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USPAS
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Lisle, Illinois

Current limits

- A. Axisymmetric
 - 1. Solenoids
 - 2. Einzel lens

- B. Quadrupolar
 - 1. Derivation of envelope equations with elliptic symmetry
 - 2. Current limit using fourier transform method
 - 3. Alternative methods

Yesterday we derived the "Paraxial Ray Equation:"

$$r'' + \frac{(\gamma\beta)'}{\gamma\beta} r' + \frac{\gamma''}{2\gamma\beta^2} r + \left(\frac{\omega_c}{2\gamma\beta c}\right)^2 r + \left(\frac{p_\theta}{\gamma\beta mc}\right)^2 \frac{1}{r^3} - \frac{q}{\gamma^3 m\beta^2 c^2} \frac{\lambda(r)}{2\pi\epsilon_0 r} = 0$$

↑
Inertial
↑
 E_r from
converging
field lines
↑
↑
Part of
centrifugal
term
↑
Self-field
($E_r^{self} - v_z B_\theta^{self}$)

Accelerative
damping (of
angle r')
Solenoidal
focusing ($v_\theta B_z$
– part of
centrifugal
term)

which together with the conservation of canonical angular momentum,

$$p_\theta \equiv \gamma\beta mcr^2\theta' + \frac{m\omega_c r^2}{2}$$

and initial conditions, specify the orbit of a particle in an axisymmetric field.

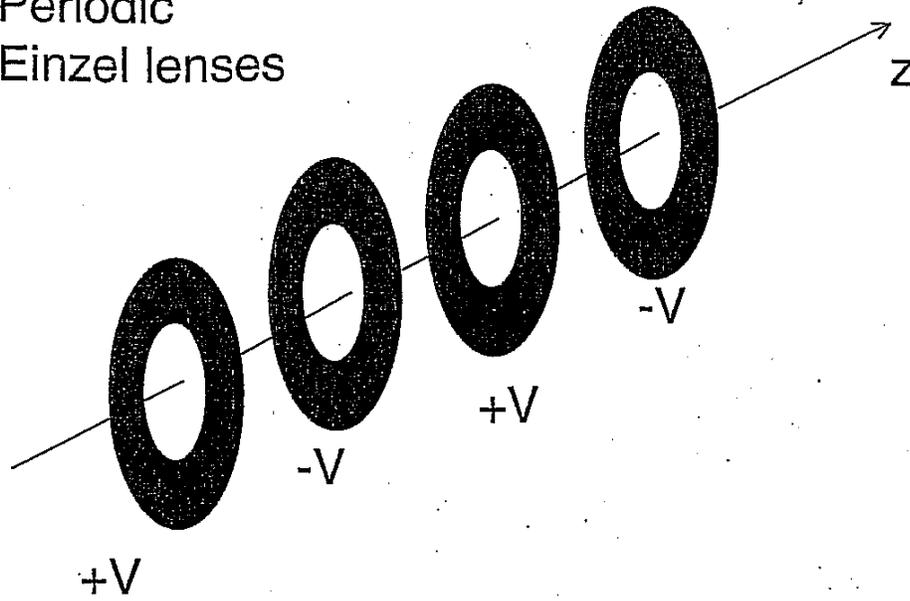
Taking statistical moments, we derived the radial envelope equation.

$$r_b'' + \frac{(\gamma\beta)'}{\gamma\beta} r_b' + \frac{\gamma''}{2\gamma\beta^2} r_b + \left(\frac{\omega_c}{2\gamma\beta c}\right)^2 r_b - \left(\frac{2\langle p_\theta \rangle}{\gamma\beta mc}\right)^2 \frac{1}{r_b^3} - \frac{\epsilon_r^2}{r_b^3} - \frac{Q}{r_b} = 0$$

where

$$\epsilon_r^2 = 4\left(\langle r^2 \rangle \langle r'^2 \rangle - \langle rr' \rangle^2 + \langle r^2 \rangle \langle r^2 \theta'^2 \rangle - \langle r^2 \theta' \rangle^2\right)$$

Periodic Einzel lenses



PERIODIC SOLENOIDS

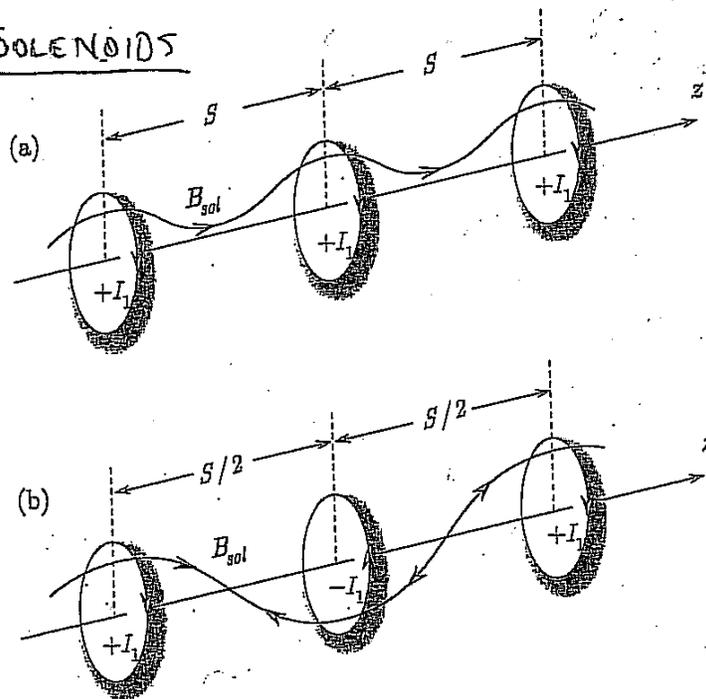


Figure 3.2. Schematic of magnet sets producing a periodic focusing solenoidal field with axial periodicity length S . In Fig. 3.2 (a), successive solenoids are spaced by S and have the same current polarity $+I_1, +I_1, \dots$. In Fig. 3.2 (b), successive solenoids are spaced by $S/2$ and have alternating current polarities $+I_1, -I_1, +I_1, \dots$.

