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Intrabeam collisions, gas and electron effects in intense beams

1. Beam/beam coulomb collisions
2. Beam/gas scattering
3. Charge changing processes
4. Gas pressure instability
5. Electron cloud processes
6. Electron-ion instability

## Gas and electron effects



-Effects are quite different depending on  $q$ ,  $m$  of species being accelerated

-Circular accelerators vs. Linacs

( $t_{\text{residence}} \sim \text{ms to days vs. } 10\text{'s of } \mu\text{s}$ )

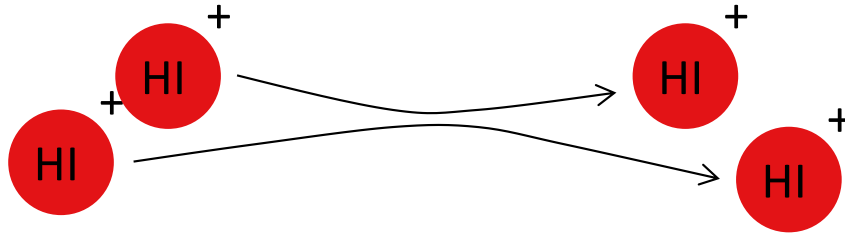
-Long pulse vs. short pulse

( $t_{\text{pulse}} \sim 10\text{'s of } \mu\text{s vs. } 10\text{'s of ns}$ )

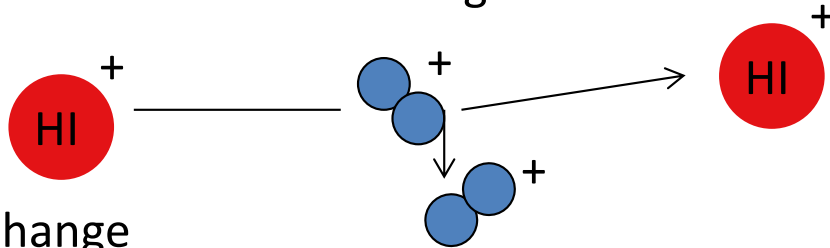
		$e^-$ electron
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Processes:

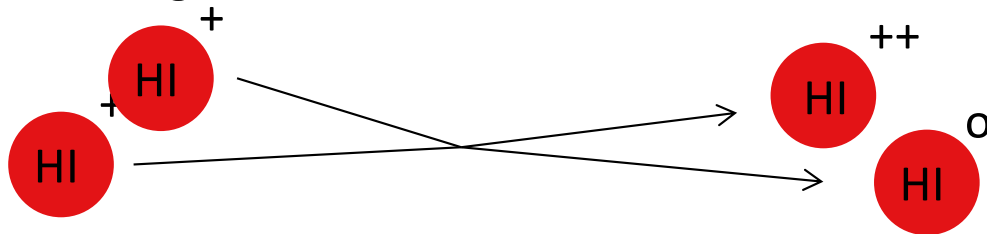
1. Coulomb collisions (intra-beam)



