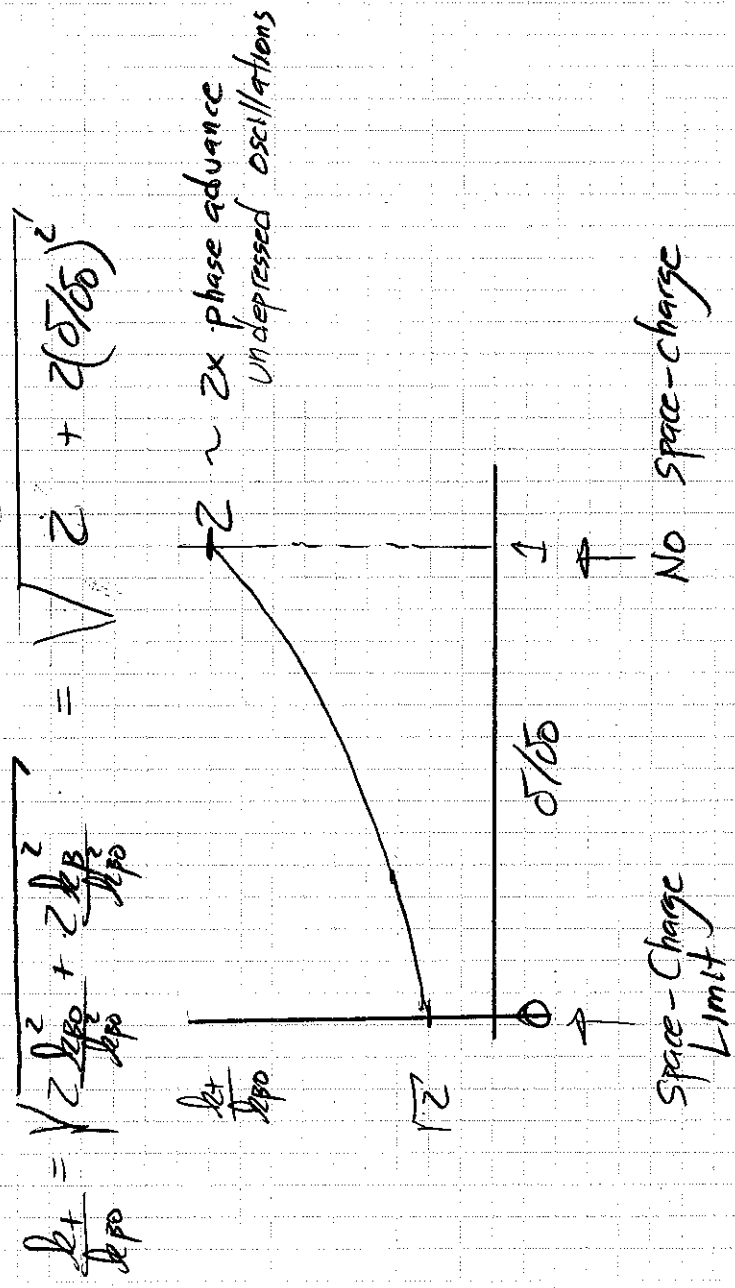
$$\text{" } k_p^2 = k_{p0}^2 - \frac{Q}{r_{b0}^2} = \frac{16 E_{x,rms}^2}{r_{b0}^4} \text{ from *}$$

$$\frac{d^2}{ds^2} \delta r_b + k_{p0}^2 \delta r_b + (k_{p0}^2 - k_p^2) \delta r_b + 3 k_p^2 \delta r_b = 0$$