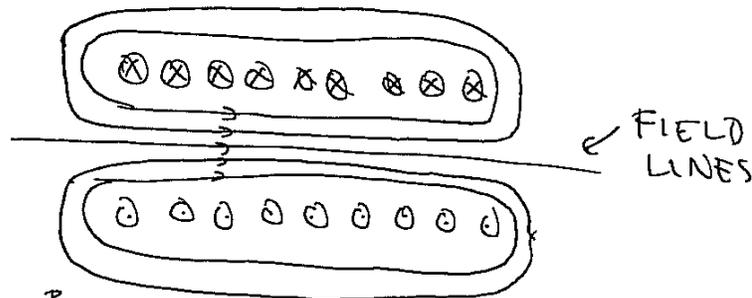
(FIGURE FROM DAVIDSON & QIN, 2003) P. 55 "PHYSICS OF INTENSE CHARGED PARTICLE BEAMS IN HIGH ENERGY ACCELERATORS"

SOLENOIDAL FOCUSING - CONTINUED

IN REALITY BEAM ACQUIRES v_{θ} AS BEAM

ENTERS SOLENOID:

CONSIDER SIMPLE STEP FUNCTION APPROXIMATION TO SOLENOID FIELD:



$$\text{LET } B_z = B_0 \left[\Theta(z) + \Theta(l_m - z) - 1 \right] = \begin{cases} 0 & z < 0 \\ B_0 & 0 < z < l_m \\ 0 & z > l_m \end{cases}$$

$$\frac{\partial B_z}{\partial z} = B_0 \left[\delta(z) - \delta(l_m - z) \right]$$

$$\text{HERE } \Theta(z) = \begin{cases} 1 & z > 0 \\ 0 & z < 0 \end{cases}$$

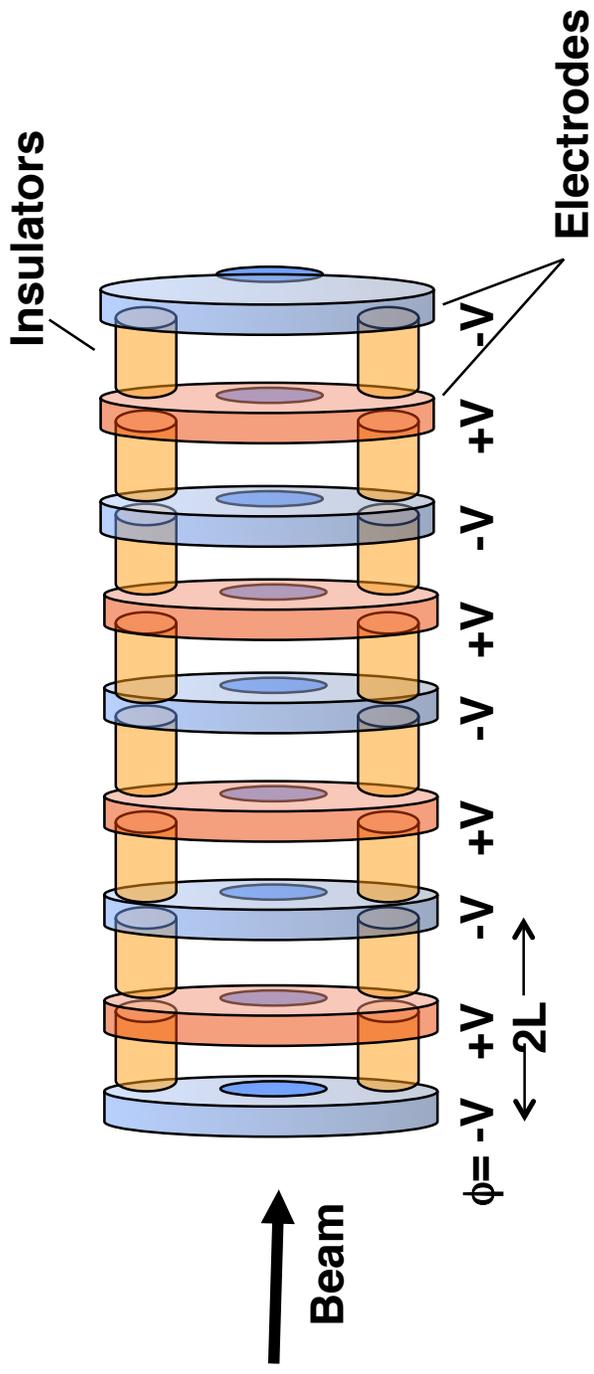
As we found earlier $\nabla \cdot B = 0 \Rightarrow$