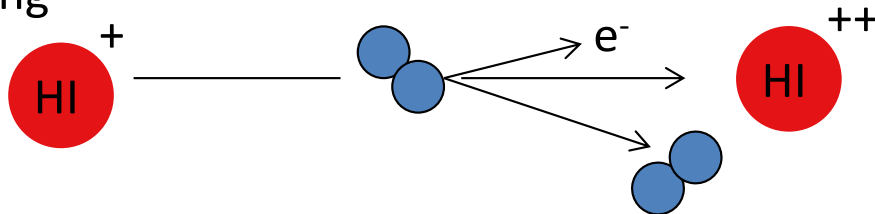
2. Coulomb collisions with residual gas



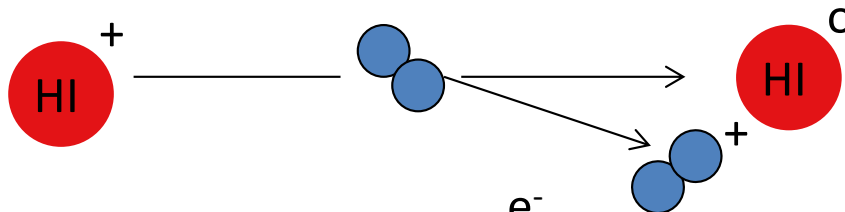
3. Charge exchange



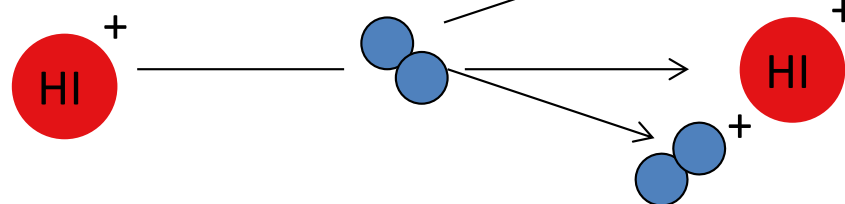
4. Stripping



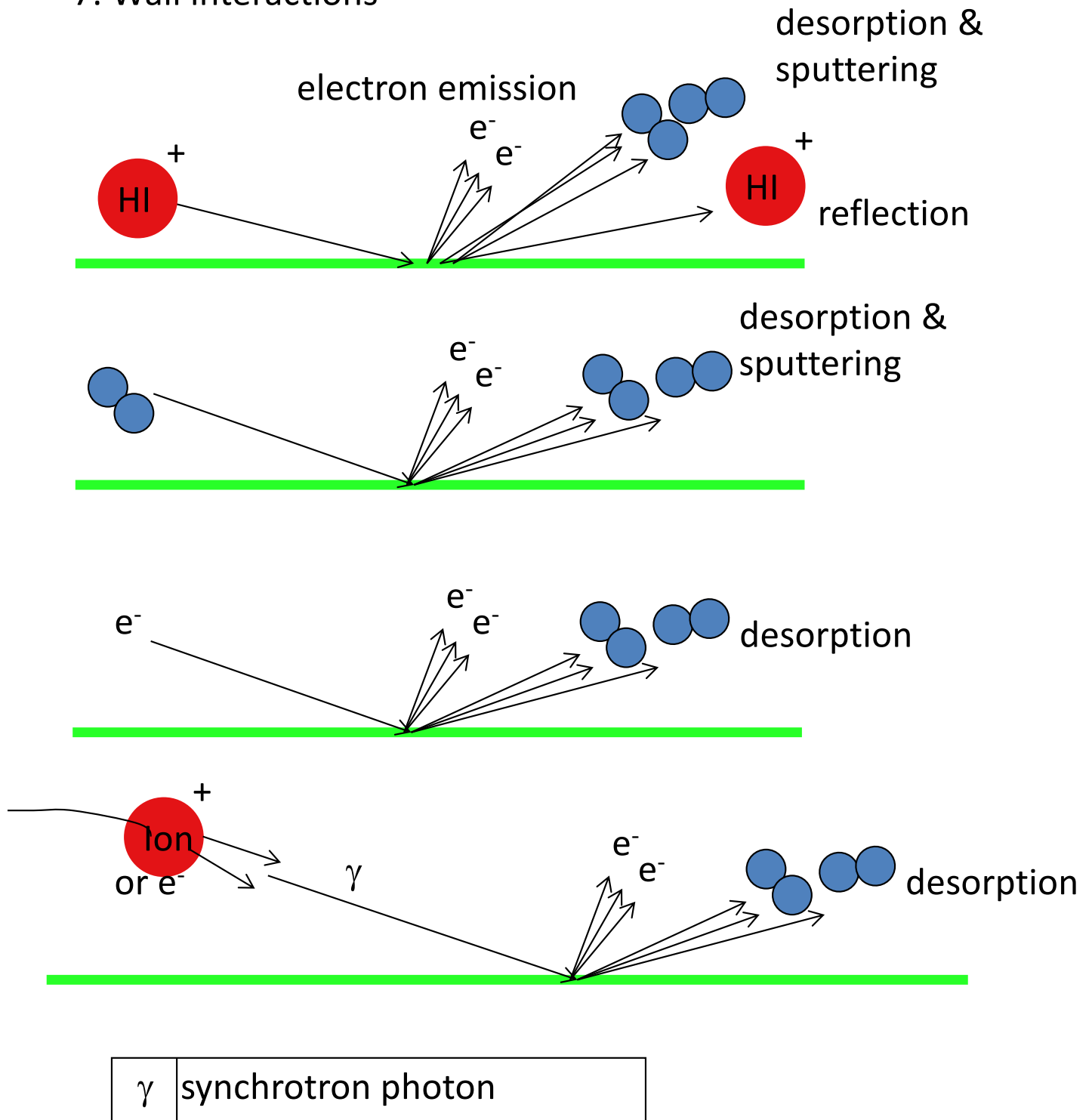
5. Neutralization



6. Gas Ionization



## 7. Wall interactions



# 1. COLLISIONS WITHIN BEAM REISER 6.4

CONSIDER EFFECTS OF COULOMB COLLISIONS IN A CONTINUOUS BEAM PROPAGATING THROUGH A CONTINUOUS FOCUSING CHANNEL WITH  $T_{\perp 0} \neq T_{\parallel 0}$

(IF  $T_{\perp 0} = T_{\parallel 0} \Rightarrow$  BEAM ALREADY RELAXED)

FROM ICHIMARU & ROSENBLUTH, Phys Fluids 13, 2718, (1970):

$$\frac{dT_{\perp}}{dt} = -\frac{1}{2} \frac{dT_{\parallel}}{dt} = -\frac{(T_{\perp} - T_{\parallel})}{\tau}$$

(since  $T_x = T_y = T_{\perp}$ ,  $T_{\parallel}$  CHANGES AT TWICE THE RATE OF  $T_{\perp}$ )  
(since  $2k_B T_{\perp} + k_B T_{\parallel} = \text{const}$ )

$\tau$  = RELAXATION TIME

$$\tau = \frac{15 (k_B T_{\text{eff}} / mc^2)^{3/2} (4\pi\epsilon_0)^2 m^2 c^3}{8\pi^{1/2} q^4 \ln \Lambda n} = \left( \frac{15 \pi^{1/2}}{8 \ln \Lambda} \right) v_c^{-1}$$

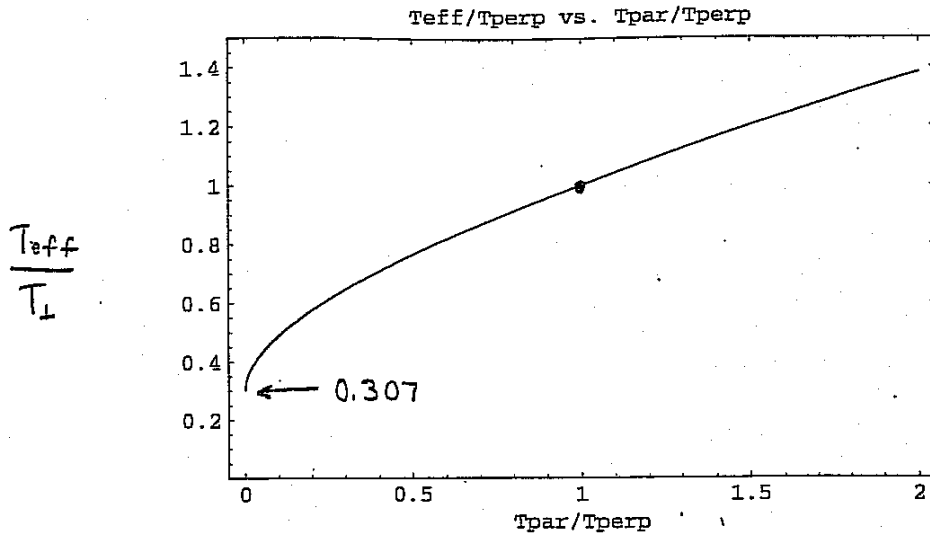
$$\ln \Lambda = \begin{cases} \ln \frac{(e_0 k T_{\parallel})^{3/2} 12\pi}{q^3 v^{1/2}} & \text{for } \lambda_D < r_D \\ \ln \frac{12\pi \epsilon_0 k T_{\text{eff}} r_D}{q^2} & \text{for } \lambda_D > r_D \end{cases}$$

COULOMB COLLISION APPROXIMATION RATE FOR LARGE ANGLES (PAGE 9 OF INTRODUCTION NOTES)

$$v_c \sim \pi \left( \frac{q^2}{4\pi\epsilon_0 k_B T} \right)^2 n_0 \left( \frac{k_B T}{m} \right)^{1/2}$$

$$T_{\text{eff}} = T_{\perp} \left[ \frac{15}{4} \int_{-1}^1 \frac{\mu^2 (1 - \mu^2) d\mu}{[(1 - \mu^2) + \mu^2 (T_{\parallel} / T_{\perp})]^{3/2}} \right]^{-2/3}$$

$T_{\text{eff}}$  is an appropriate average of  $T_{\perp}$  &  $T_{\parallel}$  and depends only on  $T_{\perp} / T_{\parallel}$



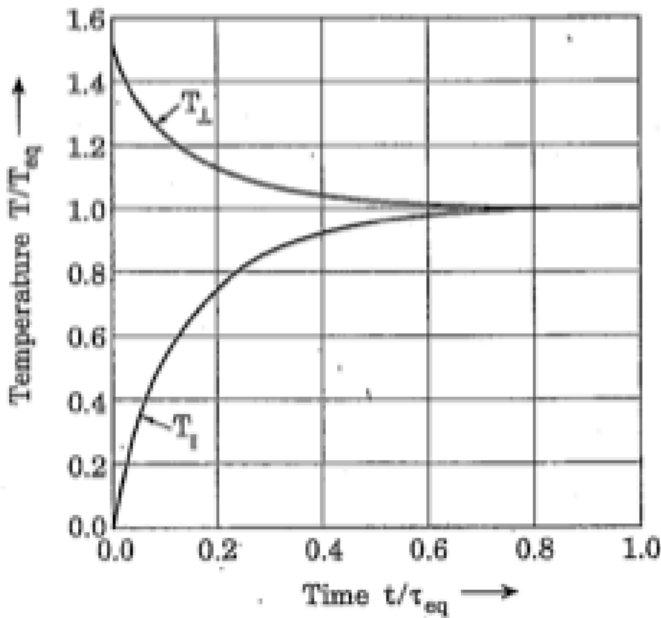
For  $T_{\parallel 0} = 0$

$$T_{\perp} = \frac{2}{3} T_{\perp 0} \left( 1 + \frac{1}{2} e^{-3t/\tau_{eff}} \right), \quad (6.156a)$$

$$T_{\parallel} = \frac{2}{3} T_{\perp 0} (1 - e^{-3t/\tau_{eff}}), \quad (6.156b)$$

APPROXIMATE SOLUTIONS

$$\tau_{eff} = 0.42 \tau_{\perp}$$



FROM REISER p.527

$$\tau = \left( \frac{15\pi^{1/2}}{8 \ln \lambda} \right) \frac{m^{1/2}}{\pi n_0} \left( \frac{4\pi\epsilon_0}{q^2} \right)^2 (k_B T_{eff})^{3/2}$$

$$\tau_{eq} = \tau(T_{eff} = T_{eq})$$

$$T_{eff} = T_{\perp} \left[ \frac{15}{4} \int_{-1}^1 \frac{\mu^2 (1 - \mu^2) d\mu}{[(1 - \mu^2) + \mu^2 (T_{\parallel} / T_{\perp})]^{3/2}} \right]^{-2/3}$$