$$\frac{d^2}{ds^2} \delta r_b + (2 k_{p0}^2 + 2 k_p^2) \delta r_b = 0$$

$$\frac{d^2}{ds^2} \delta r_b + k_+^2 \delta r_b = 0$$

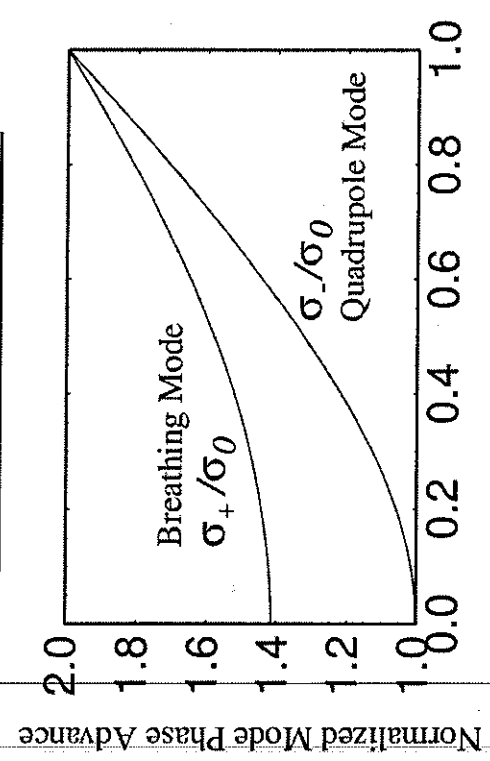
* simple harmonic oscillator equation with restoring wavenumber $k_+ = \sqrt{2 k_{p0}^2 + 2 k_p^2}$



- * Dispersion curve gives Arg. of lowest collective mode response.
- * If frequency breathing mode resonates with errors etc, trouble can result.
 - Mode can be destabilized
 - Find it is key in generating beam halo. - large amplitude tenuous distribution of particles outside the core.

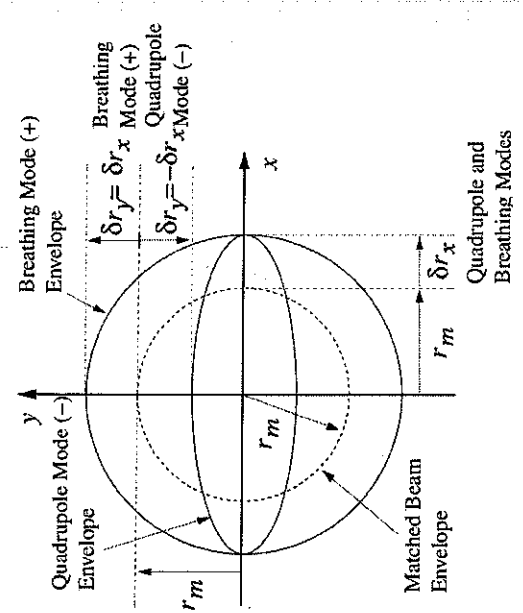
What if different perturbations along x and y -
 \Rightarrow 2 Modes: Breathing + Quadrupole
 High Freq. Low Freq.

Properties of continuous focusing homogeneous solution: Normal Modes



Mode Phase Advances

Mode Projections



From Lond, USPAS

$$\sigma_+ \equiv \sqrt{2\sigma_0^2 + 2\sigma^2} \quad \text{Breathing Mode:} \quad \delta r_+ \equiv \frac{\delta r_x + \delta r_y}{2}$$

$$\sigma_- \equiv \sqrt{\sigma_0^2 + 3\sigma^2} \quad \text{Quadrupole Mode:} \quad \delta r_- \equiv \frac{\delta r_x - \delta r_y}{2}$$

Huge amount more possible:

When $R_{\perp}(s)$, $\gamma(s)$

periodic functions \Rightarrow different matched beams
with many more oscillation modes; some destabilize.

* See Lund, USPAS, "Transverse Centroid and Envelope Descriptions of Beam Evolution"

Many, many more topics on beam space-charge. If interested, consider taking:

Lund and Bartrand, "Beam Physics with Intense Space Charge"
US Particle Accelerator School
Summer, 2017 (MSU session).

A limited subset of material covering topics immediately progressing from materials in PHY 905 are included in the course web site:

18. supplement. ted.pdf

lectures on: "Transverse Equilibrium Distributions"

18. supplement. tce.pdf

Lectures on: "Transverse Centroid and Envelope Descriptions of Beam Evolution"