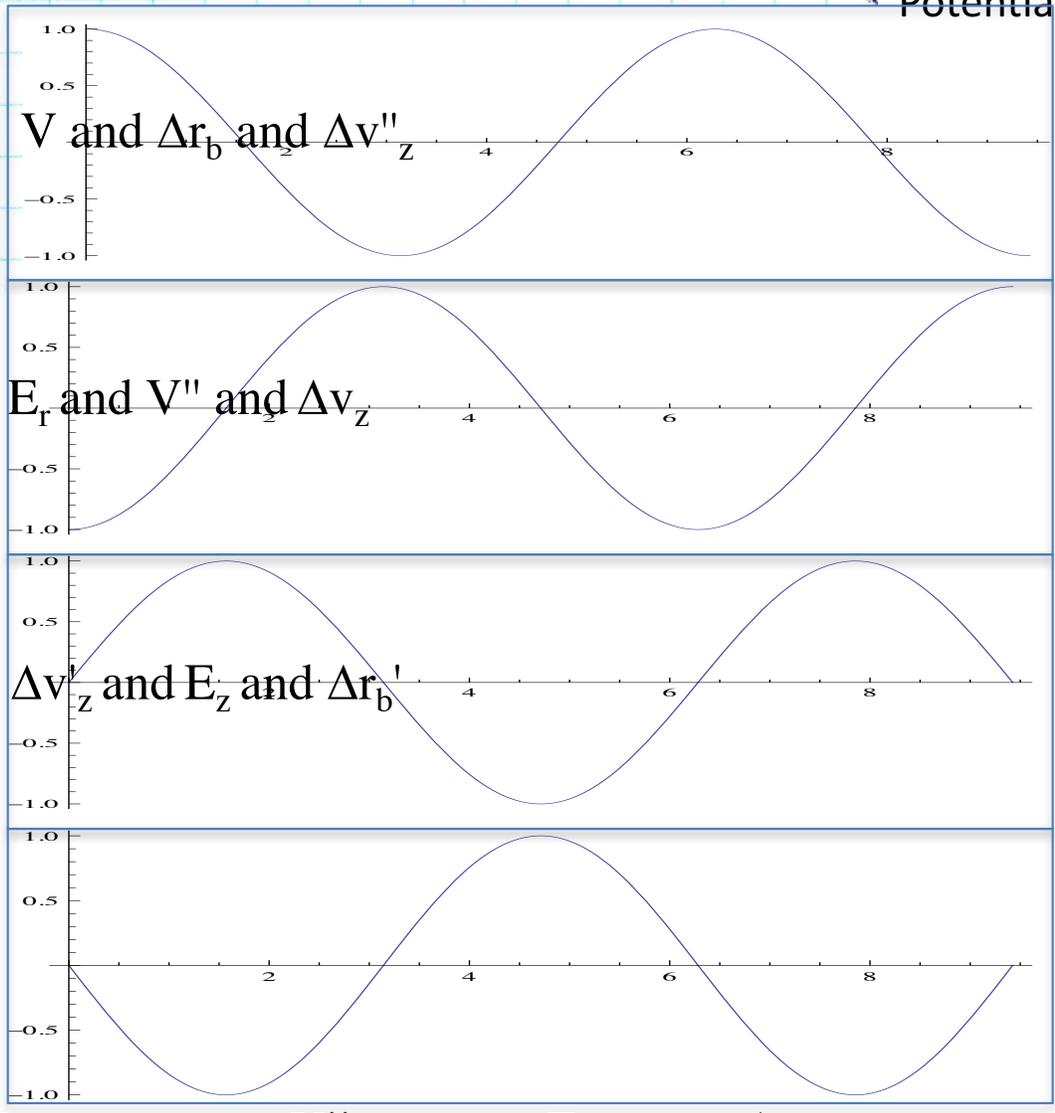
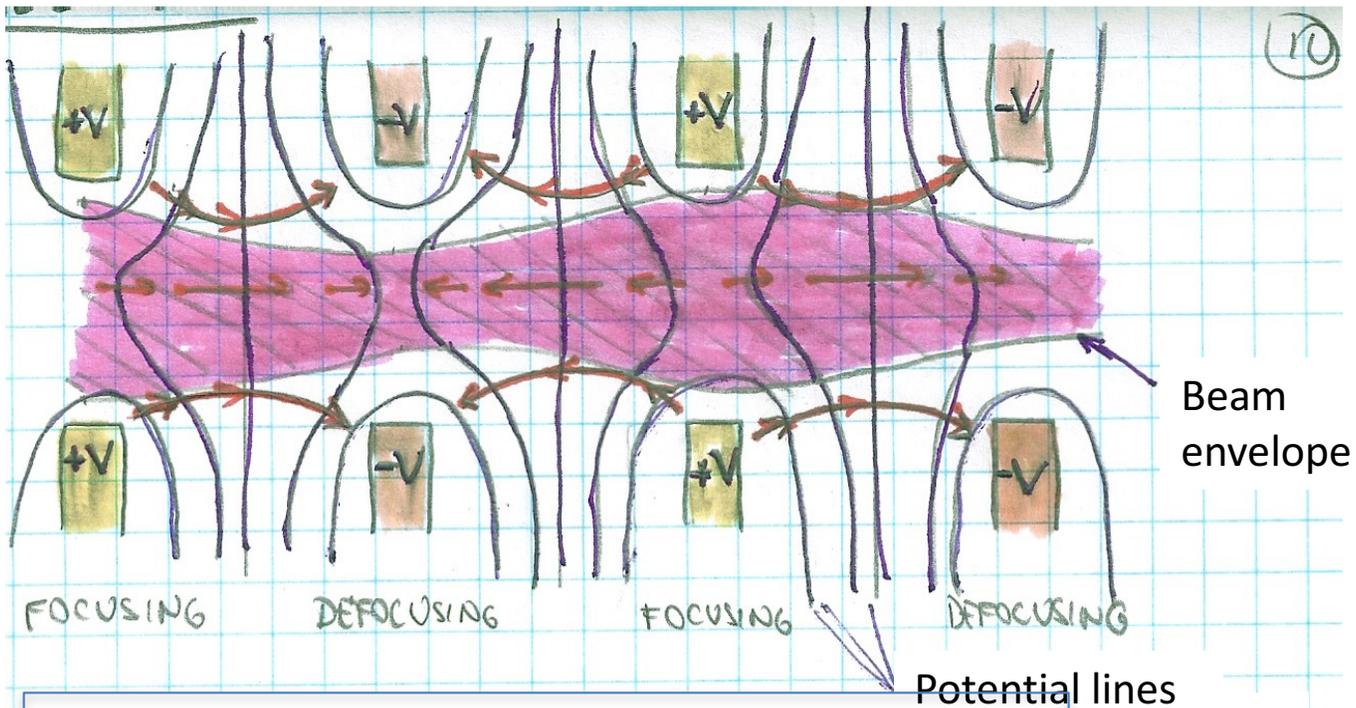
$$B_r(r, z) \simeq -\frac{r}{z} \frac{\partial B_z}{\partial z} + \dots = -\frac{r}{z} B_0 \left[\delta(z) + \delta(l_m - z) \right]$$

$$\Delta p_{\theta}^* = q \int v_z B_r dt = \int_{-\infty}^{0+\epsilon} q B_r dz = -nq \frac{B_0}{z}$$

$$\Rightarrow v_{\theta} = r \frac{q B_0}{2m} = \frac{r \omega_c}{z}$$

Schematic of Einzel lens





$$V \Rightarrow v_z, V'' \Rightarrow E_r \Rightarrow \Delta r$$

EINZEL LENS - ANALYSIS (DERIVATION FROM ED LEE)

$$\text{NOW, LET } \omega_c = \langle P_0 \rangle = E_r^2 = 0$$

$$\Rightarrow v_b'' + \frac{\gamma'}{\beta^2 \gamma} v_b' + \frac{\gamma''}{2\beta^2 \gamma} v_b - \frac{Q}{v_b} = 0$$

ALSO ASSUME $\beta \ll 1$, NON-RELATIVISTIC BEAM $\rightarrow \gamma' \approx \beta \beta'$
 $\gamma'' \approx \beta'^2 + \beta'' \beta$

$$v_b'' + \frac{\beta'}{\beta} v_b' + \left[\frac{1}{2} \frac{\beta'^2}{\beta^2} + \frac{1}{2} \frac{\beta''}{\beta} \right] v_b - \frac{Q}{v_b} = 0$$

To eliminate v_b' term try substitution

$$v_b = \left(\frac{\beta_0}{\beta} \right)^{1/2} R$$

$$v_b' = \left(\frac{\beta_0}{\beta} \right)^{1/2} R' - \frac{1}{2} \left(\frac{\beta_0}{\beta} \right)^{-1/2} \frac{R}{\beta_0} \beta'$$

$$v_b'' = \left(\frac{\beta_0}{\beta} \right)^{1/2} R'' - \left(\frac{\beta_0}{\beta} \right)^{-3/2} \frac{R'}{\beta_0} \beta' + \frac{3}{4} \left(\frac{\beta_0}{\beta} \right)^{-5/2} \frac{R}{\beta_0^2} \beta'^2 - \frac{1}{2} \left(\frac{\beta_0}{\beta} \right)^{-1/2} \frac{R}{\beta_0} \beta''$$

$$\Rightarrow \left(\frac{\beta_0}{\beta} \right)^{1/2} R'' + \frac{3}{4} \left(\frac{\beta_0}{\beta} \right)^{-1/2} \frac{\beta'^2}{\beta_0^2} R = \frac{Q}{R} \left(\frac{\beta_0}{\beta} \right)^{1/2}$$

$$\Rightarrow \boxed{R'' = \frac{Q}{R} \left(\frac{\beta}{\beta_0} \right) - \frac{3}{4} \left(\frac{\beta'}{\beta} \right)^2 R}$$