# BOERSCH EFFECT

ARENT COLLISIONS NEGLIGIBLE? (NOT ALWAYS)

POTTING IN NUMBERS:

FOR IONS:

$$\tau_{eff} = 4.3 \cdot 10^{-4} s \frac{(A^{1/2})}{Z^4} \left( \frac{kT_{eff}}{1eV} \right)^{3/2} \left( \frac{15}{\ln \lambda} \right) \left( \frac{10^{10} cm^{-3}}{n} \right)$$

$$\ln \lambda = \ln \left[ \frac{1.5 \cdot 10^5 (kT/1eV)^{3/2}}{Z^3 (n/10^{10} cm^{-3})} \right]$$

EXAMPLE: 2 MeV INJECTOR

$$\tau_{eff} \approx 8.8 \cdot 10^{-4} s \quad \text{for } A=39 \quad kT_{eff} = 0.3 eV$$

$$Z=1 \quad \ln \lambda = 8.5$$

$$n = 10^{10} cm^{-3}$$

$$t_{transit} \approx \frac{2d}{V} \approx \frac{2(2m)}{(0.1) 3 \cdot 10^8} = 1.3 \mu s$$

So  $\tau_{eff} \gg t_{transit} \Rightarrow$  collisions are rare BUT

$$T_{cool}^{accel} = \frac{1}{Z} \left( \frac{kT_0}{qV} \right) kT_0 = 2.5 \cdot 10^{-9} eV \quad \text{for } kT_0 = 0.1 eV$$

$$qV = 2 MeV$$

$$T_{n collisions} \approx \frac{2}{3} T_{10} (1 - \exp(-3t/\tau_{eff})) \approx 2T_{10} \left( \frac{t_{transit}}{\tau_{eff}} \right) = .006 eV$$

for  $T_{10} = 1 eV$

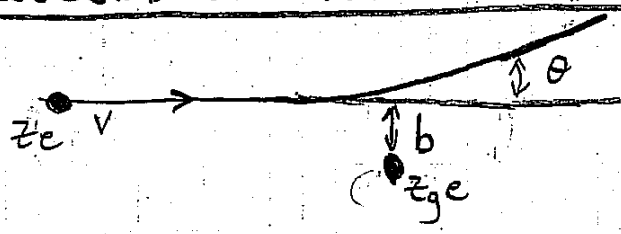
So  $T_H$  FROM "BOERSCHE EFFECT"

>>  $T_L$  FROM LONGITUDINAL COOLING





# COULOMB COLLISIONS IN RESIDUAL GAS (REISER 6.4.3) JACKSON CHAPTER 13



(RUTHERFORD SCATTERING)

$$\frac{dp_x}{dt} = \frac{z z_g e^2}{4\pi\epsilon_0 r^2} \frac{b}{r} \Rightarrow \Delta p = \int_{-\infty}^{\infty} \frac{dp_x}{dt} \frac{dt}{dz} dz$$

$$= \frac{z z_g e^2 b}{4\pi\epsilon_0 v} \int_{-\infty}^{\infty} \frac{dz}{(z^2 + b^2)^{3/2}}$$

$$= \frac{2 z z_g e^2}{4\pi\epsilon_0 v b}$$

$$\theta \cong \frac{\Delta p}{p} = \frac{2 z z_g e^2}{4\pi\epsilon_0 p v b} \Rightarrow \frac{db}{d\theta} \sim \frac{1}{\theta^2}$$

DIFFERENTIAL CROSS SECTION FOR SCATTERING WITH IMPACT PARAMETER  $b$  INTO SOLID ANGLE  $d\Omega$  AT ANGLE  $\theta$  SATISFIES

$$\underbrace{2\pi b db}_{\text{AREA}} = \underbrace{\frac{d\Omega}{4\pi} 2\pi \sin\theta d\theta}_{\text{SOLID ANGLE}}$$

$$\Rightarrow \frac{d\Omega}{dR} = \frac{b}{\sin\theta} \left| \frac{db}{d\theta} \right| = \left( \frac{2 z z_g e^2}{4\pi\epsilon_0 p v} \right)^2 \frac{1}{\theta^4}$$

ELECTRON SCREENING PUTS CUTOFF AT SMALL  $\theta$  (LARGE  $b$ ) SO BETTER TO USE

$$\frac{d\Omega}{dR} = \left( \frac{2 z z_g e^2}{4\pi\epsilon_0 p v} \right)^2 \frac{1}{(\theta^2 + \theta_{min}^2)^2}$$

$$\frac{d\sigma}{d\Omega} = \left( \frac{Z_1 Z_2 e^2}{4\pi\epsilon_0 p v} \right)^2 \frac{1}{(\theta^2 + \theta_{\min}^2)^2}$$

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AVERAGE ANGLE SQUARED FOR A SINGLE SCATTERING IS:

$$\begin{aligned} \bar{\theta}^2 &= \frac{\int \theta^2 \frac{d\sigma}{d\Omega} 2\pi \sin\theta d\theta}{\int \frac{d\sigma}{d\Omega} 2\pi \sin\theta d\theta} \approx \frac{\int_0^{\theta_{\max}} \frac{\theta^3}{(\theta^2 + \theta_{\min}^2)^2} d\theta}{\int_0^{\theta_{\max}} \frac{\theta}{(\theta^2 + \theta_{\min}^2)^2} d\theta} \\ &\approx 2 \theta_{\min}^2 \ln\left(\frac{\theta_{\max}}{\theta_{\min}}\right) \end{aligned}$$

ASSUMES  $\theta_{\max}^2 \gg \theta_{\min}^2$   
 $\ln\left(\frac{\theta_{\max}}{\theta_{\min}}\right) \gg 1$

### MULTIPLE COLLISIONS

AFTER TRAVELING DISTANCE  $s$ ,  
 AND UNDERGOING  $N_s$  COLLISIONS, THE  
 MEAN SQUARE ANGLE  $\overline{(\theta)^2}$

$$\begin{aligned} \overline{(\theta)^2} &= N_s \bar{\theta}^2 = n_0 \sigma_s s \bar{\theta}^2 \\ &= 8 \pi n_0 \left( \frac{Z_1 Z_2 e^2}{4\pi\epsilon_0 m c^2 \gamma \beta^2} \right)^2 \ln\left(\frac{\theta_{\max}}{\theta_{\min}}\right) s \end{aligned}$$

JACKSON ARGUES  $\theta_{\max}$  ARISES FROM DISTRIBUTED  
 NATURE OF NUCLEUS (NOT POINT CHARGE)  
 AND  $\theta_{\min}$  ARISES FROM SCREENING OF ELECTRONS  
 OR UNCERTAINTY PRINCIPLE

$$\ln \frac{\theta_{\max}}{\theta_{\min}} \approx \ln \left[ (204 Z_2^{-1/3})^2 \right] = 2 \ln [204 Z_2^{-1/3}]$$

$$\text{So } \bar{\Theta}^2 = N_s \bar{\theta}^2 = n_g \sigma_s s \bar{\theta}^2$$

$$= 8\pi n_g \left( \frac{ZZ_g e^2}{4\pi\epsilon_0 mc^2 \gamma\beta^2} \right)^2 \ln\left(\frac{\theta_{\max}}{\theta_{\min}}\right) s = 16\pi n_g \left( \frac{ZZ_g e^2}{4\pi\epsilon_0 mc^2 \gamma\beta^2} \right)^2 \ln(204 Z_g^{-1/3}) s$$

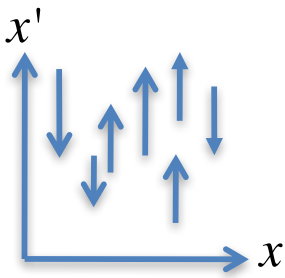
$$\text{Now } \Theta^2 = \langle x'^2 \rangle + \langle y'^2 \rangle = 2\langle x'^2 \rangle$$

$$\Rightarrow \frac{d}{ds} \langle x'^2 \rangle = 4\pi n_g \left( \frac{ZZ_g e^2}{4\pi\epsilon_0 mc^2 \gamma\beta^2} \right)^2 \ln\left(\frac{\theta_{\max}}{\theta_{\min}}\right)$$

$$\equiv C_{sc}$$

How does scattering change the envelope equations?

We assume the scattering locally changes the transverse momentum, without directly changing the position (thin lens).



So after an incremental distance  $\delta s$

$$x \rightarrow x_0 \quad x' \rightarrow x_0' + \delta x'$$

$$\delta \langle x'^2 \rangle = \langle (x_0' + \delta x')^2 - x_0'^2 \rangle = 2\langle x_0' \delta x' \rangle + \langle \delta x'^2 \rangle = \langle \delta x'^2 \rangle = C_{sc} \delta s$$

$$\delta \langle x x' \rangle = \langle (x_0' + \delta x') x_0 - x_0' x_0' \rangle = \langle x_0' \delta x' \rangle = 0$$

$$\delta \langle x^2 \rangle = \langle x_0^2 - x_0^2 \rangle = 0$$

And the moment equations become:

$$\frac{d}{ds} \langle x^2 \rangle = 2\langle x x' \rangle$$

$$\frac{d}{ds} \langle x x' \rangle = \langle x x'' \rangle + \langle x'^2 \rangle$$

$$\frac{d}{ds} \langle x'^2 \rangle = 2\langle x' x'' \rangle + C_{sc}$$

For  $x'' = -K(s)x + \frac{2Q}{r_x + r_y} \frac{x}{r_x}$  and if energy loss

is negligible the envelope equation becomes:

$$r_x'' + \frac{2Q}{r_x + r_y} + K(s)r_x + \frac{\epsilon_x^2}{r_x^3} = 0$$

(Envelope equation unchanged, but

$$\frac{d\epsilon_x^2}{ds} = 4r_x^2 C_{sc}$$

$$\frac{d\epsilon_x^2}{ds} \neq 0)$$

For a beam undergoing acceleration or deceleration or if both stopping and scattering are not negligible:

$$r_x'' + \frac{(\gamma\beta)'}{\gamma\beta} r_x' + \frac{2Q}{r_x + r_y} + K(s)r_x + \frac{\varepsilon_{nx}^2}{\gamma^2 \beta^2 r_x^3} = 0$$

$$\frac{d\varepsilon_{nx}^2}{ds} = 4\gamma^2 \beta^2 r_x^2 C_{sx}$$

$$mc^2 \frac{d\gamma}{ds} = qE_z(s) - \frac{dE_{stopping}}{ds}$$

Example:

$$\frac{d\varepsilon_{nx}^2}{ds} = 4\gamma^2 \beta^2 r_x^2 C_{sx} = 32\pi n_g r_x^2 \left( \frac{ZZ_g e^2}{4\pi\varepsilon_0 mc^2 \beta} \right)^2 \ln(204 Z_g^{-1/3})$$

$$n_g = 10^{-7} \text{ torr} = 3.5 \times 10^9 \text{ cm}^{-3} = 3.5 \times 10^{15} \text{ m}^{-3}$$

$$r_x = 0.01 \text{ m}; Z_g = 7; Z = 19; A = 39; \beta = 0.01; \varepsilon_N = 1 \times 10^{-6} \text{ m-rad}$$

$$\frac{d\varepsilon_{nx}^2}{ds} = 4.6 \times 10^{-17} \text{ m}^2 \text{-rad}^2/\text{m}$$

$$\Rightarrow \varepsilon_{nx}^2 / \frac{d\varepsilon_{nx}^2}{ds} = 22,000 \text{ m}$$

So 22 km needed to equal original emittance! (So more important for rings and/or low mass particles).

BEAM LOSS FROM CHARGE CHANGING COLLISIONS

REFERENCE: WORKSHOP ON BEAM INDUCED PRESSURE RISE IN LINGS, BNL, Dec. 2003.

$\sigma_s$  = STRIPPING CROSS SECTION

$\sigma_{ce}$  = CHARGE EXCHANGE CROSS SECTION

$\sigma_i$  = IONIZATION CROSS SECTION

$v_{cm}$  = mean ion velocity in ion beam frame

1) BEAM LOSS

$$\frac{dn_b}{dt} = -\sigma_s v_i n_b \bar{n} - \sigma_{ce} v_{cm} n_b^2 - \left. \frac{dn_b}{dt} \right|_{HVL0}$$

2) GAS EVOLUTION

$\bar{n}$  = average gas density

$$\begin{aligned} \frac{d\bar{n}}{dt} = & \underbrace{\eta_s \sigma_i v_i n_b \bar{n} \left( \frac{V_{beam}}{V_{pipe}} \right)}_{\text{IONIZATION}} + \underbrace{\eta_{HT} \sigma_s v_i n_b \bar{n} \left( \frac{V_{beam}}{V_{pipe}} \right)}_{\text{STRIPPING}} \\ & + \underbrace{\eta_{CE} \sigma_{ce} v_{cm} n_b^2 \left( \frac{V_{beam}}{V_{pipe}} \right)}_{\text{CHARGE EXCHANGE}} + q - (S/A_p) \bar{n} \end{aligned}$$

VOLUME OF BEAM  
 VOLUME OF PIPE

$S$  = Effective linear pumping rate [ $m^3 s^{-1} / m$ ]

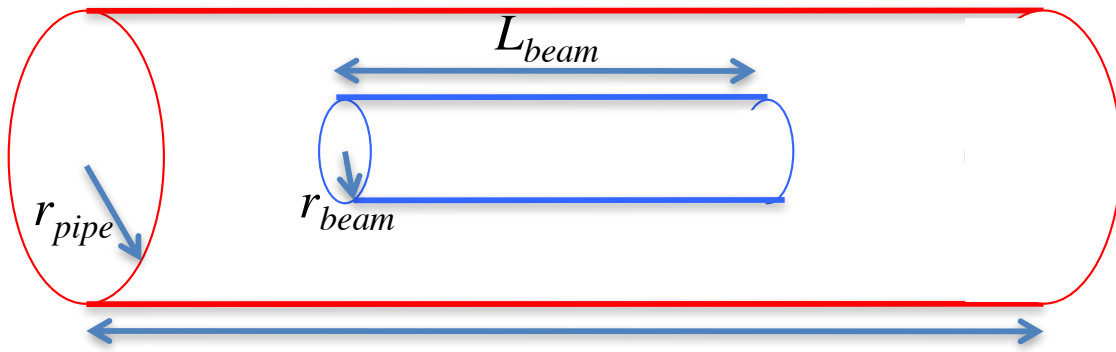
$A_p = \pi r_p^2$  = AREA OF PIPE

$q$  = OUTGASSING rate =  $\frac{2\pi r_p Q}{\pi r_p^2} = \frac{2Q}{r_p}$ ;  $Q = \frac{\#}{m^2 s}$

$\eta_s$  = GAS MOLECULES DESORBED FOR INCIDENT RESIDUAL IONIZATION

$\eta_{HT}$  = GAS MOLECULES DESORBED FOR INCIDENT HEAVY ION STRIKING WALL

$(V_{beam} / V_{pipe}) \rightarrow \left( \frac{V_{beam}}{V_{pipe}} \right) v_{rel} \Delta t_{beam}$  for a ref. rated linac



$$V_{beam} = \pi r_{beam}^2 L_{beam}$$

$$V_{pipe} = \pi r_{pipe}^2 L_{pipe}$$

$$A_p = \pi r_{pipe}^2$$

Gas evolution equation:

$$\begin{aligned} \frac{d\bar{n}}{dt} = & \overset{\text{ionization}}{\eta_G \sigma_i v_i n_b \bar{n} \left( \frac{V_{beam}}{V_{pipe}} \right)} + \overset{\text{stripping}}{\eta_{HI} \sigma_s v_i n_b \bar{n} \left( \frac{V_{beam}}{V_{pipe}} \right)} + \overset{\text{charge exchange}}{\eta_G \sigma_{ce} v_{cm} n_b^2 \left( \frac{V_{beam}}{V_{pipe}} \right)} + \\ & + q - \left( \frac{S}{A_p} \right) \bar{n} \\ \downarrow & \qquad \qquad \downarrow \\ \text{outgassing} & \qquad \text{pumping} \end{aligned}$$