EINZEL LENS - CONTINUUM

MODEL: LET $\phi = \phi_0 \cos\left(\frac{\pi z}{L}\right)$

$$\frac{1}{2} m v^2 + q \phi = \text{constant}$$

$$\Rightarrow v^2 = v_0^2 - \frac{2q\phi}{m} \cos\left(\frac{\pi z}{L}\right)$$

$$v' = \frac{q\phi_0}{m v} \left(\frac{\pi}{L}\right) \sin\left(\frac{\pi z}{L}\right)$$

IF $\left(\frac{2q\phi_0}{m}\right) < v_0^2$: $\left(\frac{\beta'}{\beta}\right)^2 \approx \left(\frac{q\phi_0}{m v_0^2}\right)^2 \left(\frac{\pi}{L}\right)^2 \sin^2\left(\frac{\pi z}{L}\right)$

$$R'' = \frac{Q}{R} \left(\frac{\beta}{\beta_0}\right) - \frac{3}{4} \left(\frac{\beta'}{\beta}\right)^2 R$$

FOR EQUILIBRIUM LOOK AT D.C. COMPONENT: $\sin^2(x) = \frac{1}{2} = \frac{1}{2} \cos 2x$

$$R'' = 0 \Rightarrow \frac{Q}{R} = \frac{3}{4} \left(\frac{\beta'}{\beta}\right)^2 R$$

$$R \frac{Q}{R} \left(\frac{\beta}{\beta_0}\right)^{1/2} v_b \Rightarrow R = v_b$$

$$\left(\frac{\beta'}{\beta}\right)^2 = \frac{1}{2} \left(\frac{q\phi_0}{m v_0^2}\right)^2 \left(\frac{\pi}{L}\right)^2$$

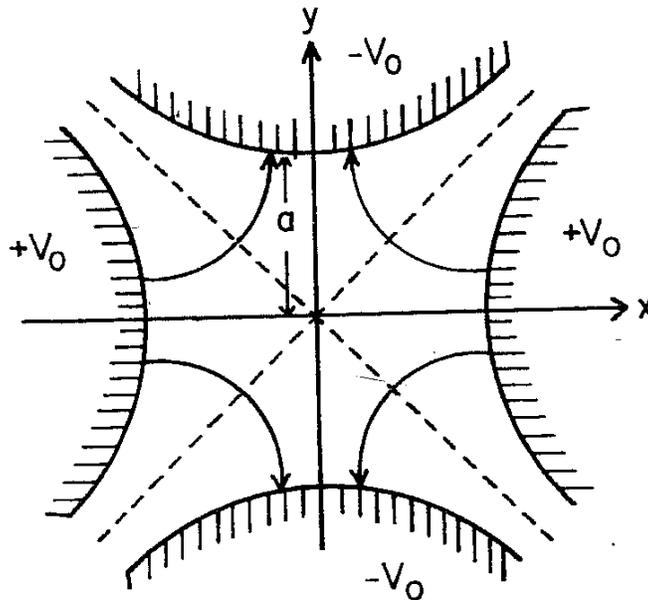
$$\Rightarrow Q_{\max} = \frac{3\pi^2}{8} \left(\frac{q\phi_0}{m v_0^2}\right)^2 \left(\frac{v_b}{L}\right)^2$$

BEAM OPTICS AND FOCUSING SYSTEMS WITHOUT SPACE CH

FROM REISER, p.112

$$E_x = -E'_x$$

$$E_y = E'_y$$



$$F_x = -qE'_x$$

$$F_y = qE'_y$$

ELECTROSTATIC QUADS

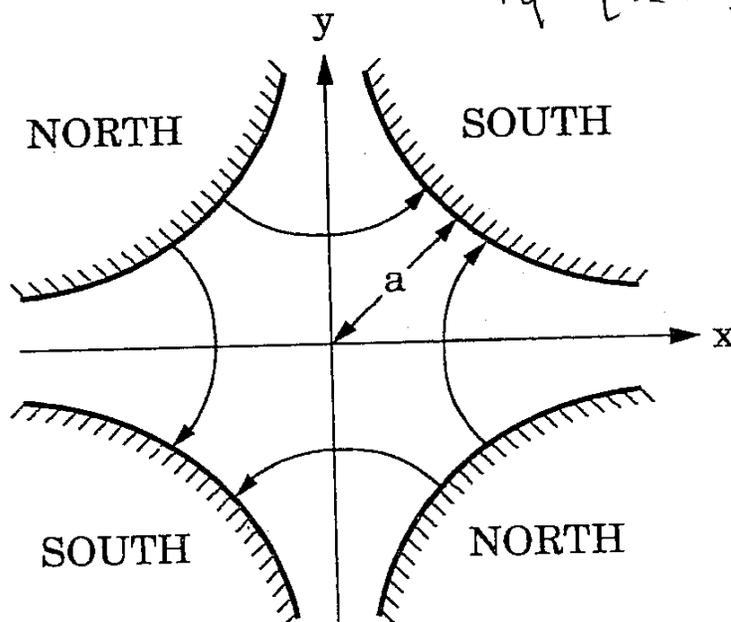
Figure 3.15. Electrodes and force lines in an electrostatic quadrupole.

$$B_x = B'_y$$

$$B_y = B'_x$$

$$F_x = -qV_z B'_x$$

$$F_y = qV_z B'_y$$



MAGNETIC QUADS

ENVELOPE EQUATIONS FOR NON-AXISYMMETRIC SYSTEMS

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$$r_x^2 \equiv 4 \langle x^2 \rangle \quad r_y^2 \equiv 4 \langle y^2 \rangle$$

$$2 r_x r_x' = 8 \langle x x' \rangle$$

$$r_x' = \frac{4 \langle x x' \rangle}{r_x}$$

$$\begin{aligned} r_x'' &= \frac{4 \langle x x'' \rangle}{r_x} + \frac{4 \langle x'^2 \rangle}{r_x} - \frac{4 \langle x x' \rangle}{r_x^2} r_x' \\ &= \frac{4 \langle x x'' \rangle}{r_x} + \frac{16 \langle x'^2 \rangle \langle x^0 \rangle}{r_x^3} - \frac{16 \langle x x' \rangle^2}{r_x^3} \end{aligned}$$

DEFINE $E_x^2 = 16 (\langle x'^2 \rangle \langle x^0 \rangle - \langle x x' \rangle^2)$

$$\Rightarrow \boxed{r_x'' = \frac{4 \langle x x'' \rangle}{r_x} + \frac{E_x^2}{r_x^3}}$$

SO HOW DO WE CALCULATE $\langle x x'' \rangle$?