Here  $S$  = effective linear pumping rate  $\text{m}^3/\text{s}/\text{m} = \text{m}^2/\text{s}$

$q$  = effective linear outgassing rate

$$= 2\pi r_{pipe} Q_{outgassing} / (\pi r_{pipe}^2) = 2Q_{outgassing} / r_{pipe}$$

where  $Q_{outgassing} = \#/\text{cm}^2/\text{s}$

$\eta_G$  = gas molecules desorbed per incident ionized gas molecule

$\eta_{HI}$  = gas molecules desorbed per incident ionized heavy ion

$V_{beam}/V_{pipe} \rightarrow (r_{beam}^2/r_{pipe}^2)(v_{rep}\Delta t)$  for a rep rated linac

$\Delta t$  = pulse duration;  $v_{rep}$  = repetition rate

If we take  $n_b \approx \text{constant}$

then we may express gas evolution equation as:

$$\frac{d\bar{n}}{dt} = \frac{\bar{n}}{\tau} + q_{\text{eff}}$$

with solution:

$$\bar{n} = (\bar{n}_0 + \tau q_{\text{eff}}) \exp[t/\tau] - \tau q_{\text{eff}}$$

HERE  $\tau = \frac{1}{(\eta_g \sigma_i + \eta_{HI} \sigma_s) \left(\frac{V_{\text{beam}}}{V_{\text{plc}}}\right) n_b V_i - S/A_p}$

$$q_{\text{eff}} = q + \eta_{HI} \sigma_{ce} V_{cm} n_b^2 \left(\frac{V_{\text{beam}}}{V_{\text{plc}}}\right)$$

EQUILIBRIUM REACHED IF  $\tau < 0$  (i.e. pumping exceeds desorption).

$$\Rightarrow \bar{n} = -\tau q_{\text{eff}} = \frac{q + \eta_{HI} \sigma_{ce} V_{cm} n_b^2 \left(\frac{V_{\text{beam}}}{V_{\text{plc}}}\right)}{S/A_p - (\eta_g \sigma_i + \eta_{HI} \sigma_s) \left(\frac{V_{\text{beam}}}{V_{\text{plc}}}\right) n_b V_i}$$

INSTABILITY IF

$$n_b V_i \geq \frac{S/A_p \left(\frac{V_{\text{plc}}}{V_{\text{beam}}}\right)}{\eta_g \sigma_i + \eta_{HI} \sigma_s}$$

Instability first observed on the ISR proton storage ring, limiting current in rings in 1970's.

$$\text{If } l_{\text{beam}} = l_{\text{pipe}}$$

INSTABILITY CRITERION MAY BE WRITTEN

$$I > \frac{zeS}{\eta_g \mathcal{O}_g + \eta_{HE} \mathcal{O}_s}$$

EXAMPLE: IF  $S = 100 \text{ l s}^{-1} \text{ m}^{-1} = 0.1 \text{ m}^3 \text{ s}^{-1} \text{ m}^{-1}$

ISR

$$\eta_g = 4$$

$$\mathcal{O}_g = 10^{-22} \text{ m}^2 = 10^{-16} \text{ cm}^2; \quad \mathcal{O}_s = 0$$

$$z = 1 \quad (\text{protons})$$

$$\Rightarrow I \leq 40 \text{ Amperes}$$

(PRESSURE RUNAWAYS WERE OBSERVED ON THE ISR AT 14-18A,  
(BENVENUTI et al, IEEE Trans. on Nuc. Sci. NS-24, 1973, 1977)

SEE "BEAM INDUCED PRESSURE RISE IN RINGS"

13th ICFA BEAM DYNAMICS MINI WORKSHOP, BNL, Dec. 9-12, 2003.

WEBSITE: <http://www.c-ad.bnl.gov/icfa>



# "ELECTRON CLOUD EFFECTS"

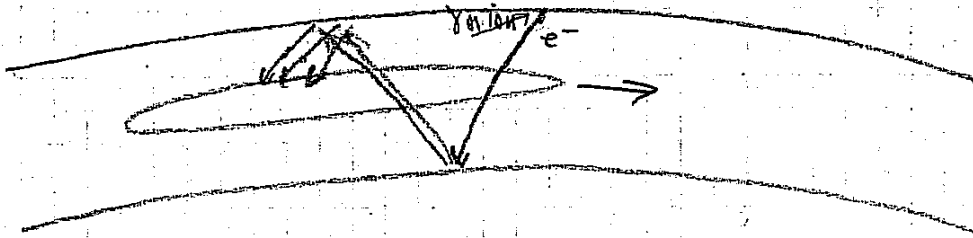
REFERENCE: CERN e-CLOUD WORKSHOP

<http://wwwslap.cern.ch/collective/ecloudp2/>

→ proceedings.html

## BASIC IDEA

IN ion storage rings or collider rings:



ELECTRONS ARE ATTRACTED TO POSITIVE POTENTIAL OF BEAM & ACCUMULATE

### SOME SYMPTOMS:

1. BEAM LOSS & pressure rise
2. HIGH FREQUENCY CENTROID oscillations

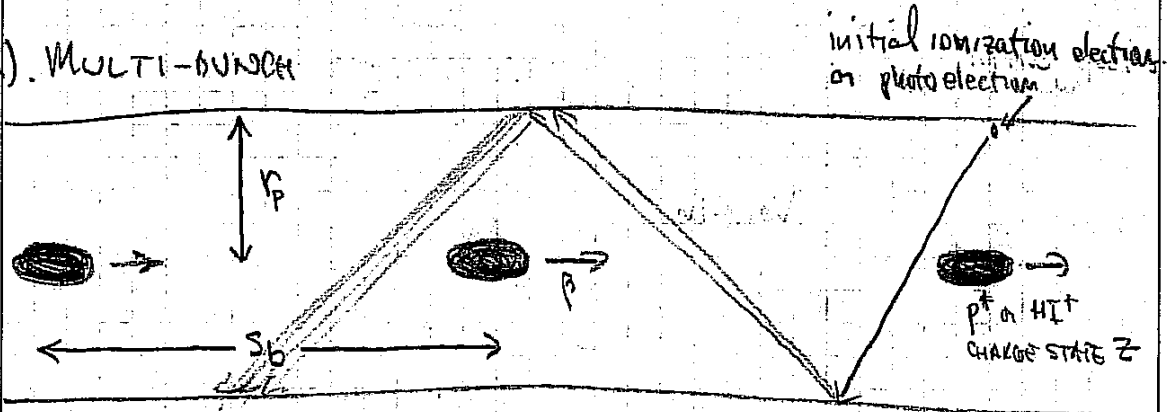
### SOME ACCELERATORS WHICH SHOW EVIDENCE OF e<sup>-</sup> EFFECTS

1. LANL PSR
2. CERN PS & SPS
3. BNL RHIC

cf. "Electron-cloud effects in  
HIGH INTENSITY PROTON ACCELERATORS"  
J. Wei & R. Macek, CERN

BEAM INDUCED MULTIFACTING

a). MULTI-BUNCH



Using COULOMB COLLISION FORMULA FROM PAGE 9:

$$\Delta p_x \approx \frac{2ZN_b e^2}{4\pi\epsilon_0 v r_p}$$

$N_b$  = Number of ions of charge  $Z$  in bunch

$$\Delta E_e = m_e c^2 \left[ \sqrt{\frac{\Delta p_x^2}{m_e^2 c^2} + 1} - 1 \right] = m_e c^2 \left[ \sqrt{\left( \frac{2Zv_e Z N_b}{\beta r_p} \right)^2 + 1} - 1 \right]$$

(where  $v_e = \frac{e^2}{4\pi\epsilon_0 m_e c^2} \approx 2.8 \times 10^{-15} \text{ m}$ )

$$\approx 2v_e^2 m_e c^2 \frac{Z^2 N_b^2}{\beta^2 r_p^2} \quad \text{for } \Delta E_e \ll m_e c^2 \quad \left( \text{or } \frac{2Zv_e Z N_b}{\beta r_p} \ll 1 \right)$$

DEFINE A MULTIFACTING PARAMETER  $J_m$

$$J_m = \frac{\text{TIME FOR ELECTRON TO CROSS LIFE}}{\text{TIME BETWEEN BUNCHES}} = \frac{2r_p}{s_b} \frac{\beta}{\beta_e}$$

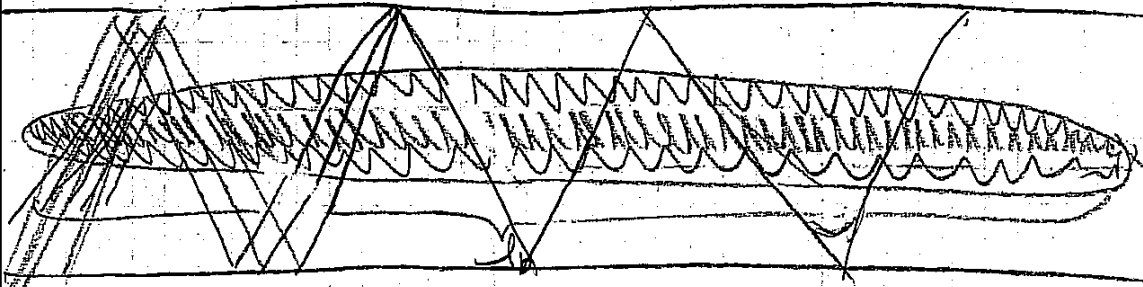
$$\approx \frac{\beta^2 r_p^2}{Z N_b v_e s_b}$$

RESONANCE CONDITION:

$$J_m = 1$$

$s_b$  = distance between bunches

### b). SINGLE-BUNCH BEAM-INDUCED MULTIPLYING



$$\gamma_s = \frac{r_p \beta}{l_b \beta_e} = \frac{\text{time for electrons to cross pipe}}{\text{passage time for half of the bunch}}$$

Recall:

$$\varphi = \begin{cases} \frac{\lambda}{2\pi\epsilon_0} \left[ \frac{1}{2} \left( 1 - \frac{v^2}{v_b^2} \right) + \ln \frac{r_p}{r_b} \right] & 0 < r < r_b \\ \frac{\lambda}{2\pi\epsilon_0} \left[ \ln \frac{r_p}{r} \right] & r_b < r < r_p \end{cases}$$

$$\frac{1}{2} m_e v_e^2 + q\varphi \approx \text{const} \approx 0$$

(AVERAGE e<sup>-</sup> VELOCITY)

$$\beta_e \sim \frac{1}{2} \sqrt{\frac{2q\varphi}{m_e c^2}} \sim \sqrt{\frac{N_0 z e z}{l_b 4\pi\epsilon_0 m_e c^2}} \sim \sqrt{\frac{z v_e N_0}{l_b}}$$

$$\Rightarrow \gamma_s = \frac{\beta v_p}{v_e l_b N_0 z} = \frac{\text{pipe crossing time}}{.5 * \text{pulse duration}} \quad \left( r_e = \frac{e^2}{4\pi\epsilon_0 m_e c^2} \right)$$

THE ENERGY GAIN OF THE ELECTRON, RELIES ON THE DENSITY CHANGING OVER THE COURSE OF THE BUNCH.

$$\Delta E_e \sim \frac{m_e c^2}{2} \left[ \frac{z v_e N_0(z)}{l_b} - \frac{m_e c^2}{2} \left[ \frac{z v_e N_0(z+\Delta z)}{l_b} \right] \right]$$

$$\sim \frac{m_e c^2}{2} \left( \frac{\partial N_0}{\partial z} \Delta z \right) \left( \frac{z v_e}{l_b} \right)$$

$$\Delta E_e \sim \frac{m_e c^2}{2} \left( \frac{\partial N_0}{\partial z} \Delta z \right) \left( \frac{z v_e}{l_b} \right)$$

$$\Delta z = \frac{r_p}{\gamma_e} \beta_e = \beta r_p \sqrt{\frac{l_b}{z v_e N_0}}; \quad \frac{\partial N_0}{\partial z} \sim \frac{N_0}{l_b}$$

So  $\Delta E_e \approx m_e c^2 \left( \frac{z N_0 v_e}{l_b^3} \right)^{1/2} \beta r_p$

$$\left( r_e = \frac{e^2}{4\pi\epsilon_0 m_e c^2} \right)$$

$g \ll 1 \Rightarrow$  Electron build up possible within bunch

WHAT IS STEADY STATE ELECTRON DENSITY?

Electrons can build up until  $E_r$  at pipe  $\sim 0$ .

$$\Rightarrow \lambda_e = \lambda_J$$

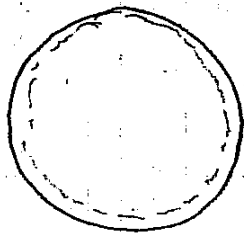
$$\pi r_p^2 n_e = \pi v_b^2 z n_i$$

$$n_e = \left( \frac{v_b}{r_p} \right)^2 z n_i$$

# ELECTRON-ION INSTABILITY

(SEE ALSO R.C. DAVIDSON & H. QIN, PHYSICS OF INTENSE CHARGED PARTICLE BEAMS IN HIGH ENERGY ACCELERATORS, P. 503 FOR KINETIC TREATMENT)

CONSIDER A UNIFORM DISTRIBUTION OF ELECTRONS (AT REST) WHICH HAS THE SAME RADIUS (OR SLIGHTLY SMALLER RADIUS) AS A UNIFORM DENSITY ION BEAM, THAT IS MOVING AT VELOCITY  $v_z$  (OUT OF THE PLANE OF THE PAPER).



Electric field from ions:

$$E_x = \frac{\lambda(r)(x-x_i)}{2\pi\epsilon_0 r} = \frac{\rho_i(x-x_i)}{2\epsilon_0}$$

THE EQUATION OF MOTION FOR THE CENTROID OF THE ELECTRONS IS OBTAINED FROM the equation of motion for single electron:

$$m_e \ddot{x} = -\frac{e\rho_i}{2\epsilon_0}(x-x_i) + \frac{e\rho_e}{2\epsilon_0}(x-x_e)$$

Taking statistical average:

$$\frac{d^2 x_e}{dt^2} = -\frac{\omega_{pi}^2}{2} \left( \frac{m_i}{q} \frac{e}{m_e} \right) (x_e - x_i)$$

$$\text{here } \omega_{pi}^2 = \frac{q^2 n_i}{\epsilon_0 m_i} = \frac{q\rho_i}{\epsilon_0 m_i}$$

(THE CENTER OF OSCILLATION FOR THE ELECTRONS IS THE CENTER OF THE ION BEAM).