RETURN TO SINGLE PARTICLE EQUATION (IN CARTESIAN COORDINATES)

$$\frac{d}{dt} (\gamma m \dot{x}) = \gamma m \ddot{x} = q (E_x + \dot{y} B_z - \dot{z} B_y)$$

↓

x''

± similarly
 y''

↓

QUADRUPOLE FOCUSING
STATE-CHARGE OF ELLIPTICAL
BEAMS

EQUATION OF MOTION

RETURN TO X, Y COORDINATES

$$x'' + \frac{1}{\gamma v_z} \frac{d}{ds} (\gamma v_z) x' = \frac{-q}{\gamma^3 m v_z^2} \frac{\partial \phi}{\partial x} \pm \begin{cases} \frac{q B'}{\gamma m v_z^2} x & \text{An magnetic quad} \\ \frac{q E'}{\gamma m v_z^2} x & \text{An electric quad} \end{cases}$$

Let $\frac{\gamma m v_z}{q} = \frac{p}{q} \equiv [B'] \equiv \text{RIGIDITY}$

$$y'' + \frac{1}{\gamma v_z} \frac{d}{ds} (\gamma v_z) y' = \frac{-q}{\gamma^3 m v_z^2} \frac{\partial \phi}{\partial y} \mp \begin{cases} \frac{B'}{[B']} y & \text{magnetic} \\ \frac{q E'}{\gamma m v_z^2} y & \text{electric} \end{cases}$$

ENVELOPE EQUATION

$$r_x^2 = 4 \langle x^2 \rangle; \quad r_y^2 = 4 \langle y^2 \rangle$$

$$r_x' = \frac{4 \langle x x' \rangle}{r_x}$$

$$r_x'' = \frac{4 \langle x x'' \rangle}{r_x} + \frac{E_x^2}{r_x^3};$$

$$E_x^2 = 16 (\langle x^2 \rangle \langle x'^2 \rangle - \langle x x' \rangle^2)$$

$$r_y'' = \frac{4 \langle y y'' \rangle}{r_y} + \frac{E_y^2}{r_y^3}$$

$$E_y^2 = 16 (\langle y^2 \rangle \langle y'^2 \rangle - \langle y y' \rangle^2)$$

for magnetic focusing:

$$r_x'' + \frac{1}{\gamma v_z} \frac{d}{ds} (\gamma v_z) r_x' + \frac{4q}{\gamma^3 m v_z^2} \left\langle x \frac{\partial \phi}{\partial x} \right\rangle \mp \frac{B'}{[B']} r_x - \frac{E_x^2}{r_x^3} = 0$$

$$r_y'' + \frac{1}{\gamma v_z} \frac{d}{ds} (\gamma v_z) r_y' + \frac{4q}{\gamma^3 m v_z^2} \left\langle y \frac{\partial \phi}{\partial y} \right\rangle \pm \frac{B'}{[B']} r_y - \frac{E_y^2}{r_y^3} = 0$$

(for electric focusing $\frac{B'}{[B']} \rightarrow \frac{q E'}{\gamma m v_z^2}$)

SPACE CHARGE TERM WITH ELLIPTICAL SYMMETRY

#4: ELLIPTICAL SYMMETRY: $\rho = \rho \left(\frac{x^2}{a^2} + \frac{y^2}{b^2} \right)$

CAN BE SHOWN THAT $\langle x \frac{\partial \phi}{\partial x} \rangle = \frac{-\lambda}{4\pi\epsilon_0} \frac{a^2}{v_x + v_y}$

$\langle y \frac{\partial \phi}{\partial y} \rangle = \frac{-\lambda}{4\pi\epsilon_0} \frac{b^2}{v_x + v_y}$

DEFINING $Q = \frac{2q\lambda}{4\pi\epsilon_0 \gamma^3 m v_z^2}$

$$v_x'' + \frac{1}{\gamma v_z} \frac{d}{ds} (\gamma v_z) v_x' - \frac{2Q}{v_x + v_y} + \frac{B'}{[B\gamma]} v_x - \frac{E_z^2}{v_x^2} = 0$$

$$v_y'' + \frac{1}{\gamma v_z} \frac{d}{ds} (\gamma v_z) v_y' - \frac{2Q}{v_x + v_y} + \frac{B'}{[B\gamma]} v_y - \frac{E_z^2}{v_y^2} = 0$$

(for Electric Focusing $\frac{B'}{[B\gamma]} + \frac{qE_z^2}{2m\gamma^3 v_z^2}$)

SPACE CHARGE TERM WITH ELLIPTICAL SYMMETRY II

J. BALDWIN

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ELLIPTICAL SYMMETRY:
$$\rho = \rho\left(\frac{x^2}{r_x^2} + \frac{y^2}{r_y^2}\right)$$

CAN BE SHOWN THAT
(Sacherer, 1971)

$$\left\langle x \frac{\partial \phi}{\partial x} \right\rangle = \frac{-\lambda}{4\pi\epsilon_0} \frac{r_x}{r_x + r_y}$$

$$\left\langle y \frac{\partial \phi}{\partial y} \right\rangle = \frac{-\lambda}{4\pi\epsilon_0} \frac{r_y}{r_x + r_y}$$

OUTLINE OF PROOF: (From R. Ryne)

Let
$$\chi = \frac{x^2}{r_x^2 + s} + \frac{y^2}{r_y^2 + s}$$

DEFINE $\eta(\chi)$ such that
$$\rho(x,y) = \frac{d\eta(\chi)}{d\chi} \Big|_{s=0} = \hat{\rho}(\chi) \Big|_{s=0}$$

So
$$\rho = \hat{\rho}\left(\frac{x^2}{r_x^2} + \frac{y^2}{r_y^2}\right) = \hat{\rho}(\chi) \Big|_{s=0}$$

DEFINE
$$\Phi(x,y) = \frac{-r_x r_y}{4\epsilon_0} \int_0^\infty \frac{\eta(\chi) ds}{\sqrt{r_x^2 + s} \sqrt{r_y^2 + s}}$$

It follows that
$$\frac{\partial^2 \Phi}{\partial x^2} + \frac{\partial^2 \Phi}{\partial y^2} = -\frac{\rho}{\epsilon_0}$$
 AND SO IS A SOLUTION OF POISSON'S EQUATION (since $\Phi \rightarrow 0$ as $x,y \rightarrow \infty$)

WHAT IS $\left\langle x \frac{\partial \phi}{\partial x} \right\rangle$?

$$\left\langle x \frac{\partial \phi}{\partial x} \right\rangle = \frac{-r_x r_y}{4\pi\lambda\epsilon_0} \int_{-\infty}^{\infty} dx \int_{-\infty}^{\infty} dy x \rho(x,y) \int_0^\infty \frac{\eta' \frac{\partial \chi}{\partial x} ds}{\sqrt{r_x^2 + s} \sqrt{r_y^2 + s}}$$

where
$$\lambda = \int_{-\infty}^{\infty} dx \int_{-\infty}^{\infty} dy \rho(x,y)$$

$$\text{So } \langle x \frac{\partial \psi}{\partial x} \rangle = \frac{-2v_x v_y}{4\lambda \epsilon_0} \int_{-\infty}^{\infty} dx \int_{-\infty}^{\infty} dy x^2 \rho \left(\frac{x^2}{v_x^2} + \frac{y^2}{v_y^2} \right) \int_0^{\infty} \frac{\rho \left(\frac{x^2}{v_x^2 + s} + \frac{y^2}{v_y^2 + s} \right) ds}{(v_x^2 + s)^{3/2} (v_y^2 + s)^{3/2}}$$

$$\text{Let } r \cos \theta = \frac{x}{\sqrt{v_x^2 + s}} \quad r \sin \theta = \frac{y}{\sqrt{v_y^2 + s}}$$

det J = $\sqrt{v_x^2 + s} \sqrt{v_y^2 + s} r$ where J is the Jacobian
 $dx dy = \det J \cdot dr d\theta$

$$\Rightarrow \langle x \frac{\partial \psi}{\partial x} \rangle = \frac{-2v_x v_y}{\lambda 2\epsilon_0} \int_0^{\infty} ds \int_0^{2\pi} d\theta \int_0^{\infty} dr r^3 \rho(r^2) \rho \left(\frac{r^2 \cos^2 \theta}{v_x^2 + s} + \frac{r^2 \sin^2 \theta}{v_y^2 + s} \right) \cos^2 \theta$$

$$\text{Let } r'^2 = \frac{v_x^2 + s}{v_x^2} r^2 \cos^2 \theta + \frac{v_y^2 + s}{v_y^2} r^2 \sin^2 \theta$$

$$= r^2 \left[1 + s \left(\frac{\cos^2 \theta}{v_x^2} + \frac{\sin^2 \theta}{v_y^2} \right) \right]$$

with r fixed $2r' dr' = r^2 \left(\frac{\cos^2 \theta}{v_x^2} + \frac{\sin^2 \theta}{v_y^2} \right) ds$

$$\Rightarrow \langle x \frac{\partial \psi}{\partial x} \rangle = \frac{-v_x v_y}{2\lambda \epsilon_0} \int_0^{\infty} ds \int_0^{2\pi} d\theta \int_r^{\infty} dr' \frac{2r' dr' r^3 \rho(r^2) \rho(r^2) \cos^2 \theta}{r^2 \left(\frac{\cos^2 \theta}{v_x^2} + \frac{\sin^2 \theta}{v_y^2} \right)}$$

$$\int_0^{2\pi} \frac{\cos^2 \theta d\theta}{\frac{\cos^2 \theta}{v_x^2} + \frac{\sin^2 \theta}{v_y^2}} = \frac{2\pi v_x^2 v_y}{v_x + v_y}$$

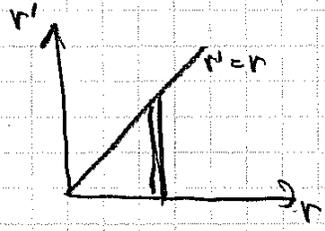
$$\Rightarrow \langle x \frac{\partial \psi}{\partial x} \rangle = \frac{-v_x^3 v_y^2}{\lambda 2\pi \epsilon_0 (v_x + v_y)} \int_0^{\infty} dr 2\pi r \rho(r^2) \int_r^{\infty} dr' 2\pi r' \rho(r'^2)$$

Recall $\lambda = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} dx dy \rho(x, y) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} dx dy \rho \left(\frac{x^2}{v_x^2} + \frac{y^2}{v_y^2} \right)$

$$\text{Let } \frac{x}{v_x} = r \cos \theta \quad \frac{y}{v_y} = r \sin \theta \quad \det J = v_x v_y r$$

$$\Rightarrow \lambda = \int_0^{\infty} \int_0^{2\pi} dr r \rho(r^2) v_x v_y = 2\pi v_x v_y \int_0^{\infty} dr r \rho(r^2)$$

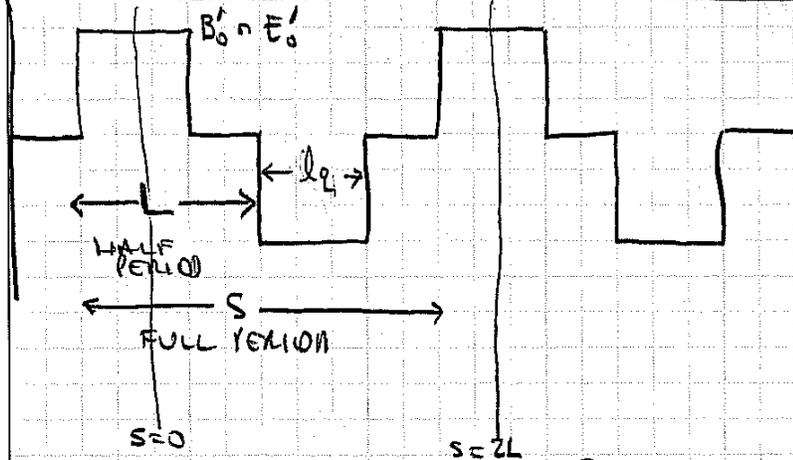
Now $\int_0^{\infty} dr r \hat{\rho}(r^2) \int_0^{\infty} dr' r' \hat{\rho}(r'^2) = \frac{1}{2} \int_0^{\infty} dr r \hat{\rho}(r^2) \int_0^{\infty} dr' r' \hat{\rho}(r'^2)$



(By symmetry & condition of diagram at left.)

$$\Rightarrow \left\langle x \frac{\partial \psi}{\partial x} \right\rangle = \frac{-\lambda}{4\pi\epsilon_0} \frac{r_x}{r_x + r_y}$$

CURRENT LIMIT FOR QUADRUPOLES



$$k = \begin{cases} \frac{B'_0}{c\beta(\gamma)} & \text{MAGNETIC} \\ \frac{qE'_0}{\gamma m v^2} & \text{ELECTRIC} \end{cases}$$

$$r_x'' + k f(s) r_x - \frac{2Q}{r_x + r_y} = 0$$

$$r_y'' - k f(s) r_y - \frac{2Q}{r_x + r_y} = 0$$

(NOTE WE HAVE SET $\epsilon = 0$).

$$f(s) = \begin{cases} 1 & 0 < s < \pi L/2 \\ -1 & L - \pi L/2 < s < L + \pi L/2 \\ 1 & 2L - \pi L/2 < s < 2L \\ 0 & \text{otherwise} \end{cases}$$

$$\frac{1}{L} \int_0^{2L} f(s) \cos\left(\frac{\pi s}{L}\right) ds = \left(\frac{4}{\pi}\right) \sin\left(\frac{\pi \pi}{2}\right) = \text{fourier amplitude at fundamental lattice frequency}$$

$$\text{Let } r_x = r_b \left(1 + \delta \cos\left(\frac{\pi s}{L}\right)\right)$$

$$r_y = r_b \left(1 - \delta \cos\left(\frac{\pi s}{L}\right)\right)$$

$$\text{Let } k f(s) \rightarrow k \left(\frac{4}{\pi}\right) \sin\left(\frac{\pi \pi}{2}\right) \cos\left(\frac{\pi s}{L}\right)$$