$x_e$  = centroid of electron beam

$x_i$  = centroid of ion beam

THE EQUATION OF MOTION FOR THE CENTROID OF THE IONS IS GIVEN BY

$$\frac{d^2 x_i}{dt^2} = -\omega_{p0}^2 x_i - \left[ \frac{m_e N_e}{m_i N_i} \right] \left( \frac{\omega_{pi}^2}{2} \frac{m_i}{q} \frac{e}{m_e} \right) (x_i - x_e)$$

↑  
THE TOTAL MOMENTUM  
KICK TO EACH SPECIES  
MUST BE EQUAL & OPPOSITE

$$\omega_{\beta 0} \equiv v_z k_{\beta 0}$$

$$\Rightarrow \frac{d^2 x_i}{dt^2} = -\omega_{p0}^2 x_i - f \frac{\omega_{pi}^2}{2} (x_i - x_e)$$

HERE  $f \equiv \frac{e N_e}{q N_i} = \text{fractional neutralization}$

Now  $\frac{d}{dt} = \text{total derivative} = \frac{\partial}{\partial t} + v_z \frac{\partial}{\partial z}$

⇒ THE ION & ELECTRON EQUATIONS MAY BE WRITTEN

$$\left( \frac{\partial}{\partial t} + v_z \frac{\partial}{\partial z} \right)^2 x_i = -\omega_{p0}^2 x_i - f \frac{\omega_{pi}^2}{2} (x_i - x_e)$$

$$\frac{d^2 x_e}{dt^2} = -\frac{\omega_{pe}^2}{2} \left( \frac{m_i}{q} \frac{e}{m_e} \right) (x_e - x_i)$$

Now let  $X_e = X_e \exp[i(\omega t - kz)]$ ;  $X_i = X_i \exp[i(\omega t - kz)]$

$$\Rightarrow (-\omega^2 + 2\omega kv_z - k^2 v_z^2) X_i = -\omega_{pi}^2 X_i - f \frac{\omega_{pi}^2}{z} (X_i - X_e)$$

$$-\omega^2 X_e = -\frac{\omega_{pi}^2}{z} \left( \frac{m_i}{m_e} \frac{e}{q} \right) (X_e - X_i)$$

$$\Rightarrow \left[ (\omega - kv_z)^2 - \omega_{pi}^2 - f \frac{\omega_{pi}^2}{z} \right] X_i = -\frac{f \omega_{pi}^2}{z} X_e$$

$$\left[ \omega^2 - \frac{\omega_{pi}^2}{z} \left( \frac{m_i}{m_e} \frac{e}{q} \right) \right] X_e = -\frac{\omega_{pi}^2}{z} \left( \frac{m_i}{m_e} \frac{e}{q} \right) X_i$$

Multiplying the above equations and dividing by  $X_e X_i$ , yields the dispersion relation:

$$\underbrace{\left[ (\omega - kv_z)^2 - \omega_{pi}^2 - f \frac{\omega_{pi}^2}{z} \right]}_{\text{ION BETATRON FREQUENCY (INCREASED BY SINGLE CHARGE OF ELECTRON)}} \underbrace{\left[ \omega^2 - \frac{\omega_{pi}^2}{z} \left( \frac{m_i}{m_e} \frac{e}{q} \right) \right]}_{\text{ELECTRON OSCILLATING IN POTENTIAL WELL OF ION}} = \underbrace{+ \frac{f \omega_{pi}^4}{4} \left( \frac{m_i}{m_e} \frac{e}{q} \right)}_{\text{COUPLING}}$$

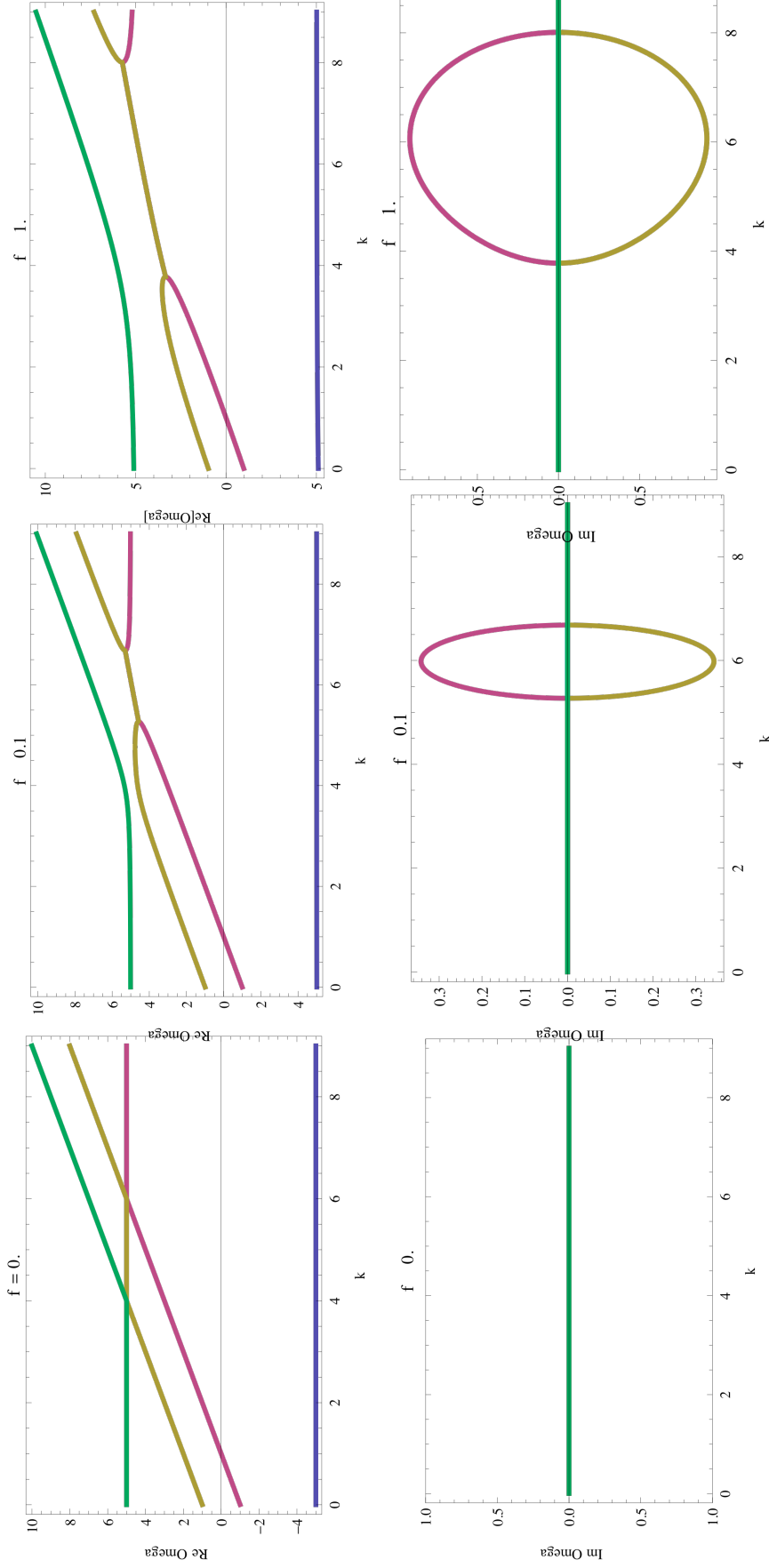
with high spatial frequency undergoing betatron oscillations in the comoving frame,  $kv_z - \omega \approx \sqrt{\omega_{pi}^2 + f \frac{\omega_{pi}^2}{z}}$  will resonate with electrons oscillating in the ion well if

$$\omega \approx \frac{\omega_{pi}}{\sqrt{z}} \sqrt{\frac{m_i}{m_e} \frac{e}{q}}$$

Giving rise to instability!