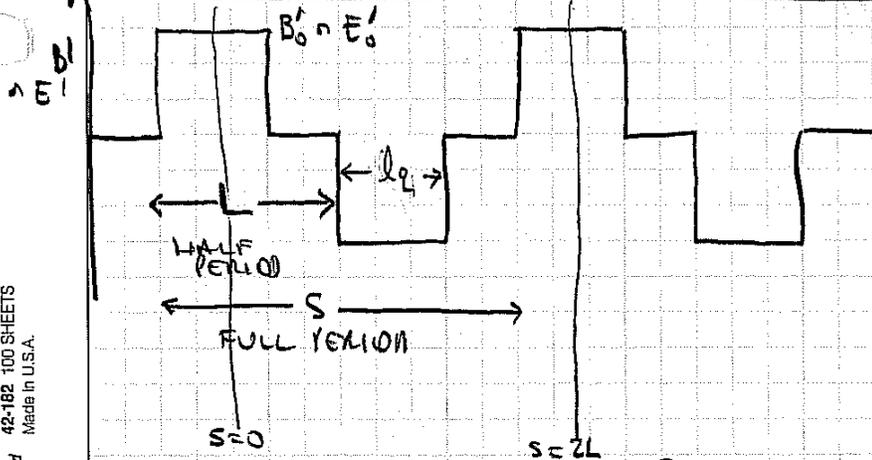
COLLECTING "FAST" TERMS AND "SLOW" TERMS

$$\left[-\frac{\pi^2}{L^2} r_b \delta + k r_b \left(\frac{4 \sin(\frac{\pi \pi}{2})}{\pi}\right) \right] \cos\left(\frac{\pi s}{L}\right) = 0 \quad (\text{fast})$$

$$\delta k r_b \left(\frac{2 \sin(\frac{\pi \pi}{2})}{\pi}\right) = \frac{Q}{r_b} \quad (\text{slow})$$

$$\text{Fast } \Rightarrow \delta = \frac{4kL^2}{\pi^3} \sin\left(\frac{\pi \pi}{2}\right) \quad \& \quad Q_{\text{max}} \approx \frac{2\pi^2 k^2 L^2}{\pi^2} \left(\frac{\sin(\frac{\pi \pi}{2})}{(\frac{\pi \pi}{2})}\right)^2 r_b^2$$

CURRENT LIMIT FOR QUADRUPOLES



$$k = \begin{cases} \frac{B'_0}{c\beta(\gamma)} & \text{MAGNETIC} \\ \frac{qE'_0}{\gamma m v_z^2} & \text{ELECTRIC} \end{cases}$$

$$\underline{r_x''} + \underline{k f(s) r_x} - \underline{\frac{2Q}{r_x + r_y}} = 0$$

$$r_y'' - k f(s) r_y - \frac{2Q}{r_x + r_y} = 0$$

(NOTE WE HAVE SET $\epsilon = 0$)

$$f(s) = \begin{cases} 1 & 0 < s < \pi L/2 \\ -1 & L - \pi L/2 < s < L + \pi L/2 \\ 1 & 2L - \pi L/2 < s < 2L \\ 0 & \text{otherwise} \end{cases}$$

$$\frac{1}{2L} \int_0^{2L} f(s) \cos\left(\frac{\pi s}{L}\right) ds = \left(\frac{4}{\pi}\right) \sin\left(\frac{\pi \pi}{2}\right) = \text{fourier amplitude at fundamental lattice frequency}$$

$$\text{Let } r_x = r_b \left(1 + \delta \cos\left(\frac{\pi s}{L}\right)\right)$$

$$r_y = r_b \left(1 - \delta \cos\left(\frac{\pi s}{L}\right)\right)$$

$$\text{Let } k f(s) \rightarrow k \left(\frac{4}{\pi}\right) \sin\left(\frac{\pi \pi}{2}\right) \cos\left(\frac{\pi s}{L}\right)$$

COLLECTING "FAST" TERMS AND "SLOW" TERMS

$$\left[-\frac{\pi^2}{L^2} r_b \delta + k r_b \left(\frac{4 \sin(\frac{\pi \pi}{2})}{\pi}\right) \right] \cos\left(\frac{\pi s}{L}\right) = 0 \quad \text{(fast)}$$

$$\delta k r_b \left(\frac{2 \sin(\frac{\pi \pi}{2})}{\pi}\right) = \frac{Q}{r_b} \quad \text{(slow)}$$

$$\text{Fast } \Rightarrow \delta = \frac{4kL^2}{\pi^3} \sin\left(\frac{\pi \pi}{2}\right) \quad \& \quad Q_{\text{max}} \approx \frac{2\pi^2 k^2 L^2}{\pi^2} \left(\frac{\sin(\frac{\pi \pi}{2})}{(\frac{\pi \pi}{2})}\right)^2 r_b^2$$

Focusing term has both a fast and slow component:

$$\begin{aligned}
 kf(s)r_x &\rightarrow k\left(\frac{4}{\pi}\right)\sin\left(\frac{\eta\pi}{2}\right)\cos\left(\frac{\pi s}{L}\right)r_b\left(1 + \delta\cos\left(\frac{\pi s}{L}\right)\right) \\
 &= r_b k\left(\frac{4}{\pi}\right)\sin\left(\frac{\eta\pi}{2}\right)\cos\left(\frac{\pi s}{L}\right) + \delta r_b k\left(\frac{4}{\pi}\right)\sin\left(\frac{\eta\pi}{2}\right)\cos\left(\frac{\pi s}{L}\right)^2 \\
 &= r_b k\left(\frac{4}{\pi}\right)\sin\left(\frac{\eta\pi}{2}\right)\cos\left(\frac{\pi s}{L}\right) + \delta r_b k\left(\frac{4}{\pi}\right)\sin\left(\frac{\eta\pi}{2}\right)\left(\frac{1}{2} + \frac{1}{2}\cos\left(\frac{2\pi s}{L}\right)\right) \\
 &\cong r_b k\left(\frac{4}{\pi}\right)\sin\left(\frac{\eta\pi}{2}\right)\cos\left(\frac{\pi s}{L}\right) + \delta r_b k\left(\frac{4}{\pi}\right)\sin\left(\frac{\eta\pi}{2}\right)\left(\frac{1}{2}\right)
 \end{aligned}$$

$$r_x'' = -r_b\left(\frac{\pi^2}{L^2}\delta\right)\cos\left(\frac{\pi s}{L}\right)$$

$$r_y'' = r_b\left(\frac{\pi^2}{L^2}\delta\right)\cos\left(\frac{\pi s}{L}\right)$$

$$\frac{Q}{r_x+r_y} = \frac{Q}{2r_b}$$

CONTINUOUS FOCUSING

$$v_x'' = -k_{po}^2 v_x + \frac{2Q}{v_x + v_y} - \frac{e^2}{v_x^2}$$

$$v_y'' = -k_{po}^2 v_y + \frac{2Q}{v_x + v_y} - \frac{e^2}{v_y^2}$$

CURRENT LIMIT BALANCES PERVEANCE & EXTERNAL FOCUSING ($v_x = v_y = v_b$):

$$k_{po}^2 v_b = \frac{Q_{max}}{v_b}$$

EFFECTIVE k_{po}^2 FOR QUADRUPOLES FOUND FROM DOMINANT FOURIER COMPONENT

$$k_{po}^2 = \frac{2\eta^2 k^2 L^2}{\pi^2} \left(\frac{\sin(\frac{\eta\pi}{2})}{\frac{\eta\pi}{2}} \right)^2 \quad \text{where } k = \frac{B'}{CB\beta}$$