# Dispersion relation for two stream instability

$(m_e/m_i=0.04; \omega_{\beta 0} = \omega_{pi}/2^{1/2}=1; \nu=1)$





FROM DAVIDSON & QIN (20) PHYSICS OF INTENSE CHARGED PARTICLE BEAMS, 2001, 513

10.4] Instability in Intense Particle Beams

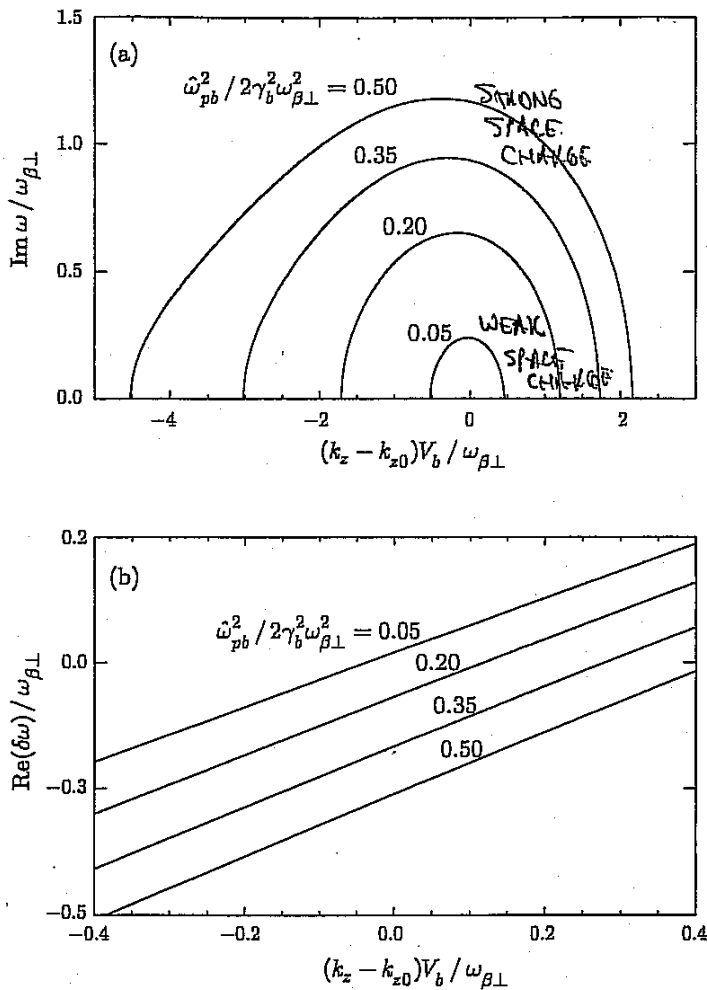


Figure 10.11. Plots of (a) normalized growth rate  $(Im\omega/\omega_{\beta\perp})$ , and (b) normalized real frequency  $(Re\omega - \omega_e)/\omega_{\beta\perp}$  versus shifted axial wavenumber  $(k_z - k_{z0})V_b/\omega_{\beta\perp}$  obtained from the dispersion relation (10.103) for the unstable branch with positive real frequency. System parameters correspond to  $v_{T\parallel b} = 0 = v_{T\parallel e}$ ,  $m_b/m_e = 1836$  (protons),  $(\gamma_b - 1)m_b c^2 = 800$  MeV,  $\tau_b/\tau_w = 0.5$ , and  $f = 0.1$ . Curves are shown for several values of normalized beam intensity  $\omega_{pb}^2/2\gamma_b^2\omega_{\beta\perp}^2$  ranging from 0.05 to 0.5.

$$k_{z0} V_z = \omega \mp \sqrt{\omega_{p0}^2 + f\omega_1^2/2}; \quad \omega = \frac{\omega_{p0}}{2} \sqrt{\frac{m_i e}{m_e q}}$$

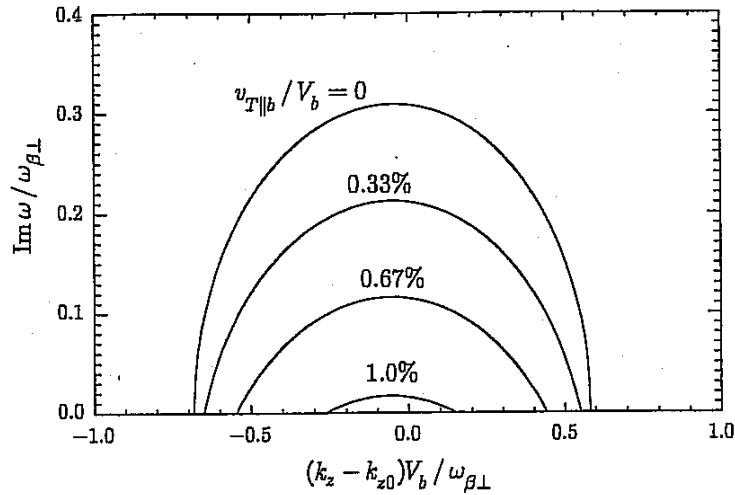


Figure 10.12. Plot of normalized growth rate ( $\text{Im } \omega / \omega_{\beta\perp}$ ), and normalized real frequency  $(\text{Re } \omega - \omega_e) / \omega_{\beta\perp}$  versus positive real frequency. System parameters correspond to  $\tilde{\omega}_{pb}^2 / 2\gamma_b^2 \omega_{\beta\perp}^2 = 0.07$ ,  $v_{T||e} = v_{T||b}$ ,  $m_b / m_e = 1836$  (protons),  $(\gamma_b - 1) m_b c^2 = 800$  MeV,  $r_b / r_w = 0.5$ , and  $f = 0.1$ . Curves are shown for several values of normalized ion thermal spread  $v_{T||b} / V_b$  ranging from 0 to 0.01.

velocity  $V_b$  [Eq. (10.105)], it is expected that Landau damping by parallel ion kinetic effects can have a strong stabilizing influence at modest values of  $v_{T||b} / V_b$ . That this is indeed the case is evident from Fig. 10.12, which shows a substantial reduction in maximum growth rate and eradication of the instability over the instability bandwidth as  $v_{T||b} / V_b$  is increased from 0 to 0.01.

We now make use of the nonlinear particle perturbative simulation method [60, 61] described in Sec. 8.5 to illustrate several important properties of the two-stream instability in intense beam systems (Sec. 10.4.2).

PREVENTIVE MEASURES (from J. Weid & Macek, GENN electron cloud workshop 2003)

- SUPPRESS ELECTRON GENERATION
  - SURFACE TREATMENT OF THE VACUUM PIPE
  - KICKED MAGNETS IN GAPS
  - VACUUM VOLTS SCREENED TO REMOVE E-FLUX
  - CLEANING ELECTRODES
  - HIGH VACUUM
  - SOLENOIDS - TO REDUCE MULTIFRACTING

## SUMMARY OF ELECTRON, GAS, PRESSURE, & SCATTERING EFFECTS

1. COULOMB COLLISIONS WITHIN BEAM CAN TRANSFER ENERGY FROM I TO II AND PROVIDE LOWER LIMIT ON  $T_{II}$ , HIGHER THAN FLOW ACCELERATIVE COOLING.
2. COULOMB INTERACTIONS WITH RESIDUAL GAS NUCLEI PROVIDE A SOURCE OF EMITTANCE GROWTH (BUT NOT IMPORTANT FOR HIGH WALL AND LONG RESIDENCE TIMES).
3. PRESSURE INSTABILITY FROM DESOLATION OF RESIDUAL GAS BY STRIKED BEAM IONS HITTING WALL OR BEAM-IONIZED RESIDUAL GAS ATOMS, FORCED TO WALL BY E-FIELD OF BEAM. LIMITS CURRENT IN KINGS OR HIGH KEY RATE LINAC.
4. ELECTRONS CAN CASCADE AND REACH A "QUIET" EQUILIBRIUM (POPULATION) OF SIMILAR LINE CHARGE TO THE ION BEAM. ELECTRON-ION TWO STREAM INSTABILITY IS UNSTABLE, AND CAN LEAD TO TRANSVERSE INSTABILITY, SIMILAR TO WHAT IS OBSERVED IN SOME PROTON KINGS.