FOR CONTINUOUS FOCUSING: $k_{po}^2 = \frac{\sigma_0^2}{4L^2}$

ELIMINATING L:

$$Q_{max} = \frac{\eta k \sigma_0}{\sqrt{2}\pi} \left(\frac{\sin \frac{\eta\pi}{2}}{\frac{\eta\pi}{2}} \right) v_b^2 \quad \leftarrow \text{PERVEANCE LIMIT FOR FODO QUADRUPOLES}$$

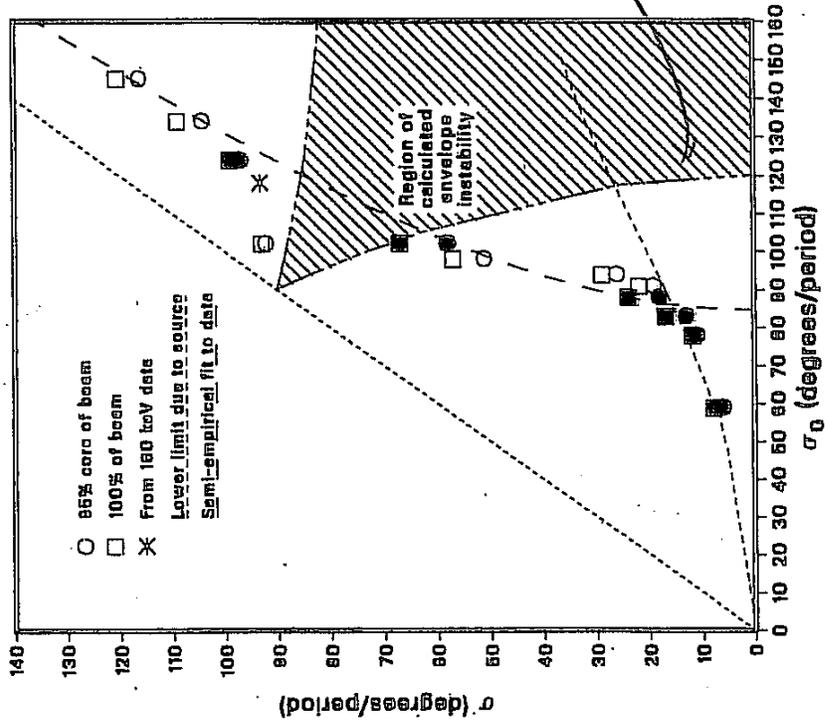
Envelope instabilities set upper limit on "single particle" phase advance σ_0



Experimental data (Tiefenback, 1986) from LBL's Single Beam Transport Experiment (SBTE)

Experimental limits on beam stability in terms of σ and σ_0

$\sigma_0 < 85^\circ$



SEE STRAUSSMANN & REISER, PARTICLE ACCELERATORS 14, 227, (1974) & LUND & BUCK, PULSTAB, 7, 024801 (2004)

□ BACKWARD

SEE LUND & CHAWLA 2006, NIMPR-A, FOR HIGHER ORDER PARTICLE-LATTICE RESONANCES WHICH CLAMPERS $\sigma_0 = 85^\circ$ LIMIT

QUADRUPOLE CURRENT LIMIT — CONTINUED

$$Q_{\max} \approx \frac{\eta k \mathcal{O}_0}{\sqrt{2\pi}} \left(\frac{\sin \frac{\eta\pi}{2}}{\frac{\eta\pi}{2}} \right) v_b^2$$

$$\text{here } k = \begin{cases} \frac{\partial B/\partial x}{[B(\cdot)]} \approx \frac{B}{[B(\cdot)] r_p} & \text{(MAGNETIC QUAD FODO)} \\ \frac{\rho \partial E/\partial x}{\gamma m v_z^2} \approx \frac{z q V_q}{\gamma m v_z^2 r_p^2} & \text{where } V_q = \frac{1}{2} \frac{\partial E}{\partial x} r_p^2 \\ & \text{(ELECTRIC QUAD FODO)} \end{cases}$$

So

$$Q_{\max} \approx \frac{\eta \mathcal{O}_0}{\sqrt{2\pi}} \left(\frac{\sin \frac{\eta\pi}{2}}{\frac{\eta\pi}{2}} \right) \begin{cases} \frac{B v_b}{[B(\cdot)]} \left[\frac{v_b}{r_p} \right] & \text{(MAGNETIC QUAD)} \\ \frac{z q V_q}{\gamma m v_z^2} \left[\frac{v_b^2}{r_p^2} \right] & \text{(ELECTRIC QUAD)} \end{cases}$$

Summary of Current Limits from Different Focusing Methods

EINZEL LENS

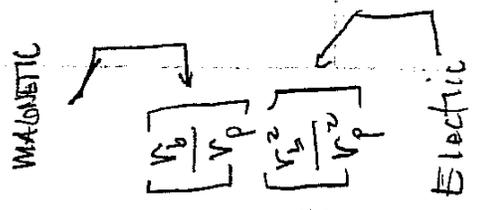
$$Q_{max} \approx \frac{3\pi^2}{8} \left(\frac{q\phi_0}{m\omega_0^2} \right)^2 \left(\frac{V_0}{L} \right)^2$$

SOLENOIDS

$$Q_{max} = \left(\frac{\omega_c V_0}{2\gamma\beta c} \right)^2$$

QUADRUPOLE FOCUSING

$$Q_{max} \approx \frac{\eta Q_0^2}{\sqrt{2\eta}} \left(\frac{\sin \frac{\pi\alpha}{2}}{\frac{\pi\alpha}{2}} \right) \left[\frac{B V_0}{Q\beta p} \right] \left[\frac{2\gamma V_0}{\gamma m v^2} \right]$$



FOR NON-RELATIVISTIC BEAMS

$$\lambda_{max} \propto \frac{Q_0^2}{V}$$

$$\lambda_{max} \propto \frac{1}{m} B^2 r_p^2$$

$$\lambda_{max} \propto \left\{ \begin{array}{l} B_1 V^{1/6} r_p \\ N_q \end{array} \right.$$

NOTE

- Q_0 = Voltage between Einzel lenses
- V_q = Voltage on a grid relative to ground
- V = particle energy / e